

# Topology and Cardinal Invariants on Singular Higher Baire Spaces

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## Notation

We let  $\mu$  denote a singular cardinal and  $\kappa = \text{cf}(\mu)$ .

We let  $\langle \mu_\xi \mid \xi \in \kappa \rangle$  denote a continuous strictly increasing sequence cofinal in  $\mu$ .

# Topology

Given a space of functions  ${}^\delta\rho$  and a (partial) function  $s : D \rightarrow \rho$  with  $D \subseteq \delta$ , we write  $[s] = \{f \in {}^\delta\rho \mid s \subseteq f\}$ .

Let  $\nu$  be a cardinal, then the  $<\nu$ -box topology on  ${}^\delta\rho$  is the topology generated by basic clopens  $[s]$  such that  $s : D \rightarrow \rho$  has  $|D| < \nu$ .

The *bounded topology* on  ${}^\delta\rho$  is the topology generated by basic clopens  $[s]$  such that  $s : D \rightarrow \rho$  and  $D$  is bounded in  $\delta$ .

We will discuss the  $<\kappa$ -box, bounded, and  $<\mu$ -box topology, abbreviated as “ $\kappa$ ”, “bd”, and “ $\mu$ ”, e.g. in subscripts.

There are several closely related sets of functions that serve as generalisation of the classical Baire (and Cantor) space:

1.  ${}^\mu\mu$

2.  ${}^\mu 2$

3.  ${}^\mu\kappa$

4.  ${}^\kappa\mu$

5.  $\prod_{\xi \in \kappa} \mu_\xi = \mathcal{K}$

Each of these sets of functions may be given the  $<\kappa$ -box topology, the  $<\mu$ -box topology or the bounded topology.

### Definition

Let  $(X, \tau)$  be a topological space. A *local basis* for  $x \in X$  is a set  $B \subseteq \tau$  such that  $x \in V$  for all  $V \in B$  and each  $U \in \tau$  with  $x \in U$  has  $V \in B$  with  $V \subseteq U$ .

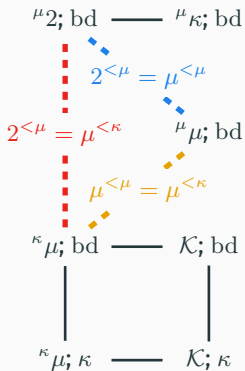
$(X, \tau)$  has *character*  $\nu$  if all  $x \in X$  have a local basis of cardinality (at most)  $\nu$ .

$(X, \tau)$  has *pseudocharacter*  $\nu$  if each singleton  $\{x\}$  with  $x \in X$  is the intersection of (at most)  $\nu$ -many open sets.

$(X, \tau)$  is *discrete* if  $\{x\}$  is open for each  $x \in X$ .

*Note: character, pseudocharacter and discreteness are topological invariants.*

Character  $\kappa$  &  
Pseudocharacter  $\kappa$



Discrete

$${}^{\kappa}\mu; \mu \text{ — } \mathcal{K}; \mu$$

Character  $>\mu$  &  
Pseudocharacter  $\kappa$

$${}^{\mu}2; \mu \text{ — } {}^{\mu}\kappa; \mu \text{ ..... } {}^{\mu}\mu; \mu$$

Pseudocharacter  $\mu$

$${}^{\mu}2; \kappa \text{ ..... } {}^{\mu}\kappa; \kappa \text{ ..... } {}^{\mu}\mu; \kappa$$

(next slide)

A space  $(X, \tau)$  is  $<\kappa$ -compact if every open cover of  $X$  has a subcover of size  $<\kappa$ . The cardinal  $\kappa$  is *weakly compact* if and only if  ${}^\kappa 2$  with the  $<\kappa$ -box topology is  $<\kappa$ -compact. The cardinal  $\kappa$  is *strongly compact* if and only if  ${}^\theta 2$  with the  $<\kappa$ -box topology is  $<\kappa$ -compact for every  $\theta$ .

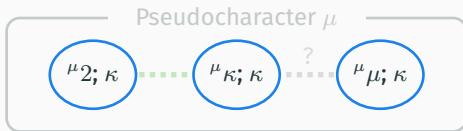
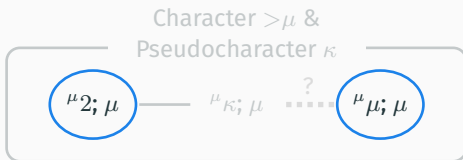
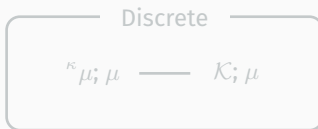
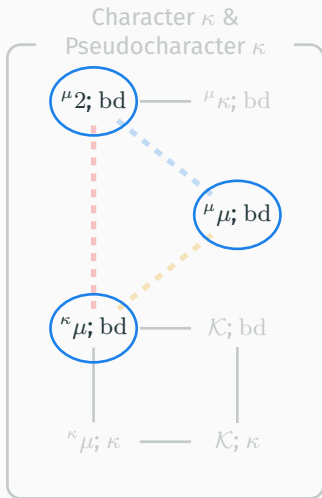
## Theorem

If  $\kappa$  is strongly compact, then  $({}^\mu 2, \kappa)$  and  $({}^\mu \kappa, \kappa)$  **are not** homeomorphic.

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# Meagre Sets

A subset  $A$  of a topological space is *nowhere dense* (nwd) if every nonempty open contains a nonempty open disjoint from  $A$ . For  $\nu$  a cardinal, a subset of a topological space is  $\nu$ -meagre if it is the union of  $\nu$ -many nwd sets.

For each of our 8 spaces, every  $\nu$ -meagre set is nwd if  $\nu < \kappa$ . Moreover, some  $\kappa$ -meagre set is not nwd. Finally, at least 6 of our spaces are  $\kappa^+$ -meagre in themselves (so,  $\text{cov}(\mathcal{M}_\tau^X) = \kappa^+$ ).

## Notation

For a space  $(X, \tau)$ , we write  $\mathcal{M}_\tau^X$  for the  $\kappa$ -meagre ideal of  $X$ .  
E.g.:  $\mathcal{M}_{\text{bd}}^{\kappa\mu}$ ,  $\mathcal{M}_\kappa^{\mu\mu}$ , etc.

For a space  $(X, \tau)$ , we may consider the forcing  $\mathbb{C}_\tau^X$  consisting of nonempty open sets ordered by inclusion. For instance,  $\mathbb{C}_{\text{product}}^{\omega\omega}$  is just Cohen forcing.

**Lemma** *Cf. Landver 1992, Lemma 1.3*

If  $\mathbb{C}_\tau^X$  collapses  $\kappa^+$ , then  $\text{cov}(\mathcal{M}_\tau^X) = \kappa^+$ .

*Proof.* Let  $\dot{f}$  name an injection from  $(\kappa^+)^{\mathbf{V}}$  to  $\kappa$  and for each  $\alpha \in \kappa^+$  let  $D_\alpha$  be the set of conditions deciding  $\dot{f}(\alpha)$ . Then  $X \setminus \bigcup D_\alpha$  is nwd in  $X$ , and  $\{X \setminus \bigcup D_\alpha \mid \alpha \in \kappa^+\}$  covers  $X$ : otherwise there would be  $p \in \bigcap_{\alpha \in \kappa^+} D_\alpha$ , which is absurd.  $\square$

**Theorem**

$$\begin{aligned}\kappa^+ &= \text{cov}(\mathcal{M}_{\text{bd}}^{\kappa\mu}) = \text{cov}(\mathcal{M}_{\text{bd}}^{\mu\mu}) = \text{cov}(\mathcal{M}_{\text{bd}}^{\mu 2}) \\ &= \text{cov}(\mathcal{M}_\mu^{\mu\mu}) = \text{cov}(\mathcal{M}_\mu^{\mu 2}) = \text{cov}(\mathcal{M}_\kappa^{\mu\mu}).\end{aligned}$$

Note that  $\mathbb{C}_{\kappa}^{\mu 2}$  is forcing equivalent to the  $\kappa$ -support product of  $\kappa$ -Cohen forcing  $\mathbb{C}_{\kappa}^{\kappa 2}$  of length  $\mu$ . Hence, Landver's Lemma is not usable to determine whether  $\text{cov}(\mathcal{M}_{\kappa}^{\mu 2}) = \kappa^{+}$ .

## Theorem

$$\kappa^{+} \leq \text{cov}(\mathcal{M}_{\kappa}^{\mu 2}) \leq \text{cov}(\mathcal{M}_{\kappa}^{\kappa 2}).$$

## Conjecture

$$\text{cov}(\mathcal{M}_{\kappa}^{\mu 2}) = \text{cov}(\mathcal{M}_{\kappa}^{\kappa 2}).$$

A similar situation occurs for  $\mathcal{M}_{\kappa}^{\mu \kappa}$ .

# Domination

Given  $f, g \in {}^\delta\rho$  and a cardinal  $\nu$  (either  $\kappa$  or  $\mu$  in our case), we define the following orders.

Let  $f \leq_\nu g$  if  $|\{\alpha \in \delta \mid f(\alpha) > g(\alpha)\}| < \nu$ .

Let  $f \leq_{\text{bd}} g$  if  $\{\alpha \in \delta \mid f(\alpha) > g(\alpha)\} \subseteq \beta$  for some  $\beta < \delta$ .

Let  $f \leq_{\text{all}} g$  if  $f(\alpha) \leq g(\alpha)$  for all  $\alpha \in \delta$ .

Let  $\mathfrak{b}_{(\cdot)}^{\delta\rho}$  be the least size of a  $\leq_{(\cdot)}$ -unbounded subset of  ${}^\delta\rho$  and

let  $\mathfrak{d}_{(\cdot)}^{\delta\rho}$  be the least size of a  $\leq_{(\cdot)}$ -dominating subset of  ${}^\delta\rho$ .

### Proposition

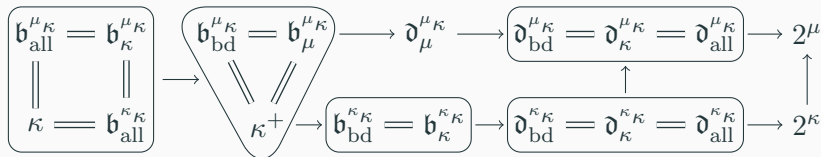
Assuming  ${}^\delta\rho$  itself is  $\leq_{(\cdot)}$ -unbounded,  $\mathfrak{b}_{(\cdot)}^{\delta\rho} \leq \mathfrak{d}_{(\cdot)}^{\delta\rho}$ .

$\mathfrak{b}_{\text{all}}^{\delta\rho} \leq \mathfrak{b}_{\kappa}^{\delta\rho} \leq \mathfrak{b}_{\text{bd}}^{\delta\rho} \leq \mathfrak{b}_{\mu}^{\delta\rho}$  and  $\mathfrak{d}_{\mu}^{\delta\rho} \leq \mathfrak{d}_{\text{bd}}^{\delta\rho} \leq \mathfrak{d}_{\kappa}^{\delta\rho} \leq \mathfrak{d}_{\text{all}}^{\delta\rho}$ .

If  $\tau$  is a cofinal subset of  $\rho$ , then  $\mathfrak{b}_{(\cdot)}^{\delta\rho} = \mathfrak{b}_{(\cdot)}^{\delta\tau}$  and  $\mathfrak{d}_{(\cdot)}^{\delta\rho} = \mathfrak{d}_{(\cdot)}^{\delta\tau}$ .

Domination on  ${}^{\kappa}\mu$  is equivalent to domination on  ${}^{\kappa}\kappa$ ; and domination on  ${}^{\mu}\mu$  is equivalent to domination on  ${}^{\mu}\kappa$ .

**Theorem** *Folklore; as in classical case; Brendle 2022; Hayashi's thesis*



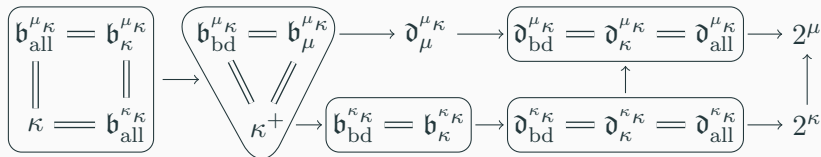
**Question**

Is  $\mathfrak{d}_{\mu}^{\mu\kappa} < \mathfrak{d}_{\kappa}^{\mu\kappa}$  consistent?



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**Theorem** *Folklore; as in classical case; Brendle 2022; Hayashi's thesis*



**Theorem** *Shelah 2019*

If  $\lambda^{\kappa} < \mu$  for all  $\lambda < \mu$ , then  $\mathfrak{d}_{\mu}^{\mu\kappa} = 2^{\mu}$ .

**Theorem** *Folklore? Hayashi 2025, § 5*

If  $\kappa$  is uncountable, then  $\mathfrak{d}_{\kappa}^{\mu\kappa} < 2^{\mu}$  is consistent.

**Theorem** *Hayashi 2025, § 5*

$\text{cof}([\mu]^{\kappa}, \subseteq) \leq \mathfrak{d}_{\mu}^{\mu\kappa}$ .

More About  $\mathcal{M}_{\text{bd}}^{\kappa\mu}$

**Theorem** *Folklore; as in the classical case*

$$\mathfrak{b}_\kappa = \mathfrak{b}_{\text{bd}}^{\kappa\mu} \leq \text{non}(\mathcal{M}_{\text{bd}}^{\kappa\mu}) \leq \text{cof}(\mathcal{M}_{\text{bd}}^{\kappa\mu}).$$

**Theorem** *Hayashi and vdV.*

$$\mu^{<\kappa} \leq \text{non}(\mathcal{M}_{\text{bd}}^{\kappa\mu}) \text{ and } \text{cof}([\mu]^\kappa, \subseteq) \leq \text{non}(\mathcal{M}_{\text{bd}}^{\kappa\mu}).$$

*Proof.* Let  $X \subseteq {}^\kappa\mu$  with  $|X| < \mu^{<\kappa}$  and  $s \in \mu^{<\kappa}$ . Then there is some  $t \in \mu^{<\kappa}$  extending  $s$  with  $[t] \cap X = \emptyset$ .

Let  $X \subseteq {}^\kappa\mu$  with  $|X| < \text{cof}([\mu]^\kappa, \subseteq)$ . Then there is  $y \in [\mu]^\kappa$  with  $\forall f \in X (y \not\subseteq \text{ran}(f))$ , so  $X \subseteq \bigcup_{\alpha \in y} \{f \in {}^\mu\kappa \mid \alpha \notin \text{ran}(f)\}$ .  $\square$

**Question**

Is  $\text{non}(\mathcal{M}_{\text{bd}}^{\kappa\mu}) < \mu^\kappa$  consistent?

## Theorem *Brendle 2022*

If  $\tilde{\kappa}$  is regular uncountable and  $\tilde{\lambda} = 2^{<\tilde{\kappa}}$ , then  $\mathfrak{d}_{\text{bd}}^{\tilde{\lambda}\tilde{\kappa}} \leq \text{cof}(\mathcal{M}_{\text{bd}}^{\tilde{\kappa}\tilde{\kappa}})$ .

**N.B.:** Since  $\tilde{\lambda}^+ \leq \mathfrak{d}_{\text{bd}}^{\tilde{\lambda}\tilde{\kappa}}$ , it follows that  $2^{\tilde{\kappa}} < \text{cof}(\mathcal{M}_{\text{bd}}^{\tilde{\kappa}\tilde{\kappa}})$  is consistent, e.g. when  $2^{\tilde{\kappa}} = 2^{<\tilde{\kappa}}$ .

## Theorem *Hayashi and vdV.*

Let  $\lambda = \mu^{<\kappa}$ , then  $\mathfrak{d}_{\text{bd}}^{\lambda\kappa} \leq \text{cof}(\mathcal{M}_{\text{bd}}^{\kappa\mu})$ .

## Corollary

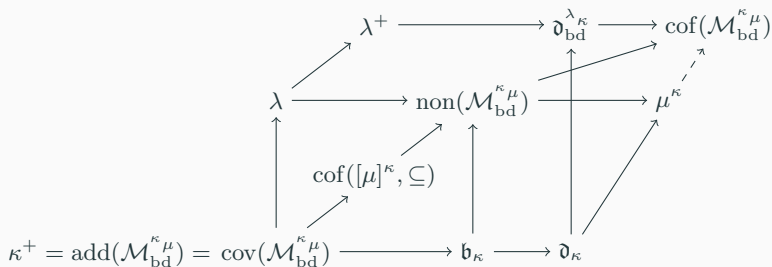
$\lambda^+ \leq \text{cof}(\mathcal{M}_{\text{bd}}^{\kappa\mu})$  and  $\mathfrak{d}_{\kappa} = \mathfrak{d}_{\text{bd}}^{\kappa\mu} \leq \text{cof}(\mathcal{M}_{\text{bd}}^{\kappa\mu})$  and it is consistent that  $\mu^{\kappa} < \text{cof}(\mathcal{M}_{\text{bd}}^{\kappa\mu})$ .

## Theorem *Hayashi and vdV.*

If  $2^{\kappa} < \mu^{\kappa}$ , then  $\mu^{\kappa} \leq \text{cof}(\mathcal{M}_{\text{bd}}^{\kappa\mu})$ .

We don't know if the assumption " $2^{\kappa} < \mu^{\kappa}$ " is necessary here.

Let  $\lambda = \mu^{<\kappa}$ .



Apart from the mentioned questions;

What about  $\text{non}(\mathcal{M}_\tau^X)$  &  $\text{cof}(\mathcal{M}_\tau^X)$  for the 7 other  $(X, \tau)$ ?

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