



UNIVERSITY OF HELSINKI

Analyzing Arrow's Theorem Through Dependence and Independence Logic

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Partly based on joint work with Eric Pacuit (Maryland)

Outline

- 1 Arrow's Impossibility Theorem
- 2 Formalizing Arrow's Theorem in dependence and independence logic
- 3 Arrow's Theorem as a dependency strengthening theorem

Social Choice Theory



Preference Aggregation

- A set of alternatives: $A = \{a, b, c, d, \dots\}$
- A finite set of voters: $\{v_1, \dots, v_n\}$
- A ranking $R \subseteq A \times A$ is a transitive and complete relation on A .
- A linear ranking is a ranking that is a linear relation.
Denote by $L(A)$ the set of all linear rankings of A .

year	voter 1	voter 2	...	voter n	group decision
2000	abc	cab	...	acb	?
2001	bac	cba	...	cba	?
2002	cba	bca	...	acb	?
2003	cab	cba	...	acb	?

Condorcet Paradox (18th century)

Problem with the **Majority Rule**:

voter 1	voter 2	voter 3	group decision
a	c	b	
b	a	c	?
c	b	a	

- Does the group prefer a over b? Yes.
- Does the group prefer b over c? Yes.
- Does the group prefer a over c? No.

$$a > b > c > a$$

Condorcet Paradox (with arbitrary rankings)

Problem with the **Majority Rule**:

voter 1	voter 2	voter 3	voter 4	voter 5	group decision
a	a	c	b	b	
b~c	b	a	c	c	?
	c	b	a	a	

- Does the group prefer a strictly over b? Yes.
- Does the group prefer b strictly over c? Yes.
- Does the group prefer a strictly over c? No.

$$a > b > c > a$$

Condorcet Paradox (with arbitrary rankings)

Problem with the **Majority Rule**:

voter 1	voter 2	voter 3	voter 4	voter 5	group decision
a	a	c	b	b	
b~c	b	a	c	c	?
	c	b	a	a	

- Does the group prefer a strictly over b? Yes.
- Does the group prefer b strictly over c? Yes.
- Does the group prefer a strictly over c? No.

$$a > b > c > a$$

May's Theorem (1952): When $|A| \leq 2$, the majority rule is the only "fair" aggregation rule.

Desiderata

- ① The voters' votes should *completely determine* the group decision.
- ② The voters' votes are *not constrained* in any way.
- ③ The group decision should depend *in the right way* on the voters' votes.

Dictatorship



Theorem (Arrow 1963)

If $|A| \geq 3$, then any preference aggregation rule $F : D \rightarrow L(A)$ ($D \subseteq L(A)^n$) satisfying *Universal Domain*, *Independence of Irrelevant Alternatives* and *Unanimity* is a *Dictatorship*.

Arrow's Impossibility Theorem

Theorem (Arrow 1963)

If $|A| \geq 3$, then any preference aggregation rule $F : D \rightarrow L(A)$ ($D \subseteq L(A)^n$) satisfying Universal Domain, Independence of Irrelevant Alternatives and Unanimity is a Dictatorship.



Nobel Prize in Economics, 1972
Kenneth Arrow



Nobel Prize in Economics, 1998
Amartya Kumar Sen
“Liberal paradox”

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voter 1	voter 2	...	voter n	group decision
abc	cab	...	acb	abc
bac	cba	...	cba	cba
cba	bca	...	abc	bca
cab	cba	...	acb	cab

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a profile \mathbf{R}



v_1	v_2	\dots	v_n	u
abc	cab	\dots	acb	abc
cab	bca	\dots	cba	cba
cba	cab	\dots	abc	bca
cab	bca	\dots	acb	cab

$= F(\mathbf{R})$

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$$dom(F) = L(A)^n$$

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abc	\cdots	acb	\cdots	acb	acb
bca	\cdots	abc	\cdots	bac	abc
cba	\cdots	cab	\cdots	bca	cab

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Goal of the talk:

Formalize & analyze Arrow's Theorem in

Dependence and Independence Logic

Previous formalizations :

- in propositional logic: [Tang, Lin 2009]
- in first-order logic: [Grandi, Endriss 2013]
- in modal logic: [Ågotnes, van der Hoek, Wooldridge 2011], [Cinà, Endriss 2015]
- in higher order logic: [Nipkow 2009], [Wiedijk 2007]

Formalizing Arrow's Theorem in dependence and independence logic

Logics for expressing dependencies

$$\forall u \exists v \forall x \exists y \phi$$

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Henkin Quantifiers (1961):

$$\forall u \exists v \forall x \exists^{\textcolor{red}{y}} \phi$$

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Independence-Friendly Logic (Hintikka and Sandu, 1989):

$$\forall u \exists v \forall x \exists y / \{u\} \phi$$

Thm. (Enderton, Walkoe, Hintikka)

FO + Henkin quantifiers \equiv IF-logic $\equiv \Sigma_1^1$

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Dependence Logic (Väänänen 2007):

$$\forall u \exists v \forall x \exists y (\textcolor{blue}{=(x; y)} \wedge \phi)$$

\exists^f

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Dependence Logic (Väänänen 2007):

$$\forall u \exists v \forall x \exists y (\textcolor{blue}{=}(x; y) \wedge \phi)$$

\exists^f

Independence Logic (Grädel, Väänänen 2013):

$$\forall u \exists v \forall x \exists y (\textcolor{blue}{\perp}(u; y) \wedge \phi)$$

$\not\exists$

Thm. (Enderton, Walkoe, Hintikka, Väänänen, Grädel)

FO + Henkin quantifiers \equiv IF-logic \equiv (In)dependence logic $\equiv \Sigma_1^1 \equiv_{finite} NP$

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Independence Logic (Grädel, Väänänen 2013):

$$\forall u \exists v \forall x \exists y (\textcolor{blue}{u \perp y} \wedge \phi)$$

\nexists

Inclusion Logic (Galliani 2012):

$$\forall u \exists x (\textcolor{blue}{u \subseteq x} \wedge \phi)$$

Thm. (Enderton, Walkoe, Hintikka, Väänänen, Grädel)

FO + Henkin quantifiers \equiv IF-logic \equiv (In)dependence logic $\equiv \Sigma_1^1 \equiv_{finite} NP$

Thm. (Galliani and Hella 2013) Inclusion logic $\equiv GFP^+ \equiv_{finite, <} PTIME$

Functional dependency expressed in team semantics

Team semantics (Hodges 1997)

	v_1	v_2	v_3	u
s_1	bac	cab	abc	abc
s_2	bac	cab	abc	abc
s_3	cab	bca	acb	cba
s_4	cab	bca	acb	cba

$M \models_{s_2} =(\vec{v}; u) ?$

Functional dependency expressed in team semantics

Team semantics (Hodges 1997)

a team:

a set T of assignments

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$M \models_T =(\vec{v}; u)$ iff for any $s, s' \in T$,

$$s(\vec{v}) = s'(\vec{v}) \implies s(u) = s'(u).$$

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$M \models_T =(\vec{t}; \vec{t}')$ iff for any $s, s' \in T$,

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The functionality of aggregation rule:

$$\theta_F := = (v_1, \dots, v_n; u)$$

Define Γ_{DM} = “ $dom(M) = L(A)$.”

The signature \mathcal{L}_A consists of unary function symbols P_{ab} for each $(a, b) \in A \times A$.

- for all $> \in L(A)$, $P_{ab}^M(>) = 1$ iff $a > b$; $P_{ab}^M(>) = 0$ iff $a \not> b$.
- Define $\Gamma_{Ord} := \{\forall x((P_{ab}(x) = 1 \wedge P_{bc}(x) = 1) \rightarrow P_{ac}(x) = 1) \mid a, b, c \in A\} \cup \dots$

Independence of irrelevant alternatives (IIA)

IIA: The group decision on the relative preference between two alternatives a , b depends only on how the individual voters rank these two alternatives. It is independent of their rankings with respect to other alternatives.

	v_1	v_2	v_3	u
s_1	abc	bac	bca	cba
s_2	cab	bca	bac	bca
s_3	abc	bac	bca	bac

$$= (P_{ab}(v_1), \dots, P_{ab}(v_n); P_{ab}(u))$$

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$$-\theta_{IIA} := \bigwedge \{ = (P_{ab}(v_1), \dots, P_{ab}(v_n); P_{ab}(u)) \mid a, b \in A \}$$

Independence

Universal domain: $\text{dom}(F) = L(A)^n$

	v_1	v_2	v_3	u
s_1	abc	bac	cab	bca
s_2	acb	acb	bac	cba
s_3	acb	bac	cab	acb
s_4	abc	acb	bac	abc
⋮				

- $M \models_T$ iff for all $s, s' \in T$, there exists $s'' \in T$ s.t.
 $s''() = s()$ and $s''() = s'()$.

Independence

Universal domain: $\text{dom}(F) = L(A)^n$

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s_1	abc	bac	cab	bca
s_2	acb	acb	bac	cba
s_3	acb	bac	cab	acb
s_4	abc	acb	bac	abc
	⋮			

- $M \models_T v_1 \perp v_2 v_3$ iff for all $s, s' \in T$, there exists $s'' \in T$ s.t.
 $s''(v_1) = s(v_1)$ and $s''(v_2 v_3) = s'(v_2 v_3)$.

Independence: $\theta_I := \bigwedge \{v_i \perp \langle v_j \rangle_{j \neq i} \mid 1 \leq i \leq n\}$

Independence

Universal domain: $\text{dom}(F) = L(A)^n$

		v_1	v_2	v_3	u
s	s_1	abc	bac	cab	bca
s'	s_2	acb	acb	bac	cba
	s_3	acb	bac	cab	acb
	s_4	abc	acb	bac	abc
		⋮			

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Universal domain: $\text{dom}(F) = L(A)^n$

		v_1	v_2	v_3	u
s	s_1	abc	bac	cab	bca
s'	s_2	acb	acb	bac	cba
s''	s_3	acb	bac	cab	acb
s''	s_4	abc	acb	bac	abc
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s''	s_3	acb	bac	cab	acb
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		⋮			

- $M \models_T \vec{t} \perp \vec{t}'$ iff for all $s, s' \in T$, there exists $s'' \in T$ s.t.
 $s''(\vec{t}) = s(\vec{t})$ and $s''(\vec{t}') = s'(\vec{t}')$.

Independence: $\theta_I := \bigwedge \{v_i \perp \langle v_j \rangle_{j \neq i} \mid 1 \leq i \leq n\}$

Inclusion

Universal domain: $\text{dom}(F) = L(A)^n$.

	x	v_1	v_2	v_3	u
s_1	abc	acb	cab	bac	bca
s_2	acb	bac	bac	abc	cba
s_3	bac	abc	cab	acb	acb
s_4	bca	cba	acb	abc	abc
s_5	cab	bca	acb	abc	abc
s_6	cba	bac	acb	bac	bac
⋮					

- $M \models_T x \subseteq v_i$ iff for all $s \in T$, there exists $s' \in T$ such that $s(x) = s'(v_i)$.

All ranking: $\theta_{AR} := \forall x (x \subseteq v_i)$

(Recall: $\text{dom}(M) = L(A)$.)

Inclusion

Universal domain: $\text{dom}(F) = L(A)^n$.

	x	v_1	v_2	v_3	u
s_1	abc	acb	cab	bac	bca
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All ranking: $\theta_{AR} := \bigwedge \{\forall x (x \subseteq v_i) : 1 \leq i \leq n\}$

(Recall: $\text{dom}(M) = L(A)$.)

Inclusion

Universal domain: $\text{dom}(F) = L(A)^n$.

	x	v_1	v_2	v_3	u
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		\vdots			

- $M \models_T \vec{t} \subseteq \vec{t}'$ iff for all $s \in T$, there exists $s' \in T$ such that $s(\vec{t}) = s'(\vec{t}')$.

All ranking: $\theta_{AR} := \bigwedge \{\forall x(x \subseteq v_i) : 1 \leq i \leq n\}$

(Recall: $\text{dom}(M) = L(A)$.)

Independence Logic = first-order logic + $=(\bar{t}; \bar{t}')$ + $\bar{t} \perp \bar{t}'$ + $\bar{t} \subseteq \bar{t}'$

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v_1	v_2	v_3	u
abc	cab	acb	abc
bca	abc	bac	abc
cab	acb	abc	cab

- Unanimity:

$$(P_{ab}(v_1) = 1 \wedge \dots \wedge P_{ab}(v_n) = 1) \rightarrow P_{ab}(u) = 1$$

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$$\theta_{UN} := \bigwedge_{a,b \in A} \left((P_{ab}(v_1) = 1 \wedge \dots \wedge P_{ab}(v_n) = 1) \rightarrow P_{ab}(u) = 1 \right)$$

Decisive sets and dictatorship

v_1	v_2	v_3	u
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- The set $\{v_{i_1}, \dots, v_{i_k}\}$ is decisive:

$$\delta(v_{i_1}, \dots, v_{i_k}) := \bigwedge_{a,b \in A} \left((P_{ab}(v_{i_1}) = 1 \wedge \dots \wedge P_{ab}(v_{i_k}) = 1) \rightarrow P_{ab}(u) = 1 \right)$$

- $\theta_{UN} = \delta(v_1, \dots, v_n)$

- Dictatorship: $\theta_D := \delta(v_i)$

Decisive sets and dictatorship

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Decisive sets and dictatorship

v_1	\dots	v_i	\dots	v_n	u
abc	\dots	acb	\dots	acb	acb
bca	\dots	bac	\dots	bac	bac
cba	\dots	cba	\dots	bca	cba

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Decisive sets and dictatorship

v_1	\dots	v_i	\dots	v_n	u
abc	\dots	acb	\dots	acb	acb
bca	\dots	bac	\dots	bac	bac
cba	\dots	cba	\dots	bca	cba

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Decisive sets and dictatorship

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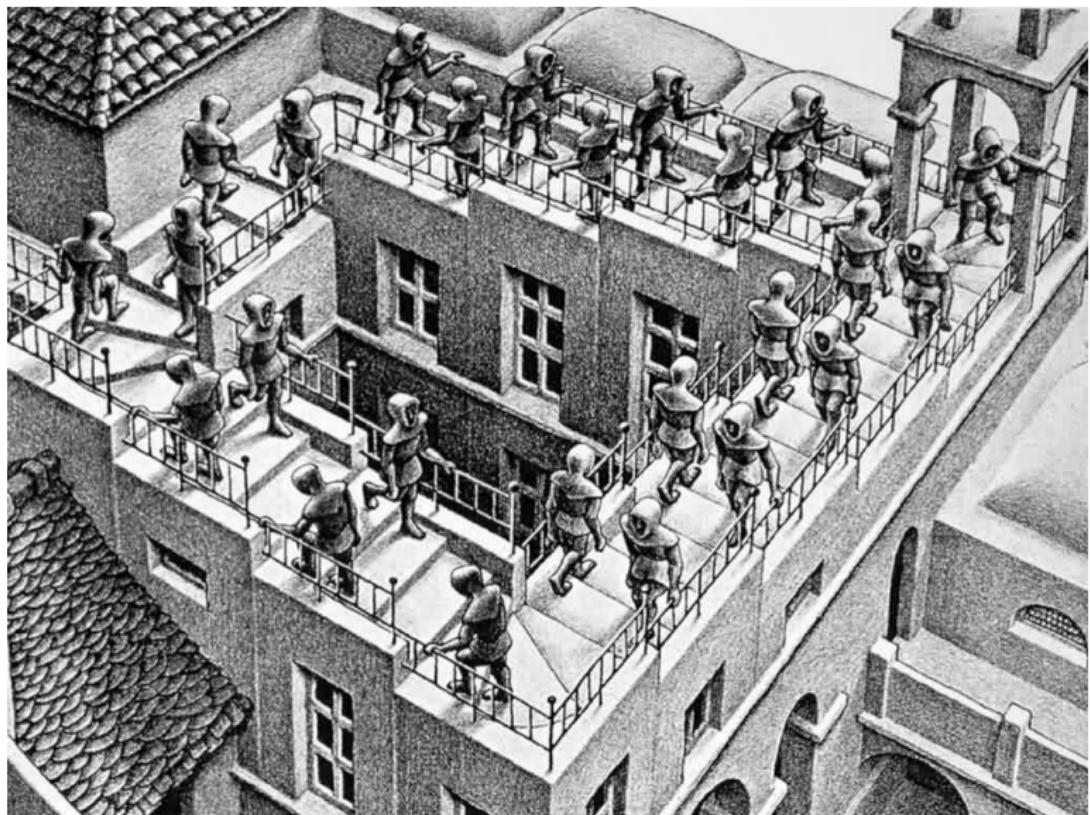
- Dictatorship: $\theta_D := \bigvee_{i=1}^n \delta(v_i)$

Theorem (Arrow 1963)

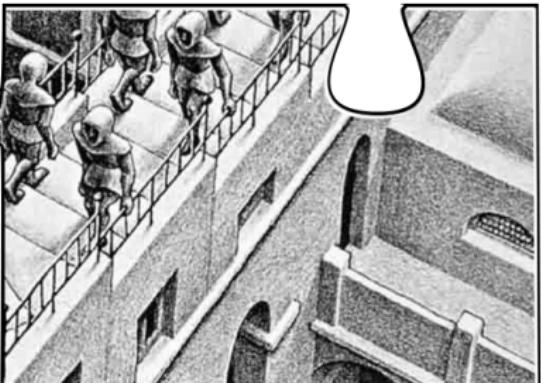
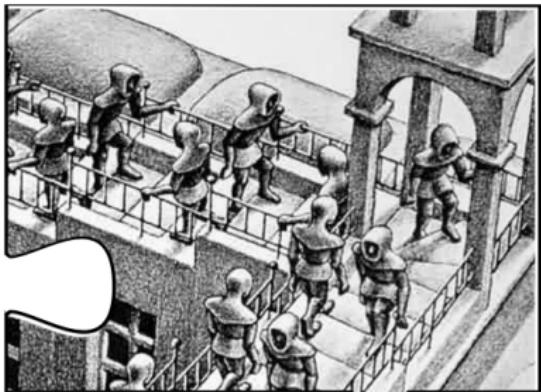
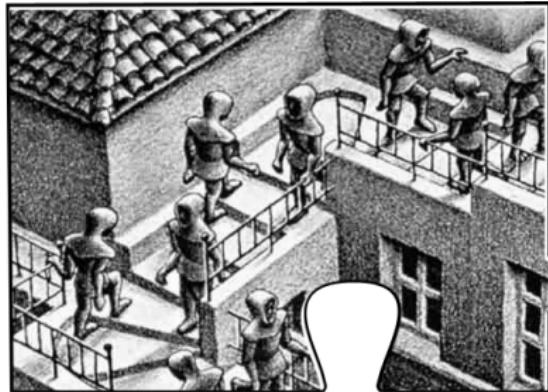
If $|A| \geq 3$, then any preference aggregation rule $F : D \rightarrow L(A)$ ($D \subseteq L(A)^n$) satisfying *Universal Domain*, *Independence of Irrelevant Alternatives* and *Unanimity* is a *Dictatorship*.

Let $\Gamma_{Arrow} = \Gamma_{Ord} \cup \{\theta_F, \theta_I, \theta_{AR}, \theta_{IIA}, \theta_{UN}\}$.

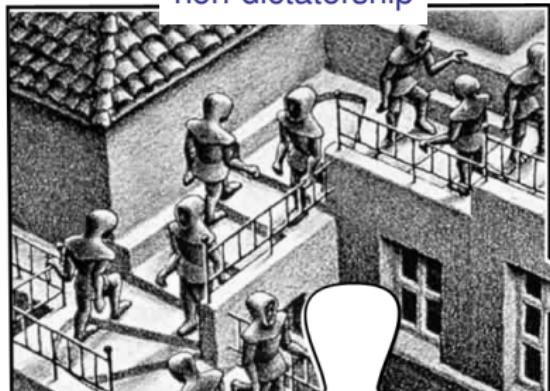
- $\Gamma_{Arrow} \models \theta_D$
- or $\Gamma_{Arrow}, \sim \theta_D \models \perp$



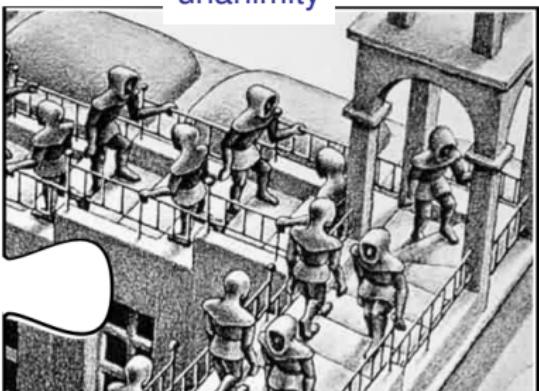
M. C. Escher, 1960



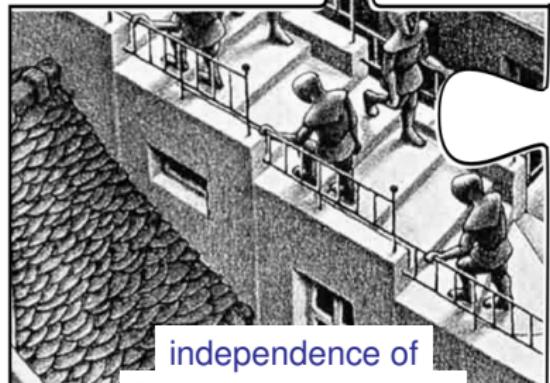
non-dictatorship



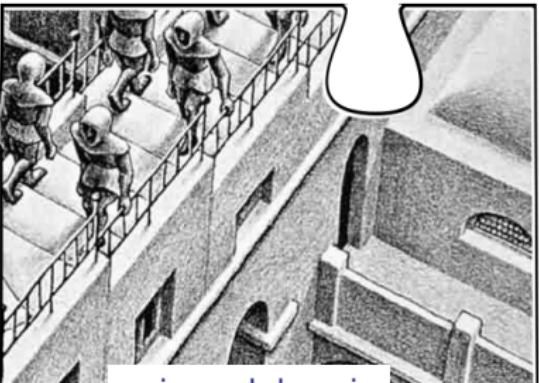
unanimity



independence of
irrelevant alternatives



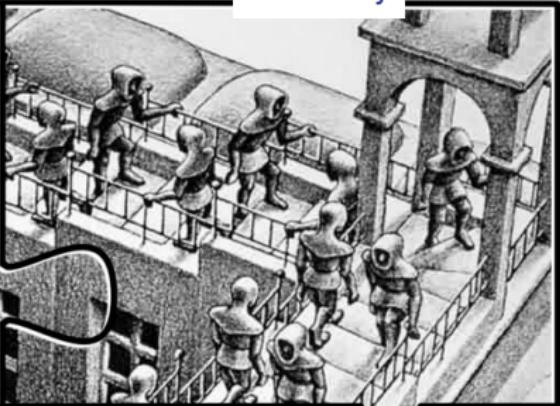
universal domain



non-dictatorship



unanimity



independence of
irrelevant alternatives



universal domain



Local **consistency** vs. global **inconsistency**

A formal proof of Arrow's Theorem

Let $\Gamma_{Arrow} = \{\Gamma_{Ord}, \theta_F, \theta_{AR}, \theta_I, \theta_{IIA}, \theta_U\}$.

- $\Gamma_{Arrow} \models \theta_D$
- or $\Gamma_{Arrow}, \sim \theta_D \models \perp$

$\implies \Gamma \vdash \theta_D$ (by Completeness Theorem, and by [\(Pacuit, Y. 2017\)](#))

Theorem ((Kontinen, Väänänen, 2012), (Hannula 2015), (Y. 2016))

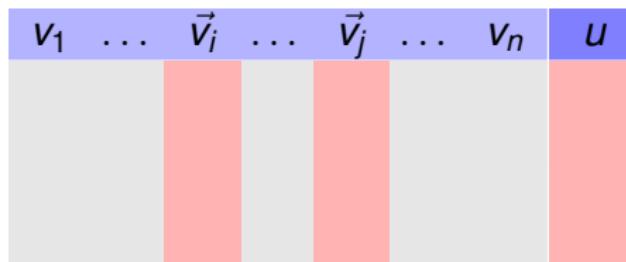
For any set $\Gamma \cup \{\theta\}$ of formulas of independence logic with θ
(essentially) first-order,

$$\Gamma \vdash \theta \iff \Gamma \models \theta.$$

Proof idea (based on [Arrow 51], [Blau 72], etc.)

Lemma. If $\{v_{i_1}, \dots, v_{i_k}\} \cap \{v_{j_1}, \dots, v_{j_m}\} = \emptyset$, then

$$\Gamma_{Arrow}, \delta(v_{i_1}, \dots, v_{i_k}, v_{j_1}, \dots, v_{j_m}) \vdash \delta(v_{i_1}, \dots, v_{i_k}) \vee \delta(v_{j_1}, \dots, v_{j_m})$$

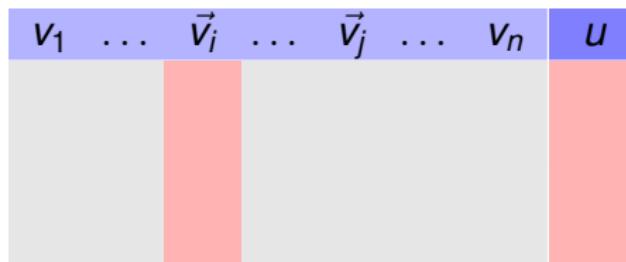


- $\delta(v_{i_1}, \dots, v_{i_k}) \rightsquigarrow = (v_{i_1}, \dots, v_{i_k}; u)$

Proof idea (based on [Arrow 51], [Blau 72], etc.)

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Therefore,

$$\begin{aligned} \Gamma_{Arrow}, \delta(v_1, \dots, v_n) &\vdash \delta(v_1) \vee \delta(v_2, \dots, v_n) \\ &\vdash \delta(v_1) \vee \delta(v_2) \vee \delta(v_3, \dots, v_n) \\ &\vdots \\ &\vdash \delta(v_1) \vee \delta(v_2) \vee \dots \vee \delta(v_n). \end{aligned}$$

• $\delta(v_{i_1}, \dots, v_{i_k}) \rightsquigarrow \neg(v_{i_1}, \dots, v_{i_k}; U)$

Proof idea

Lemma. If $\{v_{i_1}, \dots, v_{i_k}\} \cap \{v_{j_1}, \dots, v_{j_m}\} = \emptyset$, then

$$\Gamma_{Arrow}, \delta(v_{i_1}, \dots, v_{i_k}, v_{j_1}, \dots, v_{j_m}) \vdash \delta(v_{i_1}, \dots, v_{i_k}) \vee \delta(v_{j_1}, \dots, v_{j_m})$$

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• $\delta(v_{i_1}, \dots, v_{i_k}) \rightsquigarrow = (v_{i_1}, \dots, v_{i_k}; u)$

$$\bigwedge_{a,b \in A} \left((P_{ab}(v_{i_1}) = 1 \wedge \dots \wedge P_{ab}(v_{i_k}) = 1) \rightarrow P_{ab}(u) = 1 \right)$$

Proof idea

Lemma. If $\{v_{i_1}, \dots, v_{i_k}\} \cap \{v_{j_1}, \dots, v_{j_m}\} = \emptyset$, then

$$\Gamma_{Arrow}, =(v_{i_1}, \dots, v_{i_k}, v_{j_1}, \dots, v_{j_m}; u) \vdash =(v_{i_1}, \dots, v_{i_k}; u) \vee =(v_{j_1}, \dots, v_{j_m}; u)$$

Therefore,

$$\begin{aligned} \Gamma_{Arrow}, =(v_1, \dots, v_n; u) &\vdash =(v_1; u) \vee =(v_2, \dots, v_n; u) \\ &\vdash =(v_1; u) \vee =(v_2; u) \vee =(v_3, \dots, v_n; u) \\ &\vdots \\ &\vdash =(v_1; u) \vee =(v_2; u) \vee \dots \vee =(v_n; u). \end{aligned}$$

$$\bullet \quad \delta(v_{i_1}, \dots, v_{i_k}) \rightsquigarrow =(v_{i_1}, \dots, v_{i_k}; u)$$

$$\bigwedge_{a,b \in A} \left((P_{ab}(v_{i_1}) = 1 \wedge \dots \wedge P_{ab}(v_{i_k}) = 1) \rightarrow P_{ab}(u) = 1 \right)$$

Arrow's Theorem as a dependency strengthening theorem (work in progress)

A “dependency strengthening” theorem

$= (v_1, \dots, v_n; u)$ i.e., $u = F(v_1, \dots, v_n)$

v_1	$\dots \dots$	v_n	u

A “dependency strengthening” theorem

$$\begin{array}{c} \Gamma \\ =(v_1, \dots, v_n; u) \qquad \text{i.e., } u = F(v_1, \dots, v_n) \\ \Downarrow \\ =(v_1; u) \vee \dots \vee =(v_n; u) \qquad \text{i.e., } u = G(v_i) \end{array}$$

v_1	$\dots v_i \dots$	v_n	u

A “dependency strengthening” theorem

$$\begin{array}{c} \Gamma \\ =(v_1, \dots, v_n; u) \qquad \text{i.e., } u = F(v_1, \dots, v_n) \\ \downarrow \\ =(v_1; u) \vee \dots \vee =(v_n; u) \qquad \text{i.e., } u = G(v_i) \\ \downarrow \\ \delta(v_1) \vee \dots \vee \delta(v_n) \qquad \text{i.e., } v_i \text{ is a dictator} \end{array}$$

v_1	$\dots v_i \dots$	v_n	u
	cab		cab
	cba		cba
	bca		bca
	cba		cba

A “dependency strengthening” theorem

$$\begin{array}{c} \Gamma \\ =(v_1, \dots, v_n; u) \quad \text{i.e., } u = F(v_1, \dots, v_n) \\ \downarrow \\ =(v_1; u) \vee \dots \vee =(v_n; u) \quad \text{i.e., } u = G(v_i) \\ \downarrow \\ (u = v_1) \vee \dots \vee (u = v_n) \quad \text{i.e., } u = v_i \end{array}$$

v_1	$\dots v_i \dots$	v_n	u
	cab		cab
	cba		cba
	bca		bca
	cba		cba

A "dependency strengthening" theorem

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v_1	$\dots v_i \dots$	v_n	u
	cab		cab
	cba		cba
	bca		bca
	cba		cba

Work in progress: Find in general Γ and $\alpha(x)$ s.t.

$$\Gamma, =(\alpha(v_1), \dots, \alpha(v_n); \alpha(u)) \vdash \bigvee_{i=1}^n (\alpha(v_i) \leftrightarrow \alpha(u)).$$

Arrow's Thm and its generalizations (e.g., Kalai-Muller-Satterthwaite Thm) are special cases.

Analyzing Arrow's Theorem

v_1	v_2	v_3	u
acb	bac	cab	bca
abc	acb	bac	abc
bac	bac	cab	acb

Analyzing Arrow's Theorem

v ₁			v ₂	v ₃	u
P _{ab} (v ₁)	P _{bc} (v ₁)	P _{ac} (v ₁)			
1	0	1	acb	bac	cab
			abc	acb	bac
			bac	bac	acb

Analyzing Arrow's Theorem

v_1	v_2	v_3	u
acb	bac	cab	bca
abc	acb	bac	abc
bac	bac	cab	acb

$x_1y_1z_1$	$x_2y_2z_2$	$x_3y_3z_3$	xyz
101	011	100	010
111	101	010	111
011	011	100	101

Judgement aggregation: (Dietrich, List 2007), etc.

Analyzing Arrow's Theorem

v_1	v_2	v_3	u
acb	bac	cab	bca
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101	011	100	010
111	101	010	111
011	011	100	101

Functionality: $\theta_F := (x_1y_1z_1, \dots, x_ny_nz_n; xyz)$

Analyzing Arrow's Theorem

v_1	v_2	v_3	u
acb	bac	cab	bca
abc	acb	bac	abc
bac	bac	cab	acb

$x_1y_1z_1$	$x_2y_2z_2$	$x_3y_3z_3$	xyz
101	011	100	010
111	101	010	111
011	011	100	101

$$xyz = F(x_1y_1z_1, \dots, x_ny_nz_n)$$

Functionality: $\theta_F := (x_1y_1z_1, \dots, x_ny_nz_n; xyz)$

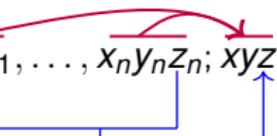
Analyzing Arrow's Theorem

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abc	acb	bac	abc
bac	bac	cab	acb

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101	011	100	010
111	101	010	111
011	011	100	101

$$\begin{aligned} xyz &= F(x_1y_1z_1, \dots, x_ny_nz_n) \\ &= f(\bar{x})g(\bar{y})h(\bar{z}) \end{aligned}$$

Functionality: $\theta_F := (x_1y_1z_1, \dots, x_ny_nz_n; xyz)$



IIA: $\theta_{IIA} := (x_1, \dots, x_n; x) \wedge (y_1, \dots, y_n; y) \wedge (z_1, \dots, z_n; z)$

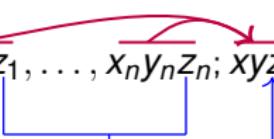
Analyzing Arrow's Theorem

v_1	v_2	v_3	u
acb	bac	cab	bca
abc	acb	bac	abc
bac	bac	cab	acb

$x_1y_1z_1$	$x_2y_2z_2$	$x_3y_3z_3$	xyz
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$$\begin{aligned} xyz &= F(x_1y_1z_1, \dots, x_ny_nz_n) \\ &= f(\bar{x})g(\bar{y})h(\bar{z}) \\ &= x_iy_iz_i \end{aligned}$$

Functionality: $\theta_F := (x_1y_1z_1, \dots, x_ny_nz_n; xyz)$



IIA: $\theta_{IIA} := (x_1, \dots, x_n; x) \wedge (y_1, \dots, y_n; y) \wedge (z_1, \dots, z_n; z)$

Dictatorship: $\theta_D := \bigvee_{i=1}^n xyz = x_iy_iz_i$

Analyzing Arrow's Theorem

v_1	v_2	v_3	u
acb	bac	cab	bca
abc	acb	bac	abc
bac	bac	cab	acb

$$\begin{aligned}xyz &= F(x_1y_1z_1, \dots, x_ny_nz_n) \\&= f(\bar{x})g(\bar{y})h(\bar{z}) \\&= x_iy_iz_i\end{aligned}$$

Functionality: $\theta_F := (x_1y_1z_1, \dots, x_ny_nz_n; xyz)$

IIA: $\theta_{IIA} := (x_1, \dots, x_n; x) \wedge (y_1, \dots, y_n; y) \wedge (z_1, \dots, z_n; z)$

Dictatorship: $\theta_D := \bigvee_{i=1}^n xyz = x_iy_iz_i$

Unanimity: $\theta_{UN} := (\bar{x} = \bar{1} \rightarrow x = 1) \wedge (\bar{x} = \bar{0} \rightarrow x = 0)$
 $\wedge (\bar{y} = \bar{1} \rightarrow y = 1) \wedge (\bar{y} = \bar{0} \rightarrow y = 0)$
 $\wedge (\bar{z} = \bar{1} \rightarrow z = 1) \wedge (\bar{z} = \bar{0} \rightarrow z = 0)$

Fixed values

Analyzing Arrow's Theorem

v_1	v_2	v_3	u
acb	bac	cab	bca
abc	acb	bac	abc
bac	bac	cab	acb

$$\begin{aligned}xyz &= F(x_1y_1z_1, \dots, x_ny_nz_n) \\&= f(\bar{x})g(\bar{y})h(\bar{z}) \\&= x_iy_iz_i\end{aligned}$$

Functionality: $\theta_F := (x_1y_1z_1, \dots, x_ny_nz_n; xyz)$

IIA: $\theta_{IIA} := (x_1, \dots, x_n; x) \wedge (y_1, \dots, y_n; y) \wedge (z_1, \dots, z_n; z)$

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 $\wedge (\bar{z} = \bar{1} \rightarrow z = 1) \wedge (\bar{z} = \bar{0} \rightarrow z = 0)$

Fixed values

Universal Domain: $\theta_{UD} := \bigwedge \{b_1b_2b_3 \subseteq x_iy_iz_i \mid b_1, b_2, b_3 \in \{0, 1\}, b_1 = b_2 \rightarrow b_3 = b_1\}$
 $\wedge \bigwedge \{x_iy_iz_i \perp \langle x_jy_jz_j \mid j \neq i \rangle \mid 1 \leq i \leq n\}$

Collective Rationality: $\theta_{CR} := (x = 1 \wedge y = 1 \rightarrow z = 1) \wedge (x = 0 \wedge y = 0 \rightarrow z = 0)$
Excluded values and interactions

Dependency strengthening theorems

v_1	v_2	v_3	u
abc	bac	cab	bca
acb	acb	bac	abc
acb	bac	cab	acb

$$\begin{aligned}xyz &= F(x_1y_1z_1, \dots, x_ny_nz_n) \\&= f(\bar{x})g(\bar{y})h(\bar{z})\end{aligned}$$

Functionality: $\theta_F := = (x_1y_1z_1, \dots, x_ny_nz_n; xyz)$

IIA: $\theta_{IIA} := = (x_1, \dots, x_n; x) \wedge = (y_1, \dots, y_n; y) \wedge = (z_1, \dots, z_n; z)$

Dictatorship: $\theta_D := \vee_{i=1}^n xyz = \text{id}(x_i)\text{id}(y_i)\text{id}(z_i)$

Unanimity: $\theta_{UN} := (\bar{x} = \bar{1} \rightarrow x = 1) \wedge (\bar{x} = \bar{0} \rightarrow x = 0)$
 $\wedge (\bar{y} = \bar{1} \rightarrow y = 1) \wedge (\bar{y} = \bar{0} \rightarrow y = 0)$
 $\wedge (\bar{z} = \bar{1} \rightarrow z = 1) \wedge (\bar{z} = \bar{0} \rightarrow z = 0)$

Fixed values

Universal Domain: $\theta_{UD} := \bigwedge \{b_1b_2b_3 \subseteq x_iy_iz_i \mid b_1, b_2, b_3 \in \{0, 1\}, b_1 = b_2 \rightarrow b_3 = b_1\}$
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Excluded values and interactions

Dependency strengthening theorems

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$$\begin{aligned}xyz &= F(x_1y_1z_1, \dots, x_ny_nz_n) \\&= f(\bar{x})g(\bar{y})h(\bar{z})\end{aligned}$$

Functionality: $\theta_F := (x_1y_1z_1, \dots, x_ny_nz_n; xyz)$

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Dictatorship: $\theta_D := \bigvee_{i=1}^n xyz = \text{id}(x_i)\text{id}(y_i)\text{id}(z_i)$

Unanimity: $\theta_{UN} := (\bar{x} = \bar{1} \rightarrow x = \text{id}(1)) \wedge (\bar{x} = \bar{0} \rightarrow x = \text{id}(0))$
 $\wedge (\bar{y} = \bar{1} \rightarrow y = 1) \wedge (\bar{y} = \bar{0} \rightarrow y = 0)$
 $\wedge (\bar{z} = \bar{1} \rightarrow z = 1) \wedge (\bar{z} = \bar{0} \rightarrow z = 0)$

Fixed values

Universal Domain: $\theta_{UD} := \bigwedge \{b_1b_2b_3 \subseteq x_iy_iz_i \mid b_1, b_2, b_3 \in \{0, 1\}, b_1 = b_2 \rightarrow b_3 = b_1\}$
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Excluded values and interactions

Dependency strengthening theorems

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$$\begin{aligned}xyz &= F(x_1y_1z_1, \dots, x_ny_nz_n) \\&= f(\bar{x})g(\bar{y})h(\bar{z})\end{aligned}$$

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IIA: $\theta_{IIA} := (x_1, \dots, x_n; x) \wedge (y_1, \dots, y_n; y) \wedge (z_1, \dots, z_n; z)$

Dictatorship: $\theta_D^{\text{id}} := \bigvee_{i=1}^n xyz = \text{id}(x_i)\text{id}(y_i)\text{id}(z_i)$

Unanimity: $\theta_{UN}^{\text{id}} := (\bar{x} = \bar{1} \rightarrow x = \text{id}(1)) \wedge (\bar{x} = \bar{0} \rightarrow x = \text{id}(0))$
 $\wedge (\bar{y} = \bar{1} \rightarrow y = \text{id}(1)) \wedge (\bar{y} = \bar{0} \rightarrow y = \text{id}(0))$
 $\wedge (\bar{z} = \bar{1} \rightarrow z = \text{id}(1)) \wedge (\bar{z} = \bar{0} \rightarrow z = \text{id}(0))$

Fixed values

Universal Domain: $\theta_{UD}^{\text{id}} := \bigwedge \{b_1b_2b_3 \subseteq x_iy_iz_i \mid b_1, b_2, b_3 \in \{0, 1\}, b_1 = b_2 \rightarrow b_3 = \text{id}(b_1)\}$
 $\wedge \bigwedge \{x_iy_iz_i \perp \langle x_jy_jz_j \mid j \neq i \rangle \mid 1 \leq i \leq n\}$

Collective Rationality: $\theta_{CR}^{\text{id}} := (x = 1 \wedge y = 1 \rightarrow z = \text{id}(1)) \wedge (x = 0 \wedge y = 0 \rightarrow z = \text{id}(0))$
Excluded values and interactions

Dependency strengthening theorems

v_1	v_2	v_3	u
abc	bac	cab	bca
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$$\begin{aligned} xyz &= F(x_1y_1z_1, \dots, x_ny_nz_n) \\ &= f(\bar{x})g(\bar{y})h(\bar{z}) \end{aligned}$$

Functionality: $\theta_F := (x_1y_1z_1, \dots, x_ny_nz_n; xyz)$

IIA: $\theta_{IIA} := (x_1, \dots, x_n; x) \wedge (y_1, \dots, y_n; y) \wedge (z_1, \dots, z_n; z)$

Dictatorship: $\theta_D^{\text{ex}} := \bigvee_{i=1}^n xyz = \text{ex}(x_i)\text{ex}(y_i)\text{ex}(z_i)$

$$\begin{aligned} \text{ex}(1) &= 0 \\ \text{ex}(0) &= 1 \end{aligned}$$

Unanimity: $\theta_{UN}^{\text{id}} := (\bar{x} = \bar{1} \rightarrow x = \text{id}(1)) \wedge (\bar{x} = \bar{0} \rightarrow x = \text{id}(0))$
 $\wedge (\bar{y} = \bar{1} \rightarrow y = \text{id}(1)) \wedge (\bar{y} = \bar{0} \rightarrow y = \text{id}(0))$
 $\wedge (\bar{z} = \bar{1} \rightarrow z = \text{id}(1)) \wedge (\bar{z} = \bar{0} \rightarrow z = \text{id}(0))$

Fixed values

Universal Domain: $\theta_{UD}^{\text{id}} := \bigwedge \{b_1b_2b_3 \subseteq x_iy_iz_i \mid b_1, b_2, b_3 \in \{0, 1\}, b_1 = b_2 \rightarrow b_3 = \text{id}(b_1)\}$
 $\wedge \bigwedge \{x_iy_iz_i \perp \langle x_jy_jz_j \mid j \neq i \rangle \mid 1 \leq i \leq n\}$

Collective Rationality: $\theta_{CR}^{\text{id}} := (x = 1 \wedge y = 1 \rightarrow z = \text{id}(1)) \wedge (x = 0 \wedge y = 0 \rightarrow z = \text{id}(0))$
Excluded values and interactions

Dependency strengthening theorems

Functionality: $\theta_F := (x_1 y_1 z_1, \dots, x_n y_n z_n; xyz)$

IIA: $\theta_{IIA} := (x_1, \dots, x_n; x) \wedge (y_1, \dots, y_n; y) \wedge (z_1, \dots, z_n; z)$

Dictatorship: $\theta_D^{\text{ex}} := \bigvee_{i=1}^n xyz = \text{ex}(x_i)\text{ex}(y_i)\text{ex}(z_i)$

$$\begin{aligned}\text{ex}(1) &= 0 \\ \text{ex}(0) &= 1\end{aligned}$$

Unanimity: $\theta_{UN}^{\text{id}} := (\bar{x} = \bar{1} \rightarrow x = \text{id}(1)) \wedge (\bar{x} = \bar{0} \rightarrow x = \text{id}(0))$
 $\wedge (\bar{y} = \bar{1} \rightarrow y = \text{id}(1)) \wedge (\bar{y} = \bar{0} \rightarrow y = \text{id}(0))$
 $\wedge (\bar{z} = \bar{1} \rightarrow z = \text{id}(1)) \wedge (\bar{z} = \bar{0} \rightarrow z = \text{id}(0))$

Fixed values

Universal Domain: $\theta_{UD}^{\text{id}} := \bigwedge \{ b_1 b_2 b_3 \subseteq x_i y_i z_i \mid b_1, b_2, b_3 \in \{0, 1\}, b_1 = b_2 \rightarrow b_3 = \text{id}(b_1) \}$
 $\wedge \bigwedge \{ x_i y_i z_i \perp \langle x_j y_j z_j \mid j \neq i \rangle \mid 1 \leq i \leq n \}$

Collective Rationality: $\theta_{CR}^{\text{id}} := (x = 1 \wedge y = 1 \rightarrow z = \text{id}(1)) \wedge (x = 0 \wedge y = 0 \rightarrow z = \text{id}(0))$

Excluded values and interactions

Thm. For any $f, g \in \{\text{id}, \text{ex}\}$, $\theta_F, \theta_{IIA}, \theta_{UD}^f, \theta_{CR}^f, \theta_{UN}^g \vdash \theta_D^g$.

C.f. [Tang, Lin 2009]

Dependency strengthening theorems

Functionality: $\theta_F := (x_1 y_1 z_1, \dots, x_n y_n z_n; xyz)$

IIA: $\theta_{IIA} := (x_1, \dots, x_n; x) \wedge (y_1, \dots, y_n; y) \wedge (z_1, \dots, z_n; z)$

Dictatorship: $\theta_D^{\text{id}, \text{id}, \text{ex}} := \bigvee_{i=1}^n xyz = \text{id}(x_i)\text{id}(y_i)\text{ex}(z_i)$

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 $\wedge (\bar{y} = \bar{1} \rightarrow y = \text{id}(1)) \wedge (\bar{y} = \bar{0} \rightarrow y = \text{id}(0))$
 $\wedge (\bar{z} = \bar{1} \rightarrow z = \text{ex}(1)) \wedge (\bar{z} = \bar{0} \rightarrow z = \text{ex}(0))$

Fixed values

Universal Domain: $\theta_{UD}^{\text{id}} := \bigwedge \{ b_1 b_2 b_3 \subseteq x_i y_i z_i \mid b_1, b_2, b_3 \in \{0, 1\}, b_1 = b_2 \rightarrow b_3 = \text{id}(b_1) \}$
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Collective Rationality: $\theta_{CR}^{\text{id}} := (x = 1 \wedge y = 1 \rightarrow z = \text{id}(1)) \wedge (x = 0 \wedge y = 0 \rightarrow z = \text{id}(0))$

Excluded values and interactions

Thm. For any $f, g \in \{\text{id}, \text{ex}\}$, $\theta_F, \theta_{IIA}, \theta_{UD}^f, \theta_{CR}^f, \theta_{UN}^g \vdash \theta_D^g$.

C.f. [Tang, Lin 2009]

Dependency strengthening theorems

Functionality: $\theta_F := (x_1 y_1 z_1, \dots, x_n y_n z_n; xyz)$

IIA: $\theta_{IIA} := (x_1, \dots, x_n; x) \wedge (y_1, \dots, y_n; y) \wedge (z_1, \dots, z_n; z)$

Dictatorship: $\theta_D^{\text{id}, \text{id}, \text{ex}} := \bigvee_{i=1}^n xyz = \text{id}(x_i)\text{id}(y_i)\text{ex}(z_i)$

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Unanimity: $\theta_{UN}^{\text{id}, \text{id}, \text{ex}} := (\bar{x} = \bar{1} \rightarrow x = \text{id}(1)) \wedge (\bar{x} = \bar{0} \rightarrow x = \text{id}(0))$
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 $\wedge (\bar{z} = \bar{1} \rightarrow z = \text{ex}(1)) \wedge (\bar{z} = \bar{0} \rightarrow z = \text{ex}(0))$

Fixed values

Universal Domain: $\theta_{UD}^{\text{id}} := \bigwedge \{ b_1 b_2 b_3 \subseteq x_i y_i z_i \mid b_1, b_2, b_3 \in \{0, 1\}, b_1 = b_2 \rightarrow b_3 = \text{id}(b_1) \}$
 $\wedge \bigwedge \{ x_i y_i z_i \perp (x_j y_j z_j \mid j \neq i) \mid 1 \leq i \leq n \}$

Collective Rationality: $\theta_{CR}^{\text{id}} := (x = 1 \wedge y = 1 \rightarrow z = \text{id}(1)) \wedge (x = 0 \wedge y = 0 \rightarrow z = \text{id}(0))$

Excluded values and interactions

Thm. For any $f, g \in \{\text{id}, \text{ex}\}$, $\theta_F, \theta_{IIA}, \theta_{UD}^f, \theta_{CR}^f, \theta_{UN}^g \vdash \theta_D^g$.

Thm. $\theta_F, \theta_{IIA}, \theta_{UD}^{\text{id}}, \theta_{CR}^{\text{ex}}, \theta_{UN}^{\text{id}, \text{id}, \text{ex}} \vdash \theta_D^{\text{id}, \text{id}, \text{ex}}$; $\theta_F, \theta_{IIA}, \theta_{UD}^{\text{ex}}, \theta_{CR}^{\text{id}}, \theta_{UN}^{\text{ex}, \text{ex}, \text{id}} \vdash \theta_D^{\text{ex}, \text{ex}, \text{id}}$.

Thank you!

谢谢！ Kiiatos!