



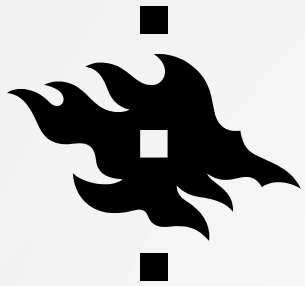
RESEARCH COUNCIL OF FINLAND REQUIREMENTS FOR OPEN ACCESS

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CONTENTS

- Background
 - Open Science & Open Access
 - Plan S
 - DORA
- Research Council of Finland requirements
 - Three alternatives to fulfilling the OA requirements for research articles
 - Requirements for monographs, book articles and conference proceedings
- Practical tips for the section Responsible Science in the research plan

BACKGROUND: OPEN SCIENCE, PLAN S, DORA



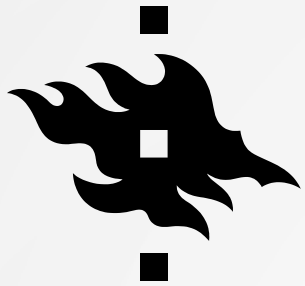
RESEARCH COUNCIL OF FINLAND AND OPEN SCIENCE

- *“Promoting open science is one of the scientific policy factors we have identified as a criterion for our funding decisions.”*
 - <https://www.aka.fi/en/research-funding/responsible-science/open-science/our-science-policy-objectives/>
- Review Criteria for applications include *“feasibility of research plan (incl. responsible science)”*



BACKGROUND: OPEN ACCESS PUBLISHING

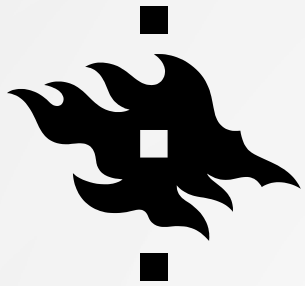
- Open Access publications are freely available online, with user rights and the terms of copyright defined
- Routes to Open Access:
 - **Gold**: Open Access journals
 - **Green**: Self-archival to an institutional repository
 - **Transformative**: Journals in the process of transforming to OA journals
 - **Hybrid**: A journal which includes both open and non-open articles



PLAN S AND RESEARCH COUNCIL OF FINLAND

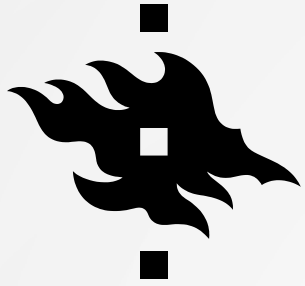


- Research Council of Finland is a partner in the Open Access initiative Plan S by cOAlition S, a group of science funders
- Plan S is aiming to make all publicly funded research articles openly available without embargos.
- Accepted routes for open access are Gold, Green and Transformative OA
- Plan S requirements have been included in Research Council's funding calls from 2021 onwards.

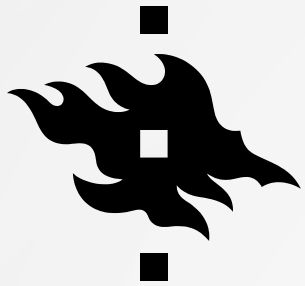


DORA DECLARATION

- Research Council of Finland is also committed to [The San Francisco Declaration on Research Assessment \(DORA\)](#)
 - <https://www.aka.fi/en/about-us/whats-new/press-releases/2019/academy-of-finland-signs-the-declaration-on-research-assessment-dora/>
- According to DORA research needs to be assessed on its own merits rather than based on the venue in which the research is published.
- DORA states that the impact factor (e.g. JIF or h-index) is not to be used as a substitute to measure the quality of researchers or their research.



RESEARCH COUNCIL OF FINLAND REQUIREMENTS



OPEN ACCESS REQUIREMENTS FOR PEER-REVIEWED ARTICLES

- “*The Research Council of Finland requires that projects funded by us commit to ensuring **immediate open access to their peer-reviewed articles** in accordance with [Plan S principles](#) and [Finland’s national policy for open access to scholarly publications](#)”*
- <https://www.aka.fi/en/research-funding/responsible-science/open-science/academy-policies-on-open-science/open-access-to-scientific-publications/>
- Three alternatives to meeting the Council’s requirement



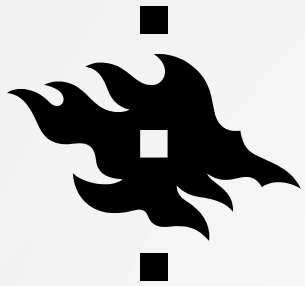
ALTERNATIVE 1 FOR PEER-REVIEWED ARTICLES: OA JOURNAL

- Publishing the article in a Plan S -compliant scientific journal based on immediate open access (Gold Open Access)
- Compliance can be checked from Journal Checker Tool <https://journalcheckertool.org/> by cOAlition S.
 - Please note, the tool uses the name Academy of Finland when you search for funders
- For Finnish scientific journals, Research Council's criteria is slightly less strict
 - See [guidance on support for identifying compliant publication channels and repositories](#)
- Creative Commons license required
 - CC BY (4.0) recommended, but CC BY-SA, CC0 and CC BY-ND also possible
- Article Processing Charge (APC) often required



UH SUPPORT FOR COVERING ARTICLE PROCESSING CHARGES

- If the journal charges an APC University of Helsinki will in many cases cover the Article Processing Charge (APC) to the UH corresponding author. Helsinki University Library handles the payment.
- Detailed information in the Library's APC guide <https://libraryguides.helsinki.fi/apc/journals> or ask from hulib-apc@helsinki.fi
 - University of Helsinki requires JUFO 1 or higher
- Be aware of predatory publishers!
 - Library has a guide on predatory and controversial publishers (including MDPI and Frontiers): <https://libraryguides.helsinki.fi/oa/eng/assessingjournalquality>. Or send a question to openaccess@helsinki.fi
- On Creative Commons licenses, see our license guide: <https://libraryguides.helsinki.fi/oa/eng/license>



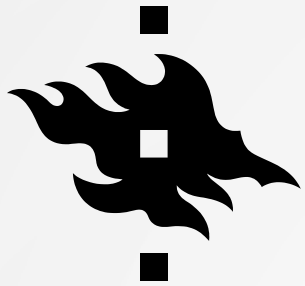
ALTERNATIVE 2: SELF-ARCHIVAL

- Making the article openly and immediately available in a repository either as a publisher's version (Version of Record) or as an Author Accepted Manuscript (final draft)
 - Please find out about publisher's policy in Sherpa Romeo database (<https://openpolicyfinder.jisc.ac.uk/>)
- Not all journals allow self-archiving without an embargo. The Research Council of Finland encourages the authors to propose making the article openly available immediately and agreeing on this with the publisher
 - Instructions: <https://www.coalition-s.org/resources/rights-retention-strategy/>
- If permission for immediate open access is not given the article can be self-archived with a short embargo
 - Up to 12 months for social sciences and the humanities, up to 6 months for other scientific disciplines, CC BY-license



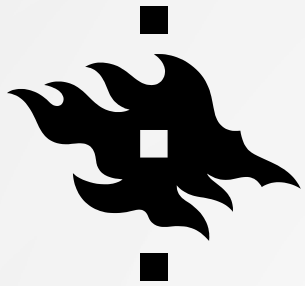
UH SUPPORT FOR SELF-ARCHIVAL - GREEN OA

- University of Helsinki repository Helda is available for self-archival
- **Deposit service:** send your article to the library, mention that the article is written under Research Council of Finland funding (applied from 2021-), add publication information and enclose both the published version and the author's accepted manuscript.
- You can also submit the article to Tuhat yourself. See the guide to self-archiving : <https://libraryguides.helsinki.fi/oa/eng/self-archivingguide>
- Our address is openaccess@helsinki.fi
- See also our guide: <https://libraryguides.helsinki.fi/oa/green>
- Green open access is always free of charge.



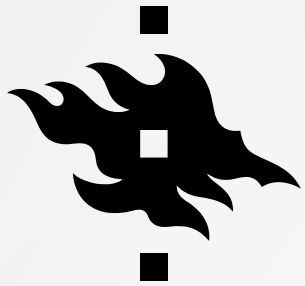
ALTERNATIVE 3: TRANSFORMATIVE AGREEMENTS AND JOURNALS

- Scientific hybrid-style publications whose publisher has committed to promoting open access following Plan S (transformative journals)
- “Publishing articles in a scientific journal supported by a [transformative agreement](#) between a publisher committed to promoting immediate open access and a representative of the scientific community (e.g. [the FinELib Consortium](#) or an individual research organisation) or in a scientific journal committed to promoting immediate open access ([transformative journal](#)).”
- How can a transformative agreement or journal be identified? Also in this case, please use the <https://journalcheckertool.org/> to check compliancy.



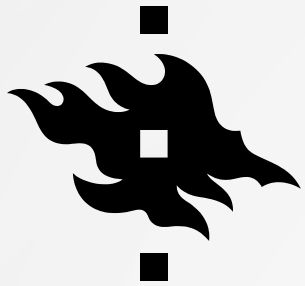
UH SUPPORT FOR TRANSFORMATIVE JOURNALS

- University of Helsinki support covers Plan S compliant transformative journals and publishers
- Transformative agreements with several major international publishers (Elsevier, Wiley, Springer etc.)
- Corresponding authors can publish their articles open access without an Article Processing Charge (APC) under the terms of the agreements
- See Library's APC guide <https://libraryguides.helsinki.fi/apc/journals> for guidelines and current agreements



HYBRID OPEN ACCESS NOT ACCEPTED BY THE COUNCIL

- *“Peer-reviewed articles produced in projects funded by us may not be published using the so-called hybrid model, where individual articles published in subscription-based journals are made open access on payment.”*
- If you are unsure, please use the <https://journalcheckertool.org/>



FINANCING OPEN ACCESS AND OBLIGATIONS FOR SITES OF RESEARCH

- The costs of making **peer-reviewed articles** immediately available are included in the overheads of the sites of research and thus form part of the costs of the basic facilities they provide
 - <https://www.aka.fi/en/research-funding/apply-for-funding/how-to-use-funding/>
 - The open access costs are paid from overheads
- UH will cover your publishing charges of your peer-reviewed articles
 - In UH the Library manages APC charges. Please contact hulib-apc@helsinki.fi



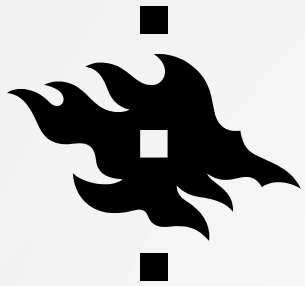
RESEARCH COUNCIL REQUIREMENT FOR SELF-ARCHIVAL

- The scientific publications of projects funded by Research Council of Finland must additionally be submitted to a repository that guarantees long-term storage and open access
- At the University of Helsinki Helda repository is available for self-archival of UH scientific publications
 - Send your publication to openaccess@helsinki.fi



REQUIREMENTS FOR MONOGRAPHS AND CONFERENCE PROCEEDINGS

- The Research Council of Finland recommends researchers to publish their conference articles and monographs with open access.
- Guidelines on the implementation and funding of open access to conference proceedings and monographs will be published once the national policy on open access to scholarly publications has been updated
- At the moment UH will not cover open access charges monographs, book chapters or conference articles.
- You can include the costs of the open access monograph etc. to the budget in your project application (research costs).
- Please note that UH has publication services for open access monographs.
 - [Helsinki University Press](#)
 - [Helda Open Books](#)

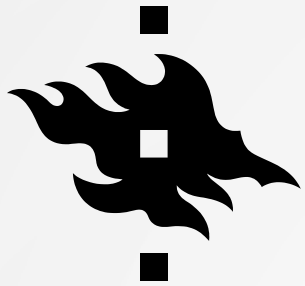


WHAT TO WRITE ON OPEN ACCESS IN THE RESEARCH PLAN?



SOME PRACTICAL TIPS CONCERNING OA IN THE RESEARCH PLAN

- “As regards open science, responsible science is related to publication plans that support open access. We require that the projects we fund commit to open access publishing.” (<https://www.aka.fi/en/research-funding/apply-for-funding/how-to-apply-for-funding/az-index-of-application-guidelines2/responsible-science/>)
- The research plan section 3, Responsible science:
 - “Consideration of research ethics, **open access to research publications** and data, promotion of equality and nondiscrimination in society at large and in the project implementation.”



SOME PRACTICAL TIPS CONCERNING OA THE RESEARCH PLAN

- What you can include in the research plan section 3, Responsible Science
 - Explain how you will make your peer-reviewed articles openly available immediately
 - Specify the implementation of open access to publications – what kind of open access is applied (gold, green, transformative) – no specific publication channels, though
 - If you plan to write a monograph, book articles or conference proceedings, you can mention that you are adding charges to the research costs
- Do not specify journals or publication venues under the heading Responsible Science – due to DORA declaration only the content of the articles matter



THANK YOU!