

# 8.xi.

1. trees

2. apomorphy vs. plesiomorphy

3. parsimony

4. summary

# Emil Hans WILLI HENNIG

\*20.4.1913 †5.11.1976

Hennig's (1965) 3 primary questions:

1. What is phylogeny?
2. How is it established?
3. How to describe it explicitly?

and his 3 precise answers:

1. Phylogeny is GENEALOGICAL relationship where 2 taxa are more closely related to each other than they are to a 3<sup>rd</sup> one
2. Relationships are established by SYNAPOMORPHIES
- 3. Relationships can be presented using branching diagrams (=cladograms)**

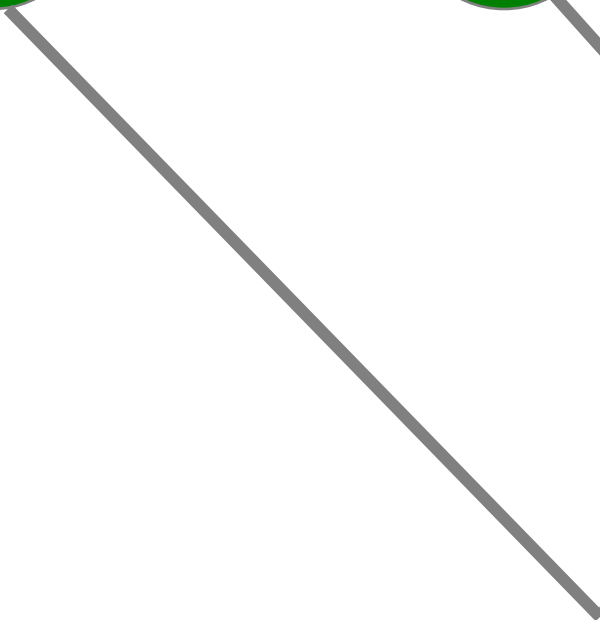
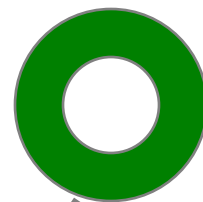
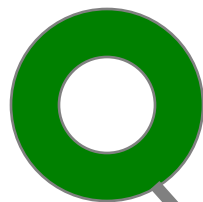
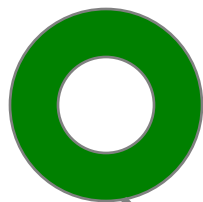
# Number of trees?



**A**

**B**

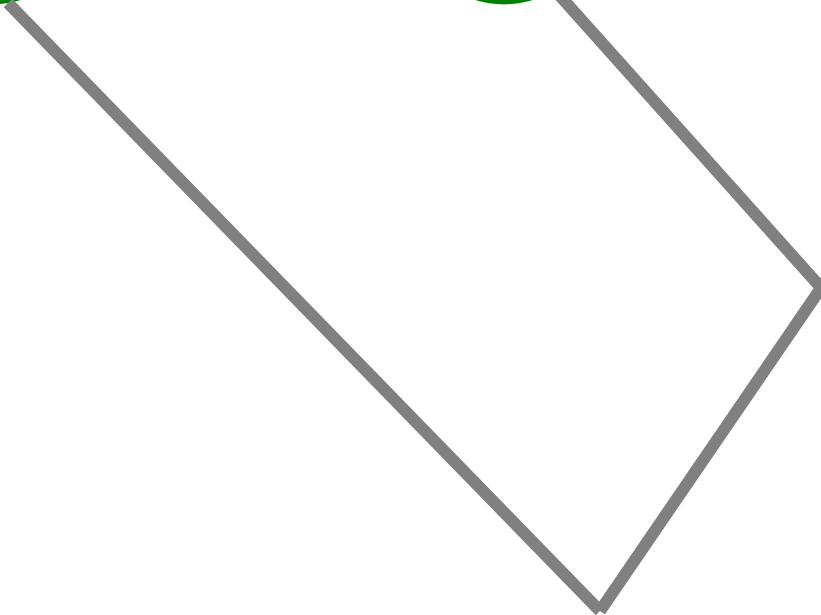
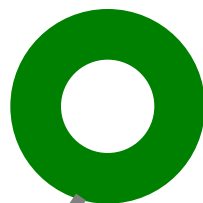
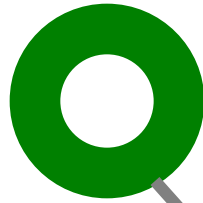
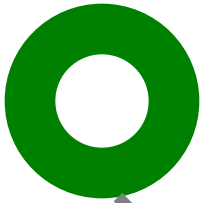
**C**



**B**

**C**

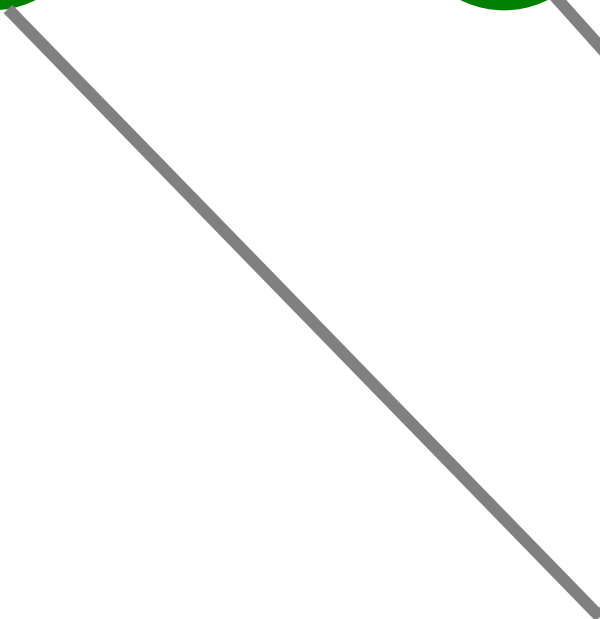
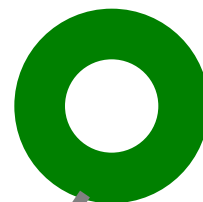
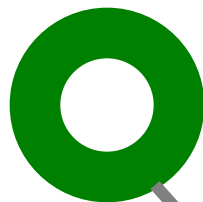
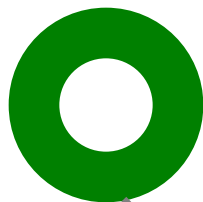
**A**



**C**

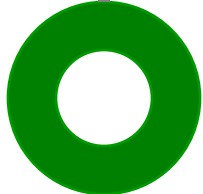
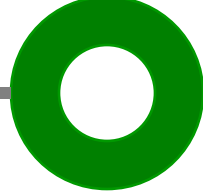
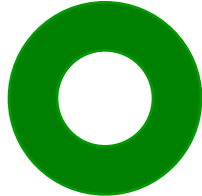
**B**

**A**

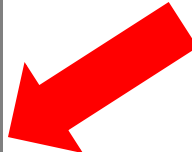


**A**

**B**



**C**



n                      B(n)

-----

3

1

4

3

5

15

6

105

7

945

8

10 395

9

135 135

10

2 027 025

15

7 905 853 580 625

20

221 643 095 476 699 771 875

50

$3 \times 10^{74}$

number of undirected trees

$$B(n) = (2n-5)!!$$





$$B(n) = (2n-5)!!$$

$$(2 \times 9 - 5)!!$$

$$(18 - 5)!!$$

$$13!!$$

$$13 \times 11 \times 9 \times 7 \times 5 \times 3 \times 1$$

$$135 \ 135$$

n                    B(n)

-----

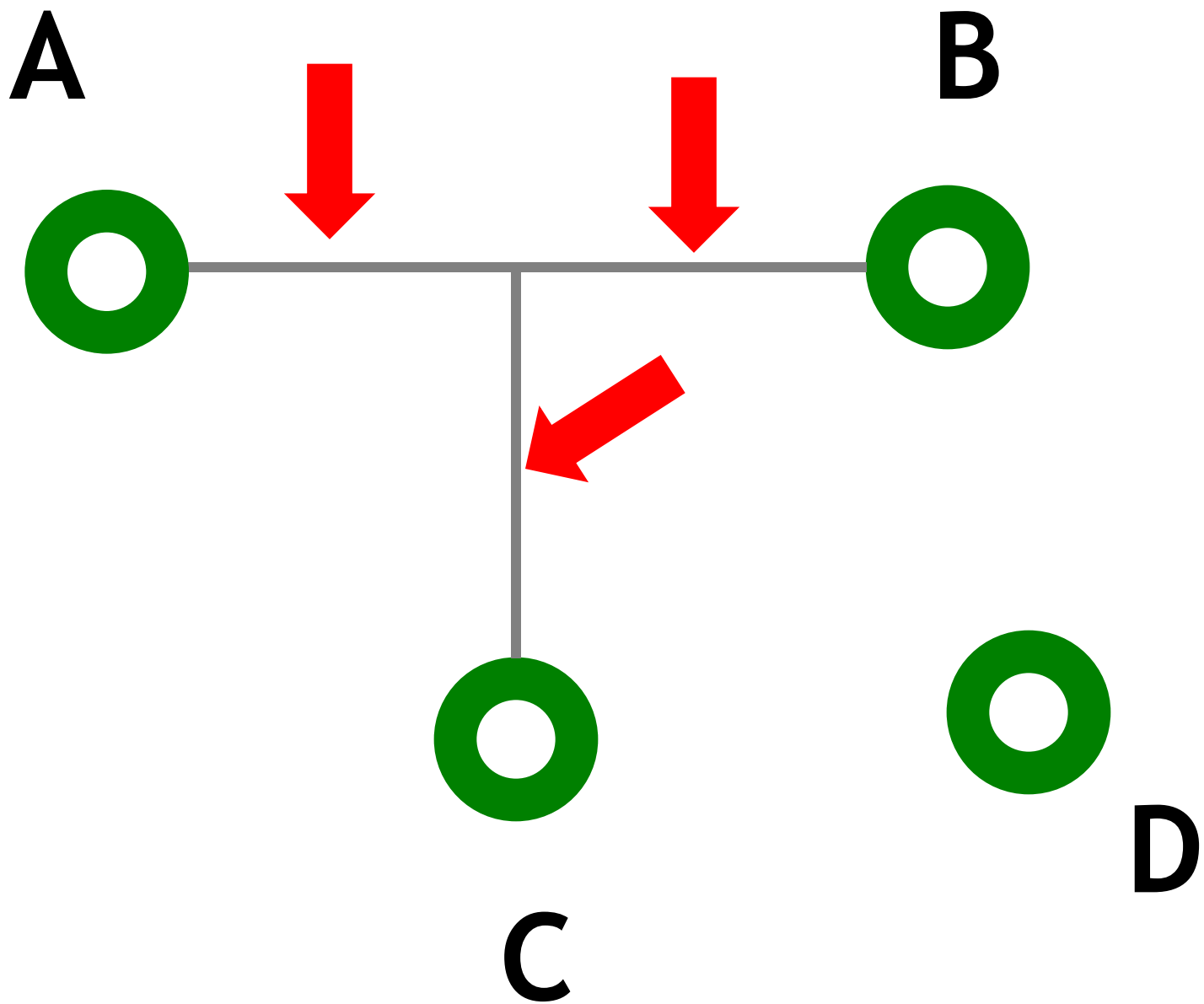
3	3
4	15
5	105
6	945
7	10 395
8	135 135
9	2 027 025
10	34 459 425

number of directed trees

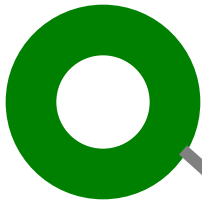
$$B(n) = (2n-3)!!$$

15    213 458 046 676 875

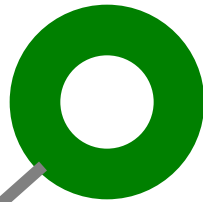
20    8 200 794 532 637 891 559 375



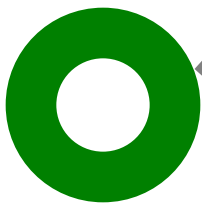
**A**



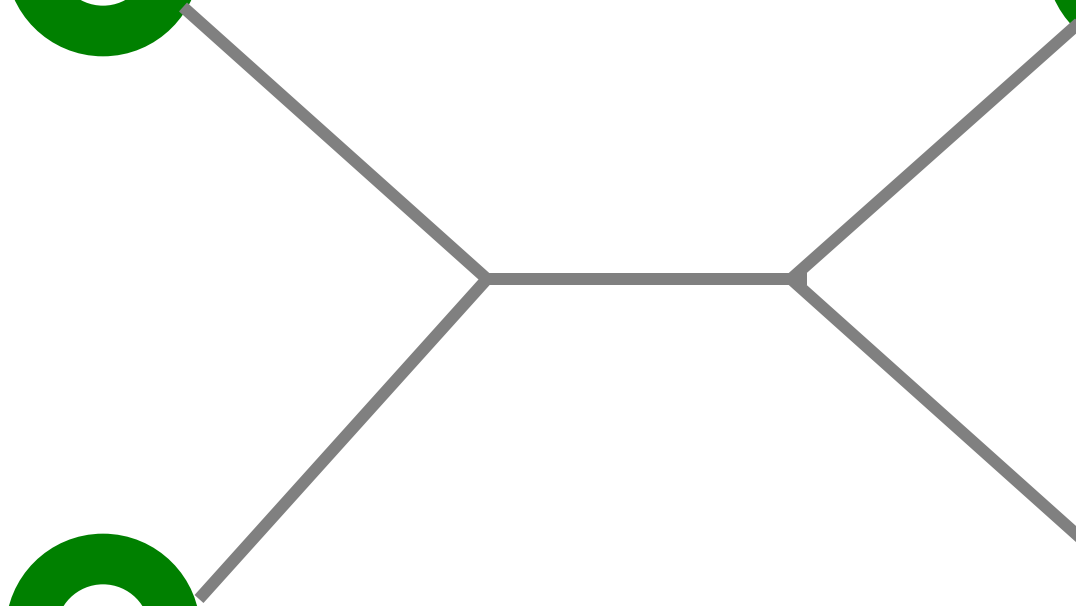
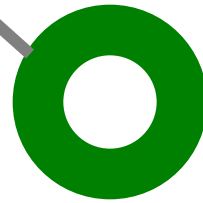
**B**

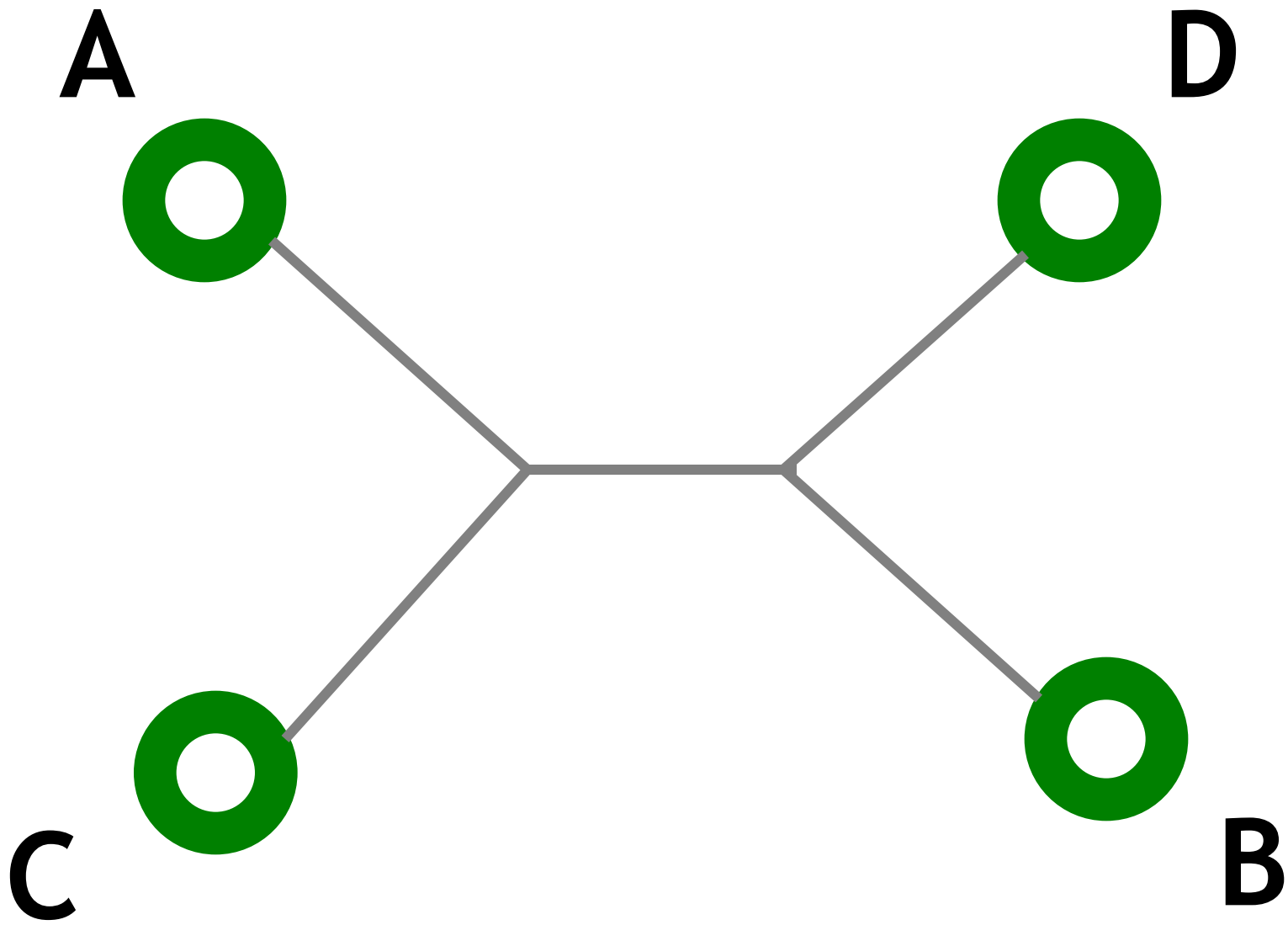


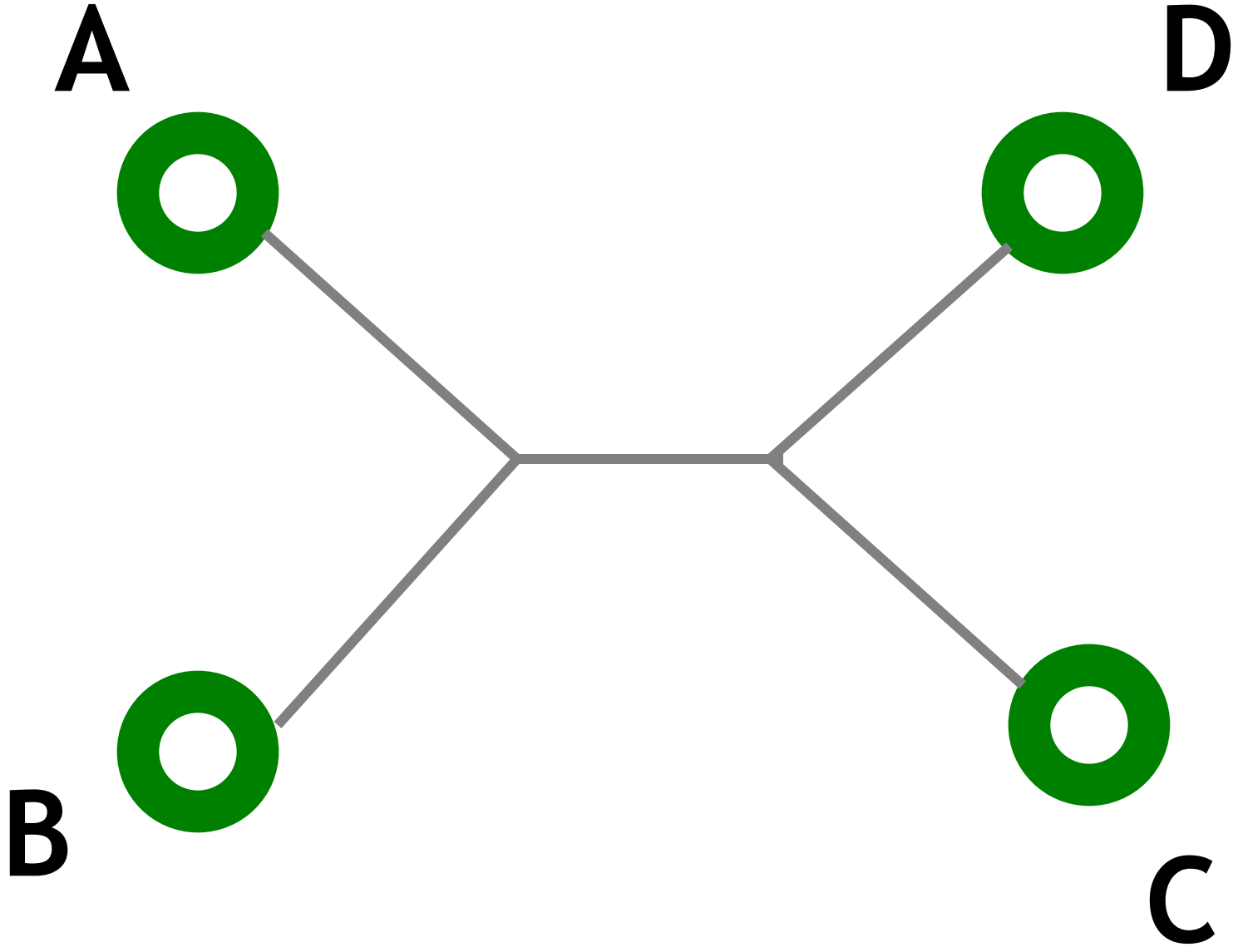
**D**

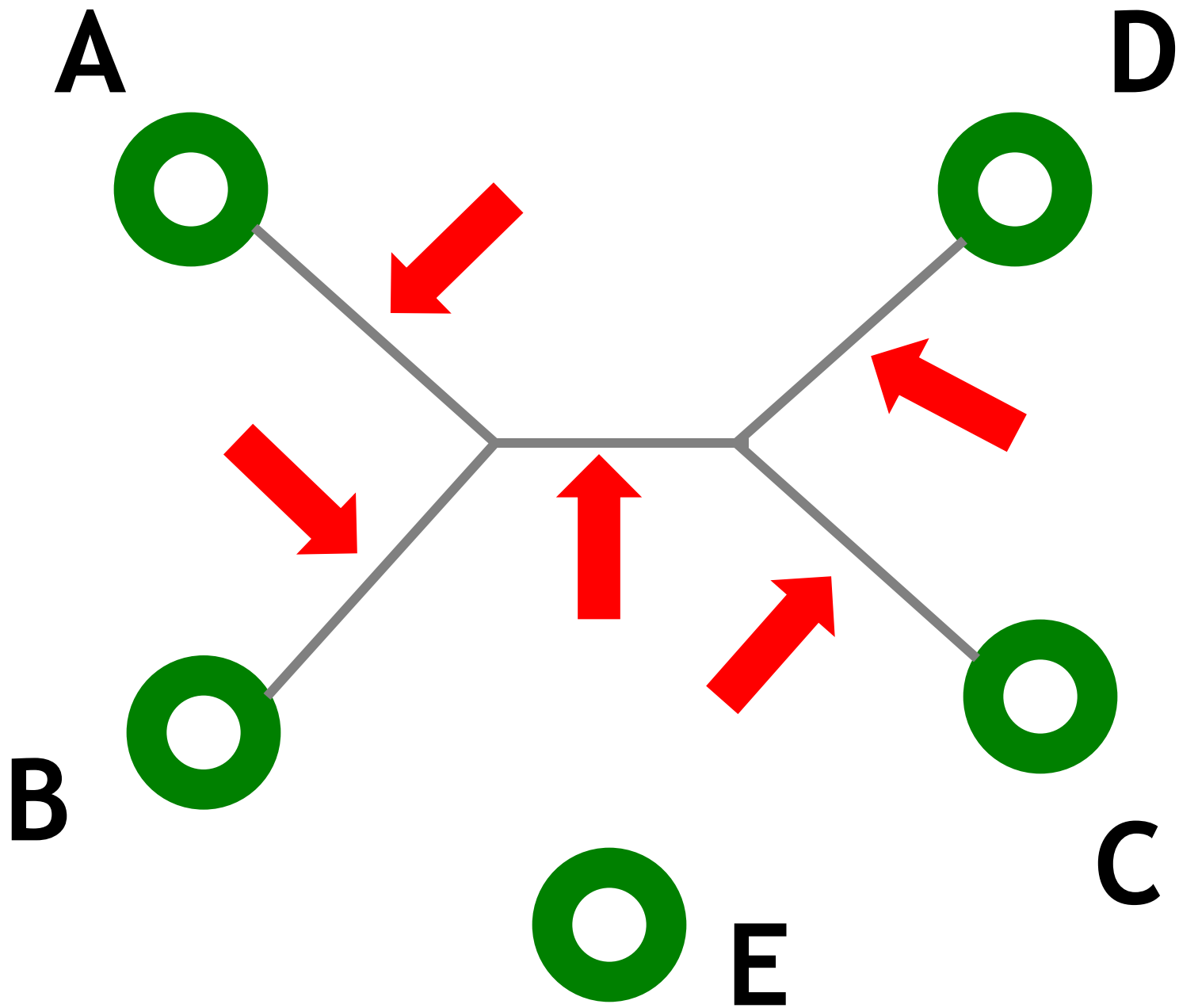


**C**



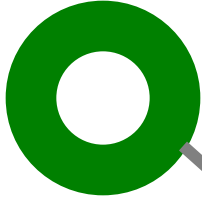




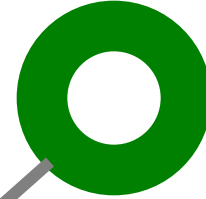


**A**

001100111001001111

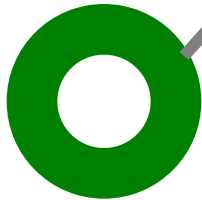


**D**

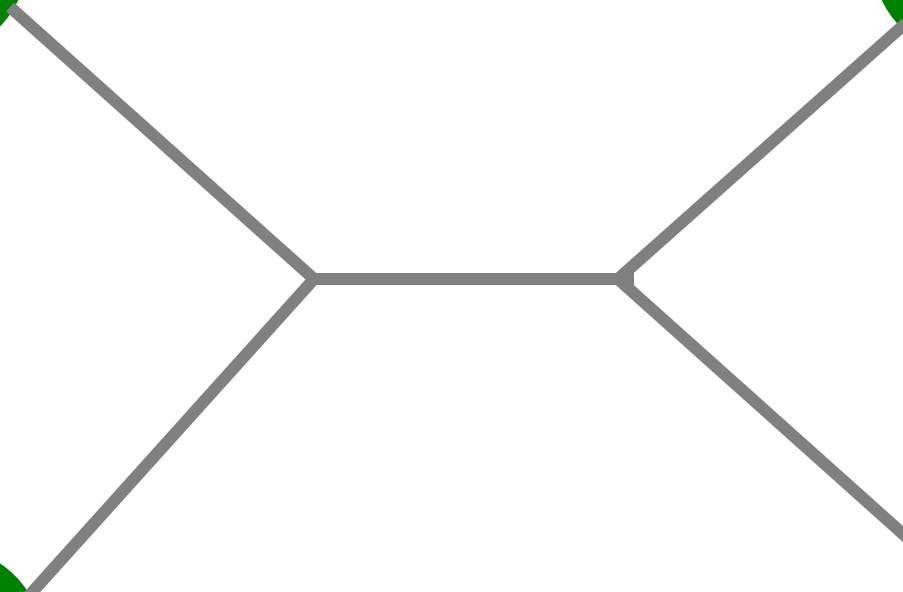
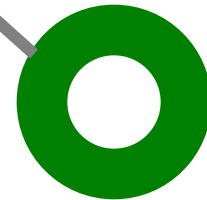


**B**

1000-01110011111111



**C**







# Construction of phylogenetic trees

our GOAL is hypothesis about evolutionary history

how is this done?

COMPARATIVE study of organisms

traces about evolutionary history can be  
found in ALL LIVING ORGANISMS

living fossils

# Construction of phylogenetic trees

organisms sharing largest number of characters with each other are also each other's closest relatives

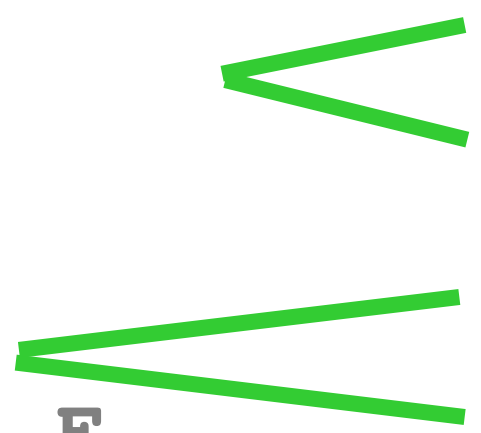
	1.	2.	3.	4.	5.	6.	7.	8.	9.	10.
<b>A</b>	1	0	0	0	0	1	0	0	0	0
	↑	↑		↑		↑				↑

<b>E</b>	1	0	1	0	1	1	1	1	1	0
----------	---	---	---	---	---	---	---	---	---	---

	<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E</b>
<b>A</b>	10	3	4	9	5
<b>B</b>		10	5	4	8
<b>C</b>			10	5	7
<b>D</b>				10	6
<b>E</b>					10

0.6      0.7      0.8      0.9

D  
A  
B  
E  
C



	A	B	C	D	E
A	10	3	4	9	5
B		10	5	4	8
C			10	5	7
D				10	6
E					10

0.6

0.7

0.8

0.9

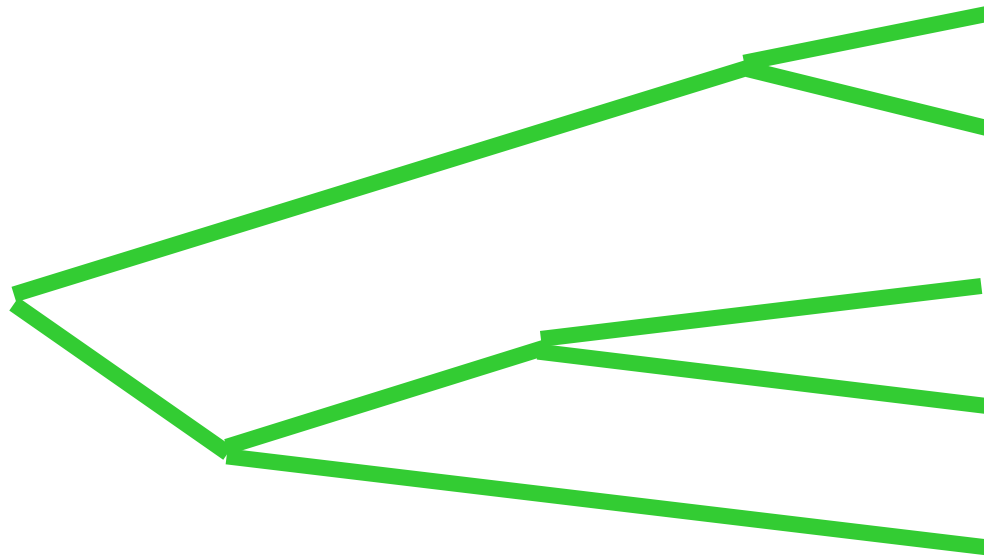
D

A

B

E

C



# Construction of phylogenetic trees

organisms that resemble each other most are also most closely related to each other



WHY?

# Emil Hans WILLI HENNIG

\*20.4.1913 †5.11.1976

Hennig's (1965) 3 primary questions:

1. What is phylogeny?
2. How is it established?
3. How to describe it explicitly?

and his 3 precise answers:

1. Phylogeny is GENEALOGICAL relationship where 2 taxa are more closely related to each other than they are to a 3<sup>rd</sup> one
2. Relationships are established by **SYNAPOMORPHIES**
3. Relationships can be presented using branching diagrams (=cladograms)



# Construction of phylogenetic trees

organisms that resemble each other most are also most closely related to each other



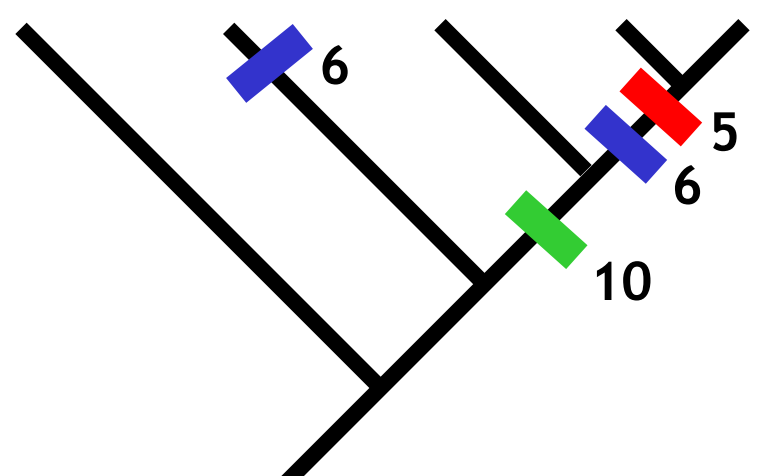
WHY? **apomorphies** should be distinguished from **plesiomorphies**

how is this performed?

how do we recognize apo- & plesiomorphies?

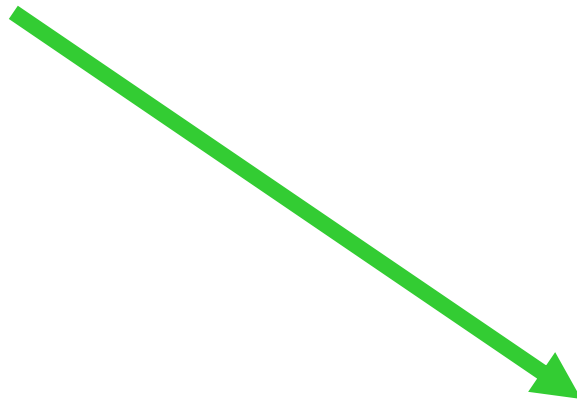
How recognize apo- & plesiomorphies?





0 0 0 0 0 0 0 0 1  
1 2 3 4 5 6 7 8 9 0

A 0 0 1 0 0 0 1 0 1 0  
B 0 1 0 1 0 1 0 0 0 0  
C 0 1 1 1 0 0 0 0 0 1  
D 1 0 1 0 1 1 0 0 0 1  
E 0 0 0 0 1 1 0 1 0 1



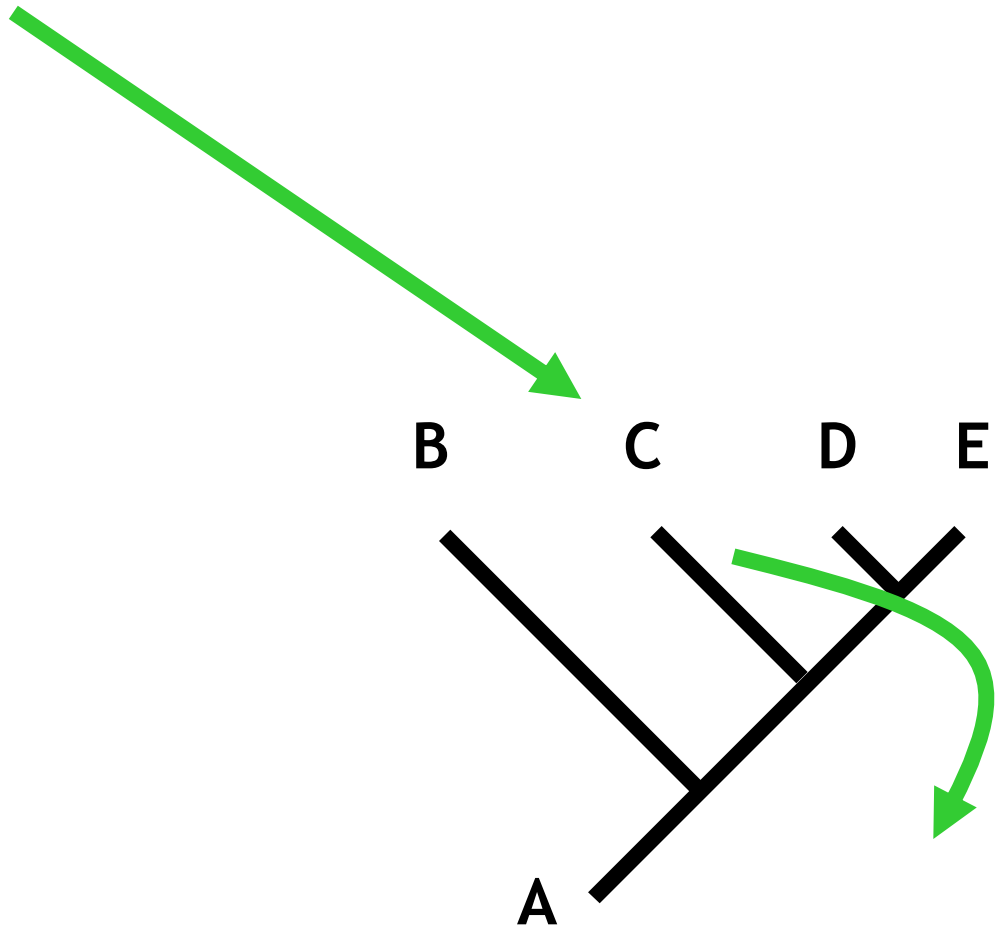
A B C D E

how to compare different hypotheses?

how to find the best hypothesis about history?

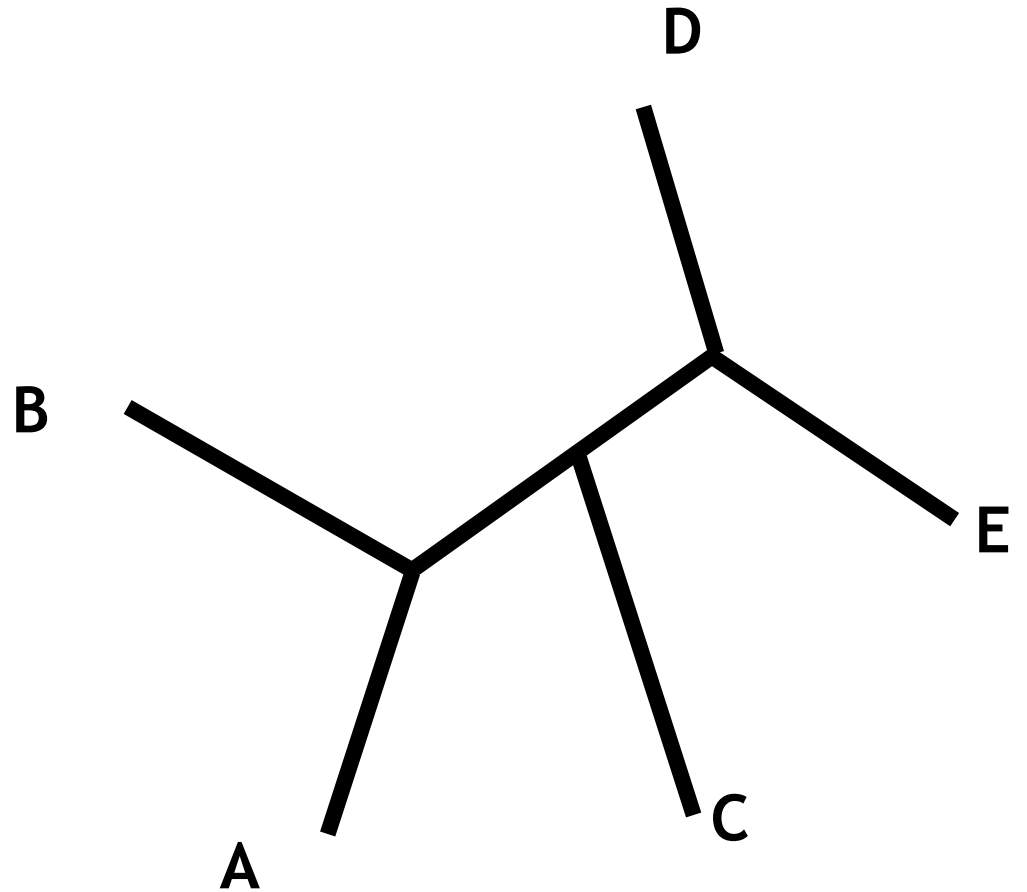
0 0 0 0 0 0 0 0 1  
1 2 3 4 5 6 7 8 9 0

A 0 0 1 0 0 0 1 0 1 0  
B 0 1 0 1 0 1 0 0 0 0  
C 0 1 1 1 0 0 0 0 0 1  
D 1 0 1 0 1 1 0 0 0 1  
E 0 0 0 0 1 1 0 1 0 1



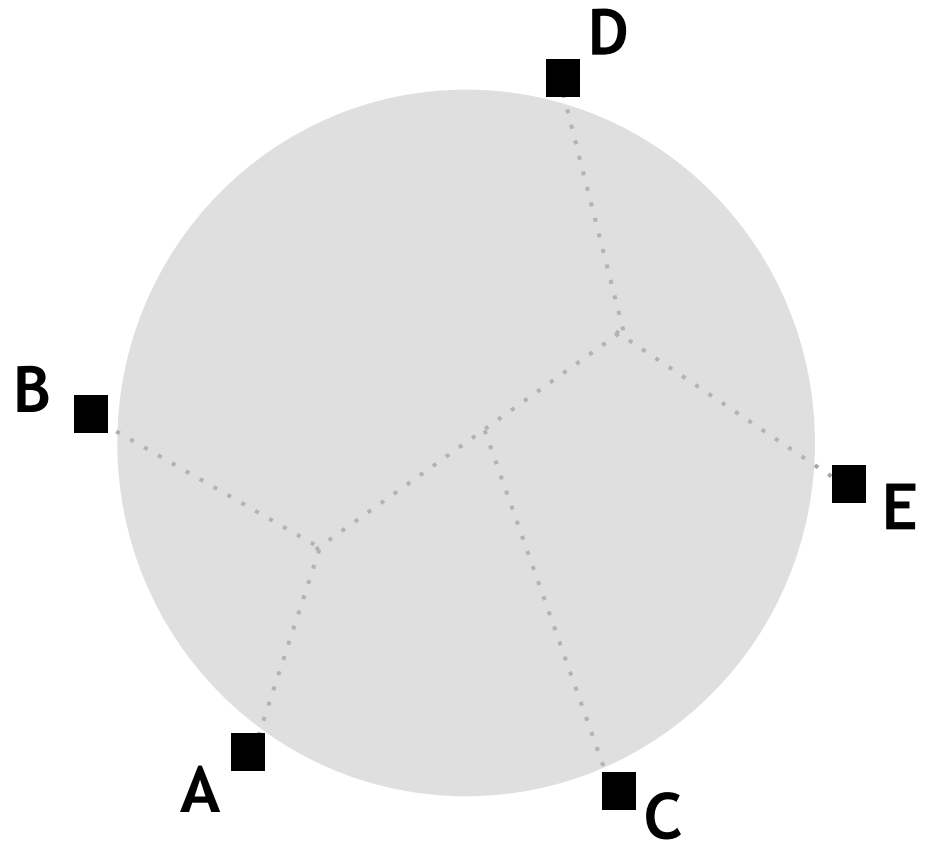
0 0 0 0 0 0 0 0 1  
1 2 3 4 5 6 7 8 9 0

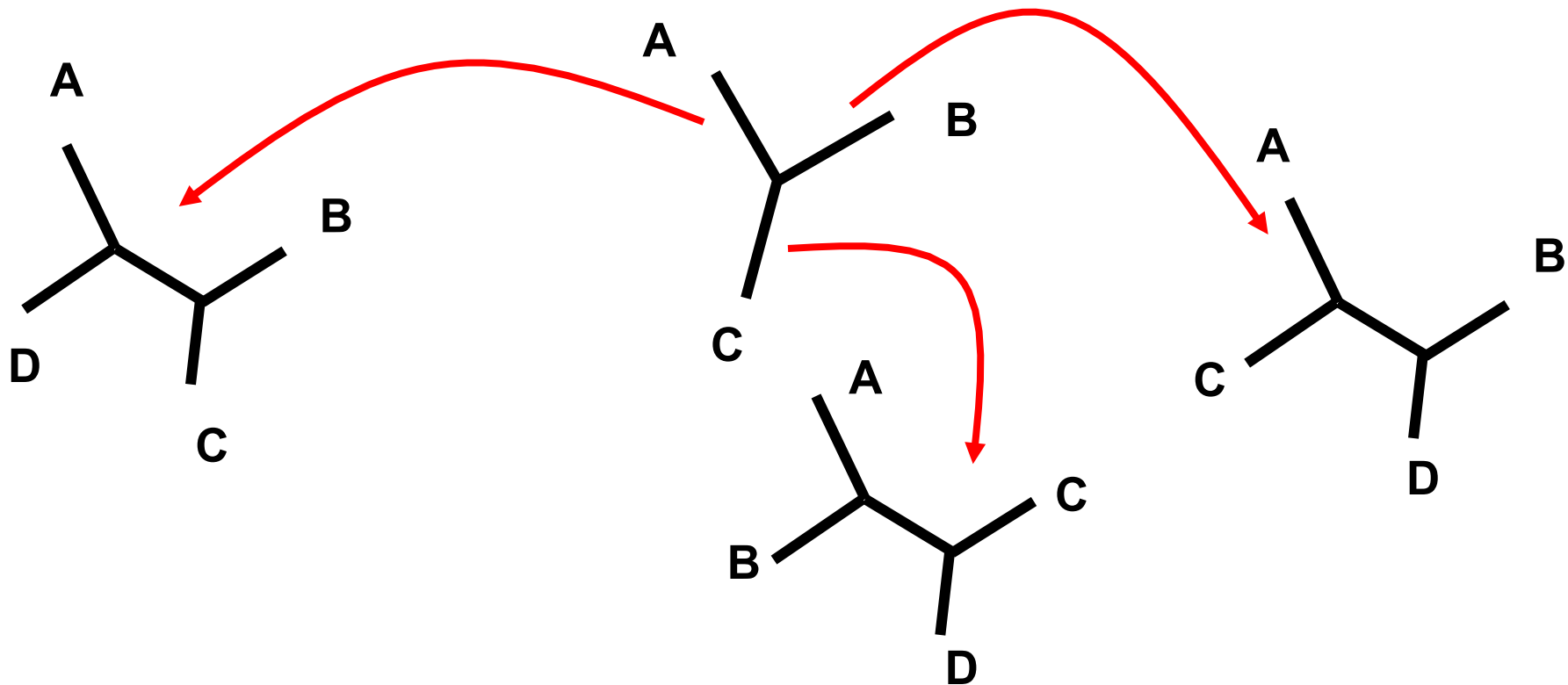
A 0 0 1 0 0 0 1 0 1 0  
B 0 1 0 1 0 1 0 0 0 0  
C 0 1 1 1 0 0 0 0 0 1  
D 1 0 1 0 1 1 0 0 0 1  
E 0 0 0 0 1 1 0 1 0 1



0 0 0 0 0 0 0 0 1  
1 2 3 4 5 6 7 8 9 0

A 0 0 1 0 0 0 1 0 1 0  
B 0 1 0 1 0 1 0 0 0 0  
C 0 1 1 1 0 0 0 0 0 1  
D 1 0 1 0 1 1 0 0 0 1  
E 0 0 0 0 1 1 0 1 0 1

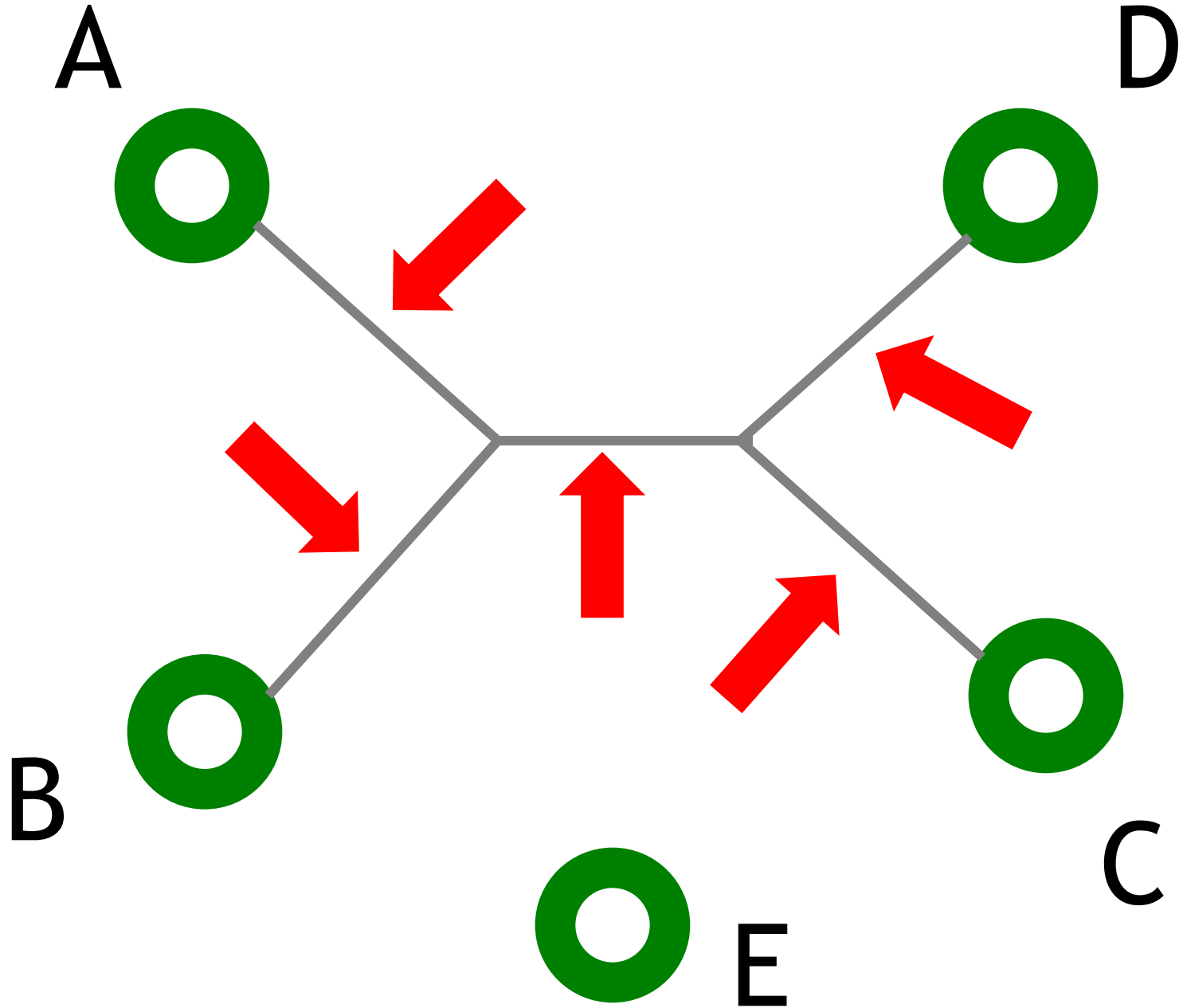


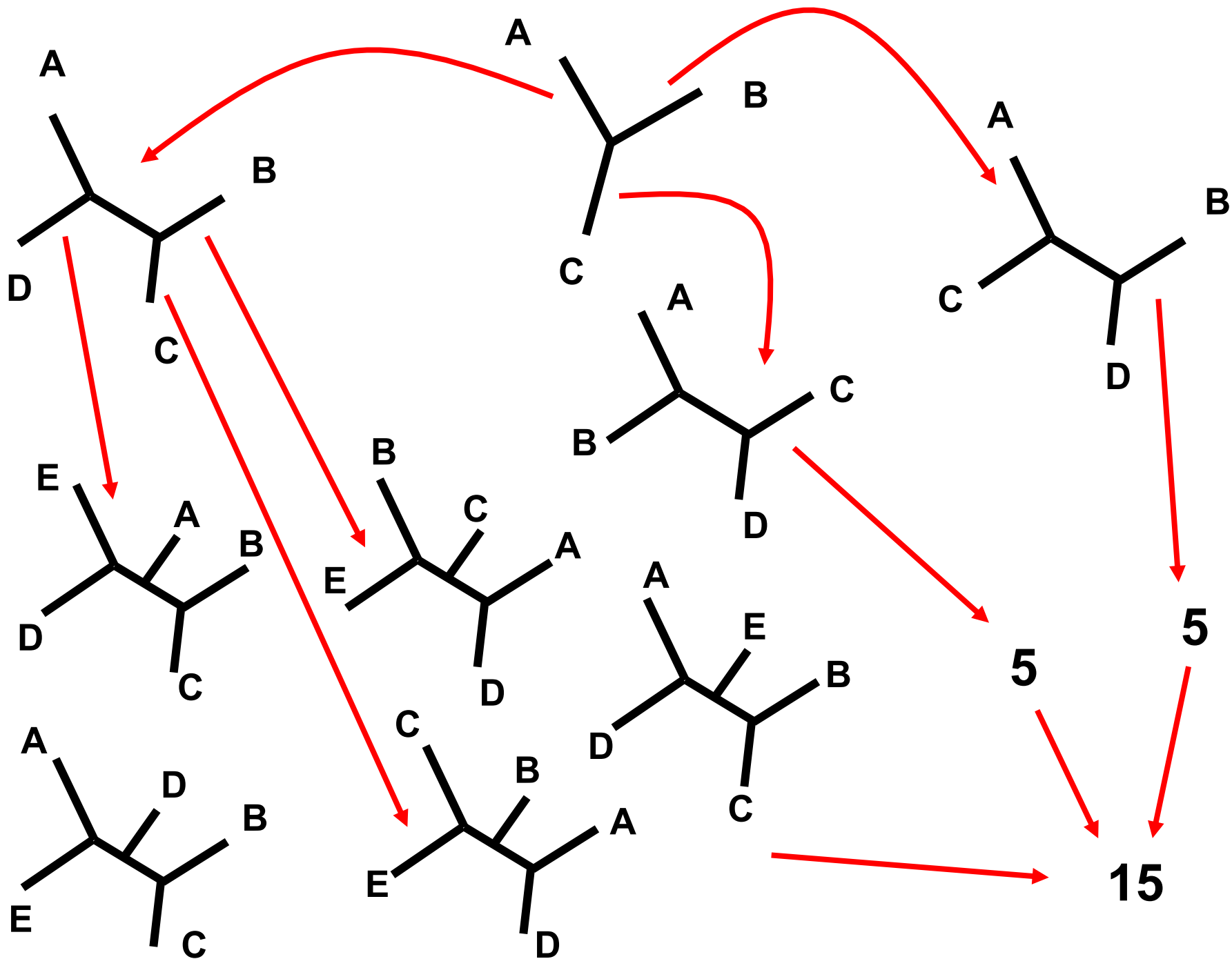


3 BRANCHES > 3 DIFFERENT PLACES TO ADD 4<sup>TH</sup> TERMINAL







5 BRANCHES > 5 DIFFERENT PLACES TO ADD 5<sup>TH</sup> TERMINAL





n      branches      B(n)

-----

3	3	x	1	
4	5	x	3	
5	7	x	15	
6	9	x	105	
7	11	x	945	
8	13	x	10 395	
9	15	x	135 135	
10			$\sim 2 \times 10^6$	
15			$\sim 8 \times 10^{12}$	
20			$\sim 2 \times 10^{20}$	
50			$\sim 3 \times 10^{74}$	

characters

0000000001  
1234567890

terminals

<b>A</b>	0010001010
<b>B</b>	0101010000
<b>C</b>	0111000001
<b>D</b>	1010110001
<b>E</b>	0000110101

characters

0000000001

1234567890

terminals

**A** 0010001010



**B** 0101010000

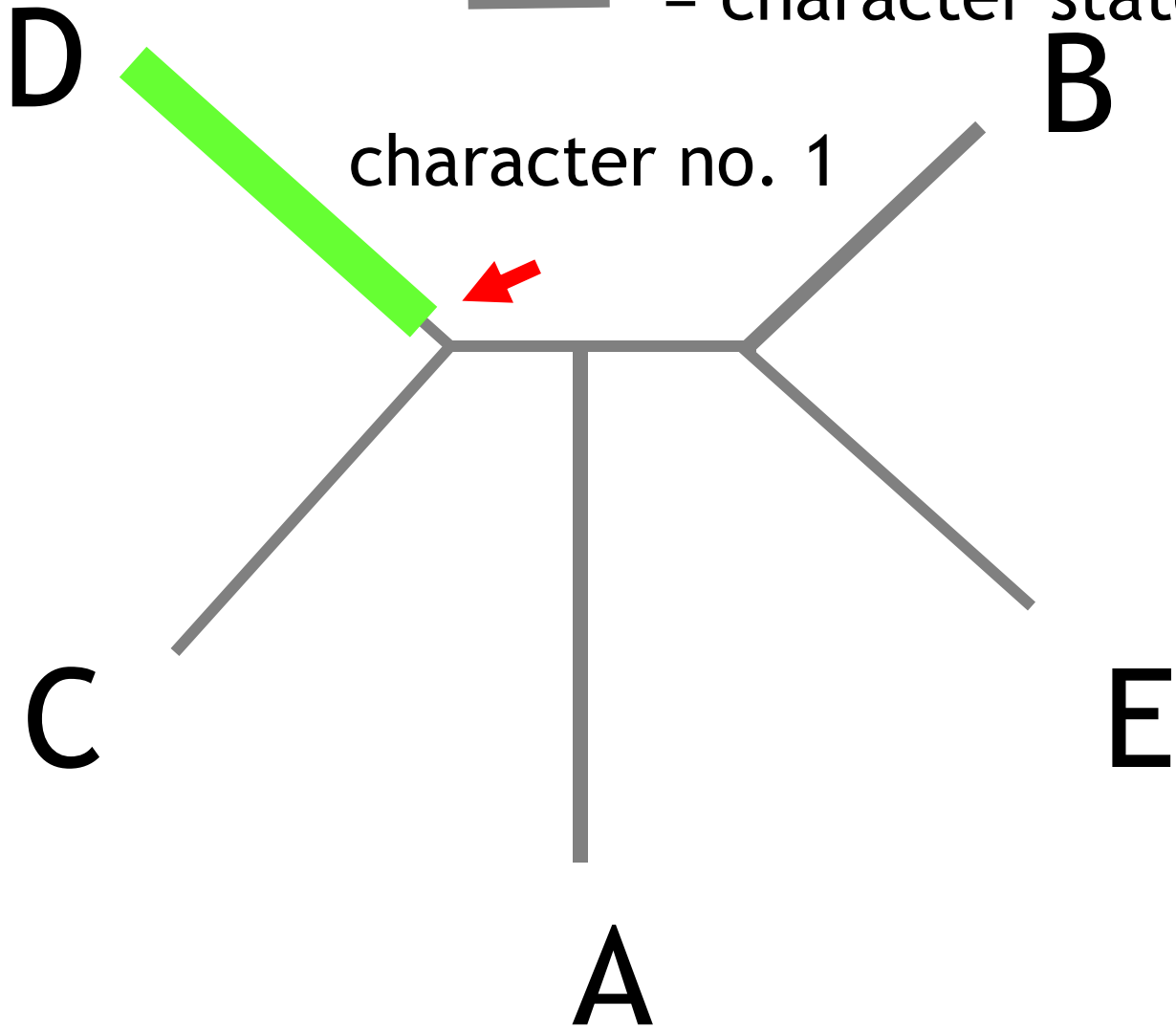
**C** 0111000001



**D** 1010110001

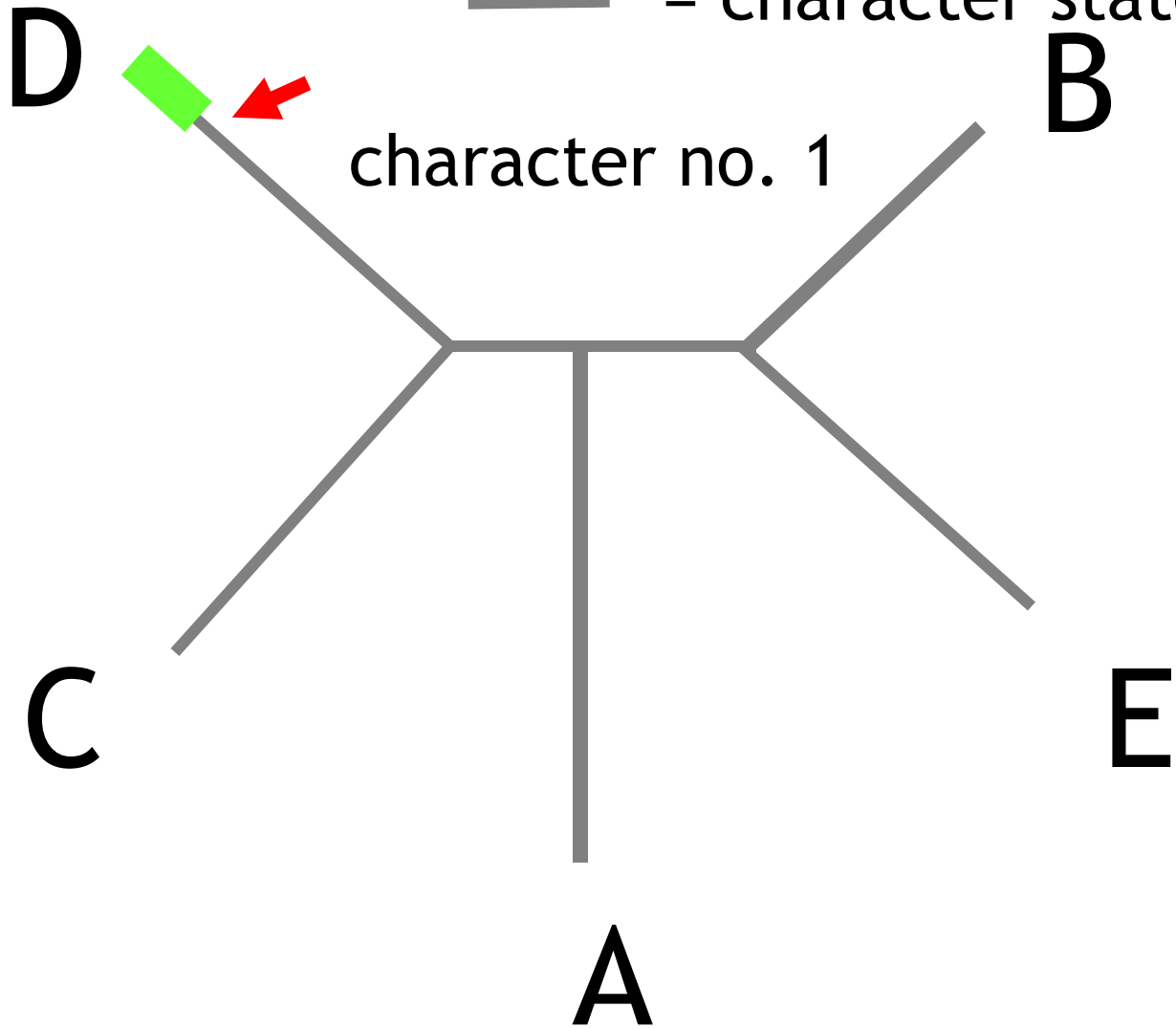
**E** 0000110101



 = character state 1  
 = character state 0



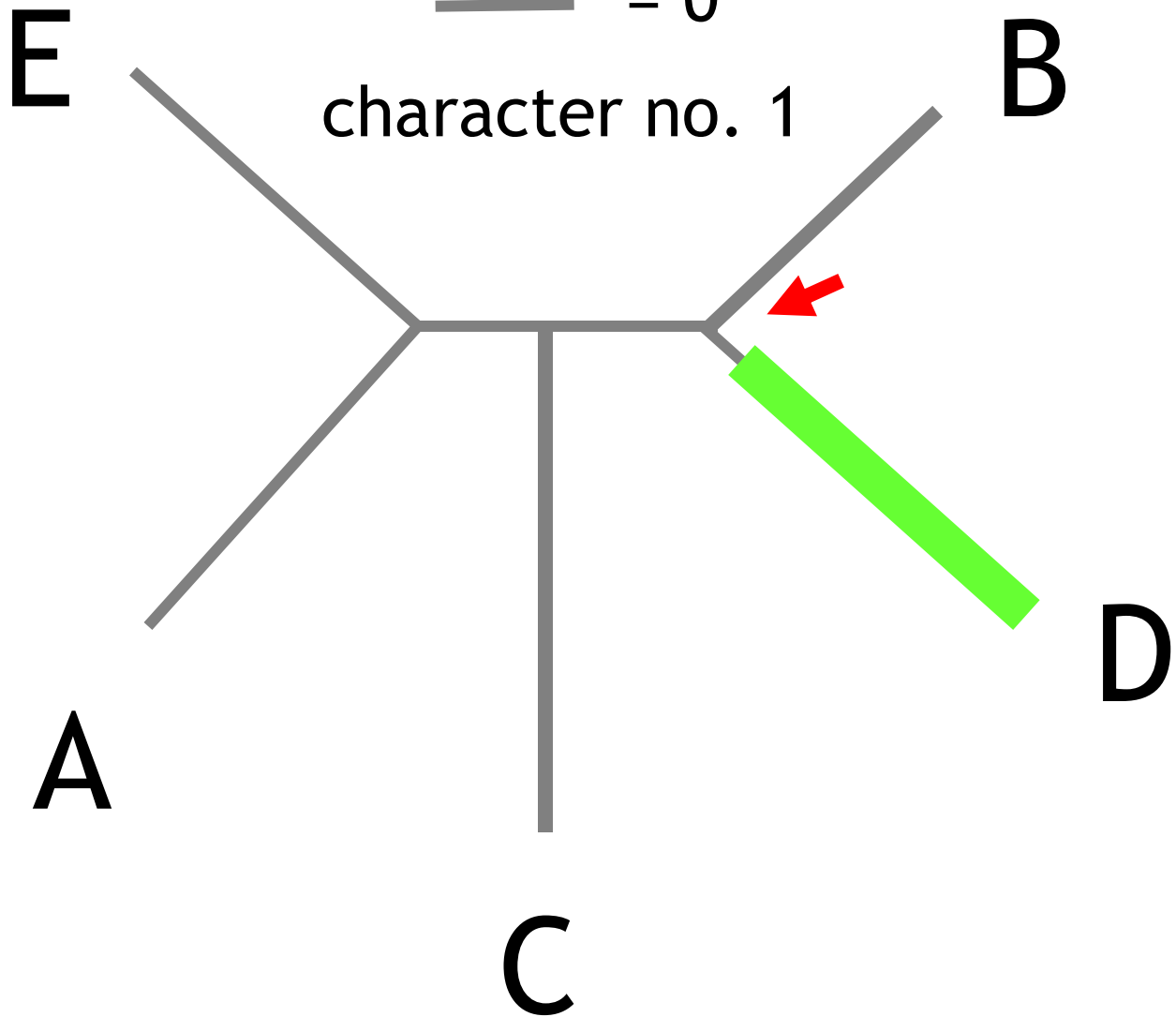
 = character state 1  
 = character state 0



 = 1

 = 0

character no. 1

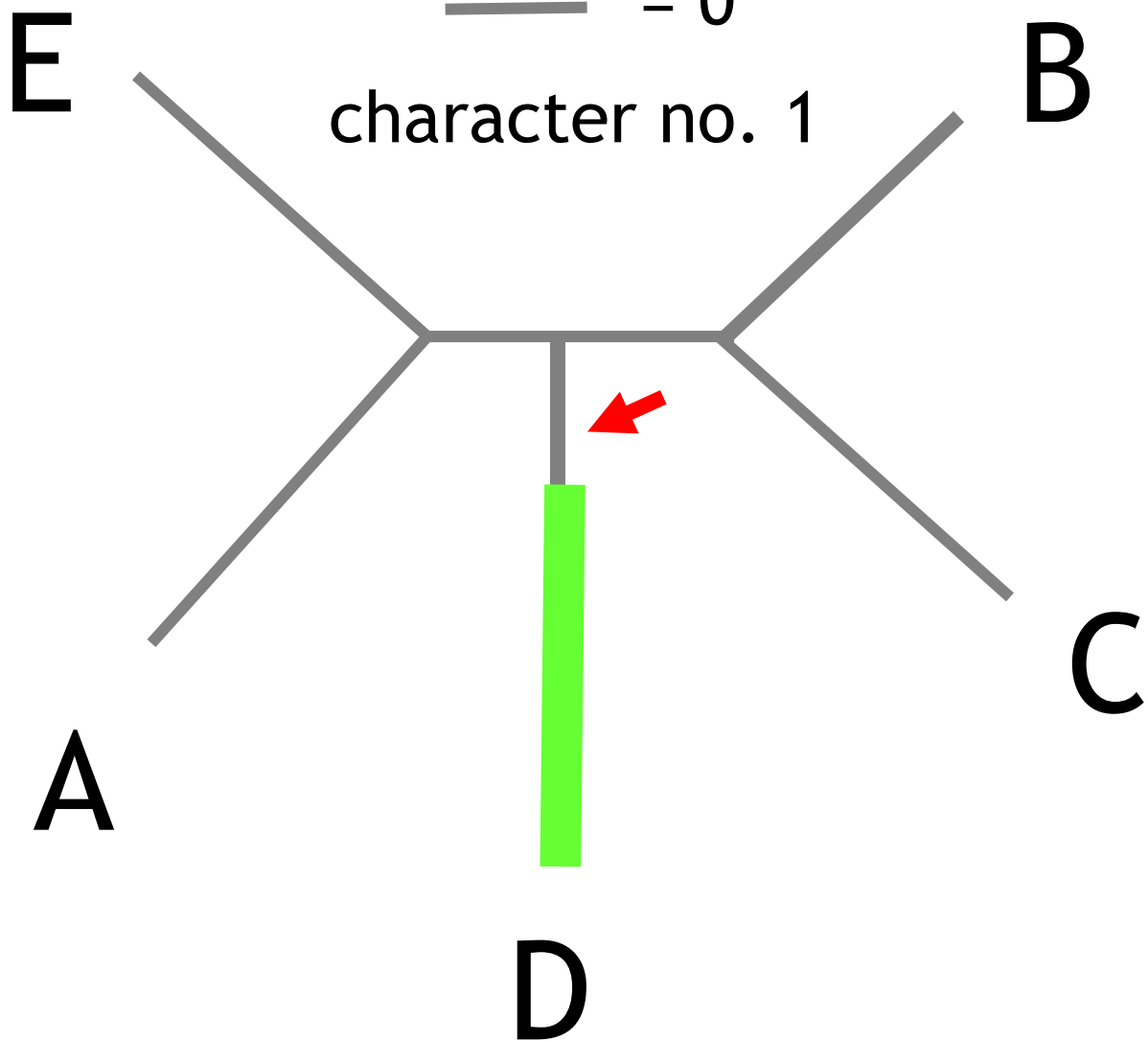




 = 1

 = 0

character no. 1



characters

0000000001

1234567890

characters with only 1 terminal differing from all the others

terminals

**A**

0010001010

**B**

0101010000

these characters can be IGNORED because they are NOT informative about phylogeny

1110000001

0101100001

**E**

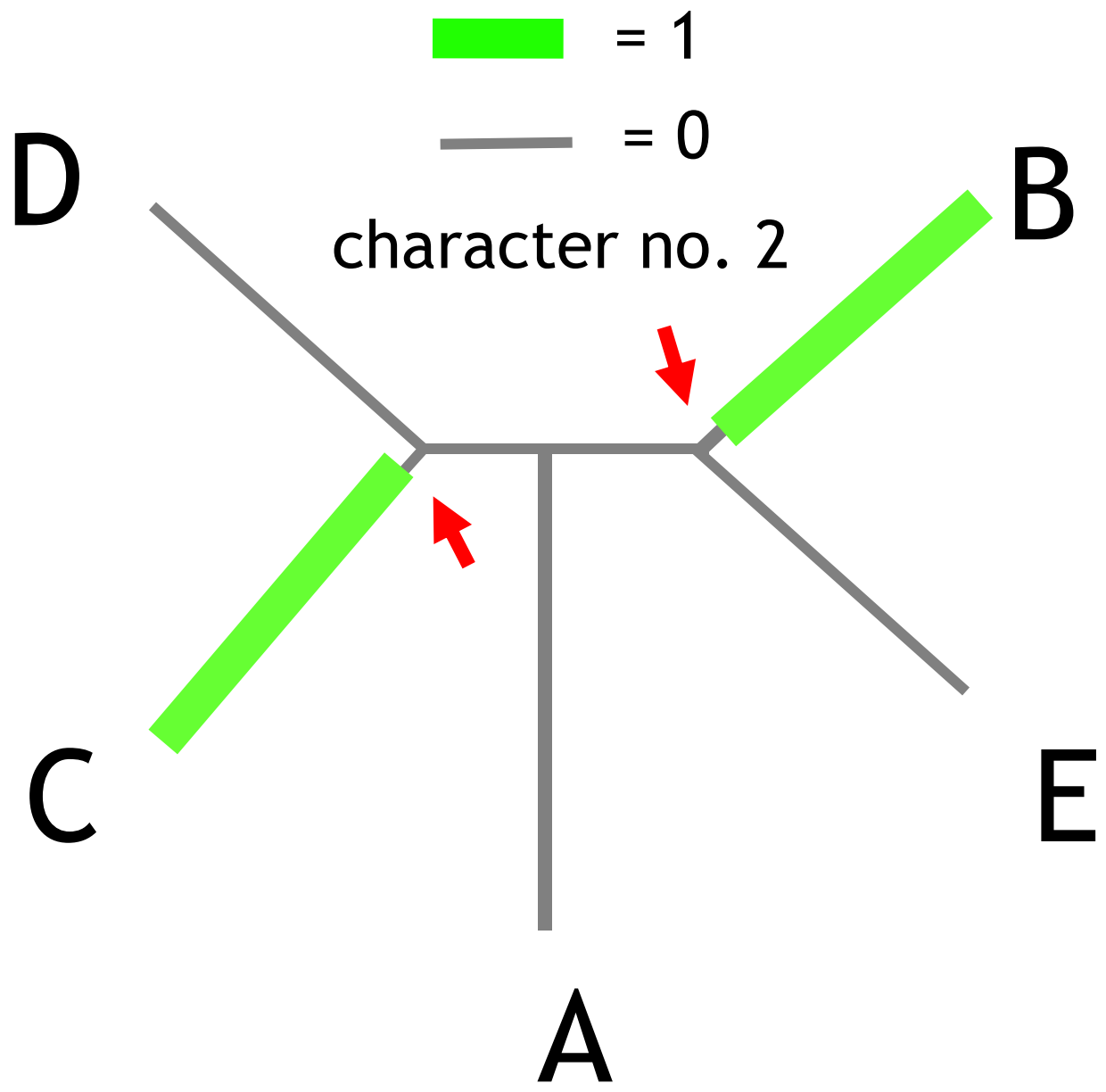
0000110101

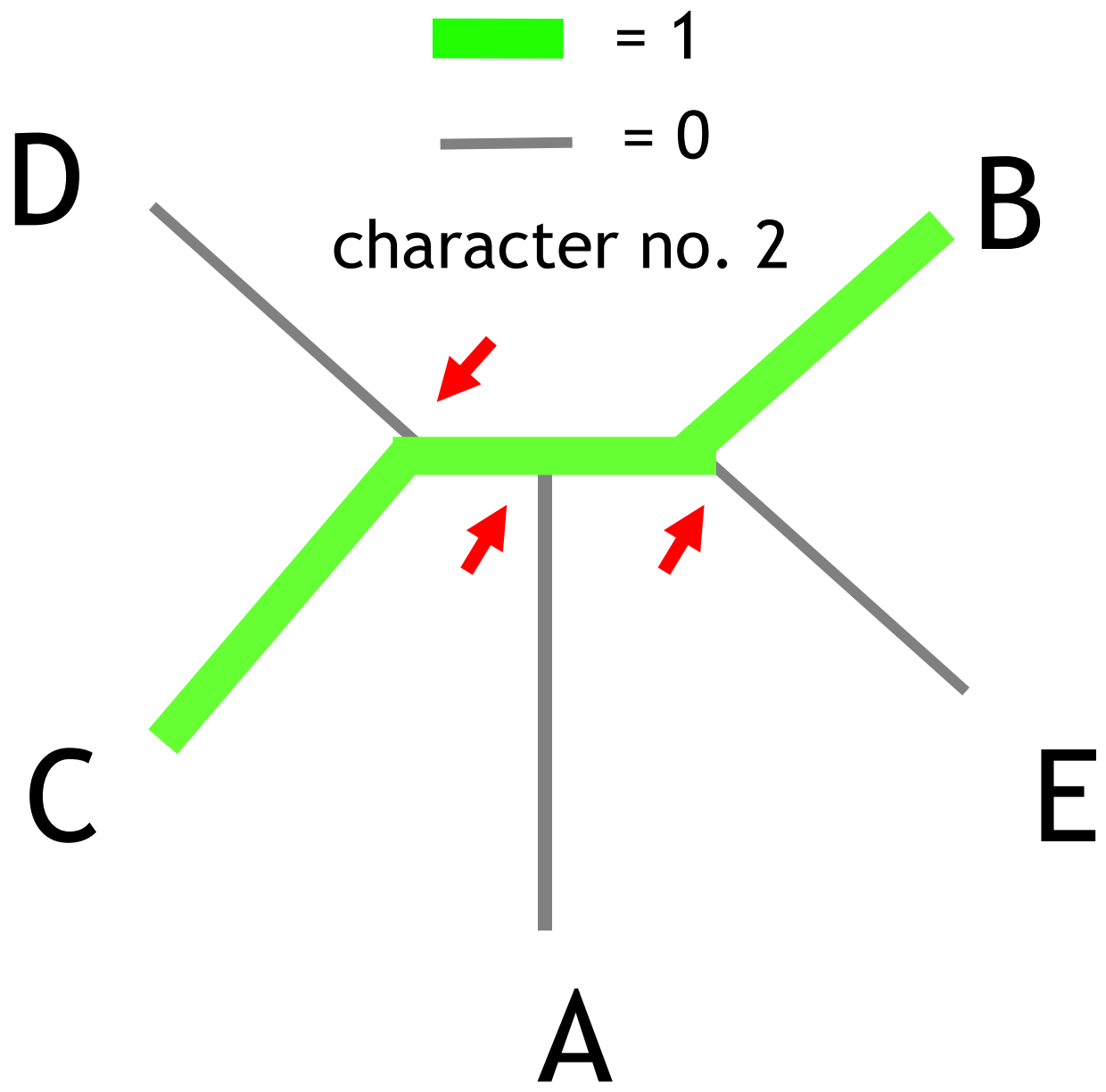
characters

000000001  
1234567890

terminals

<b>A</b>	0010001010
<b>B</b>	0101010000
<b>C</b>	0111000001
<b>D</b>	1010110001
<b>E</b>	0000110101





# PARSIMONY

PLURALITAS NON EST PONENDA  
SINE NECESSITATE

PLURALITY should NOT be assumed BEYOND NECESSITY

William of Ockham



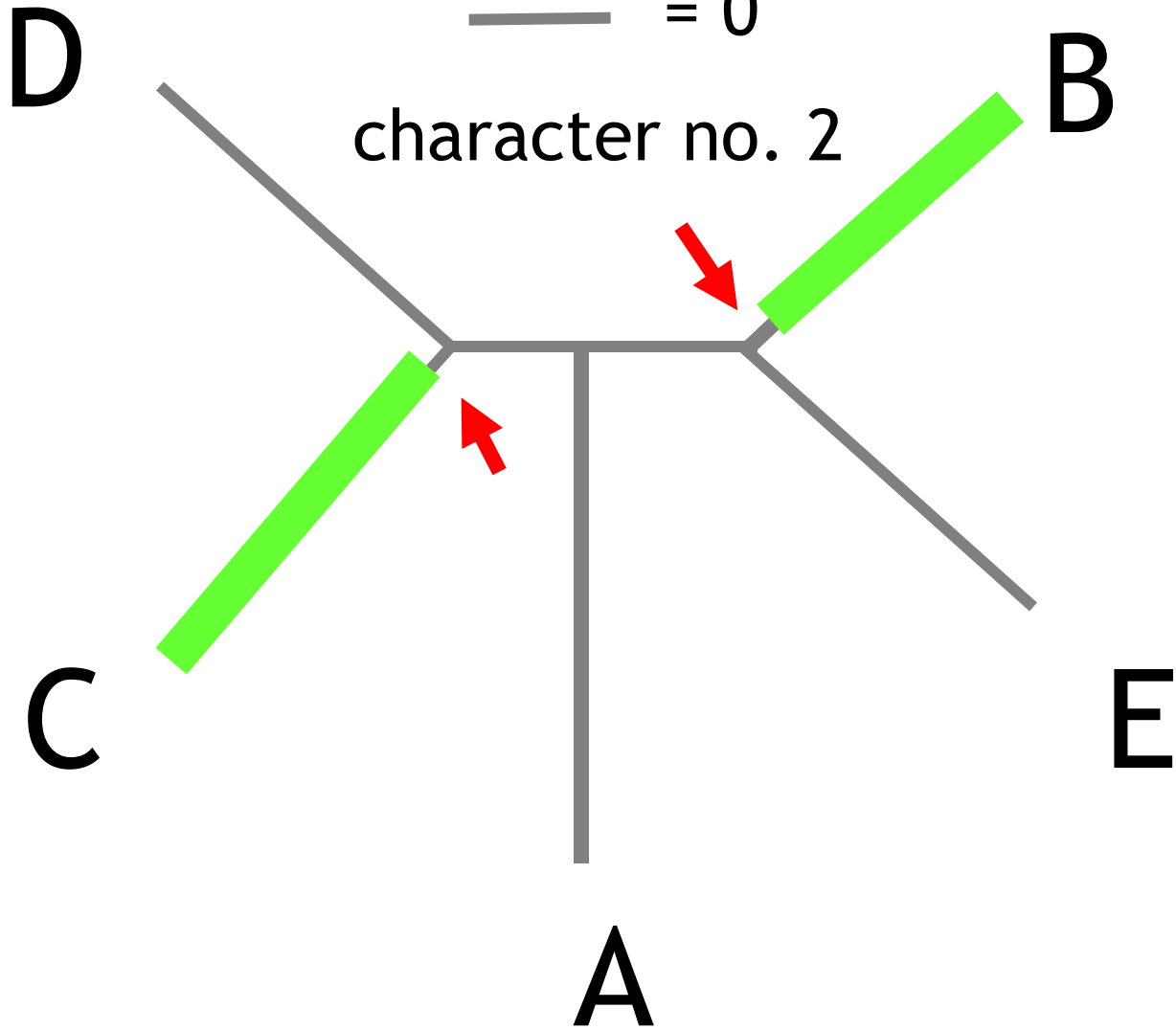
SIMPLE hypotheses  
are desirable

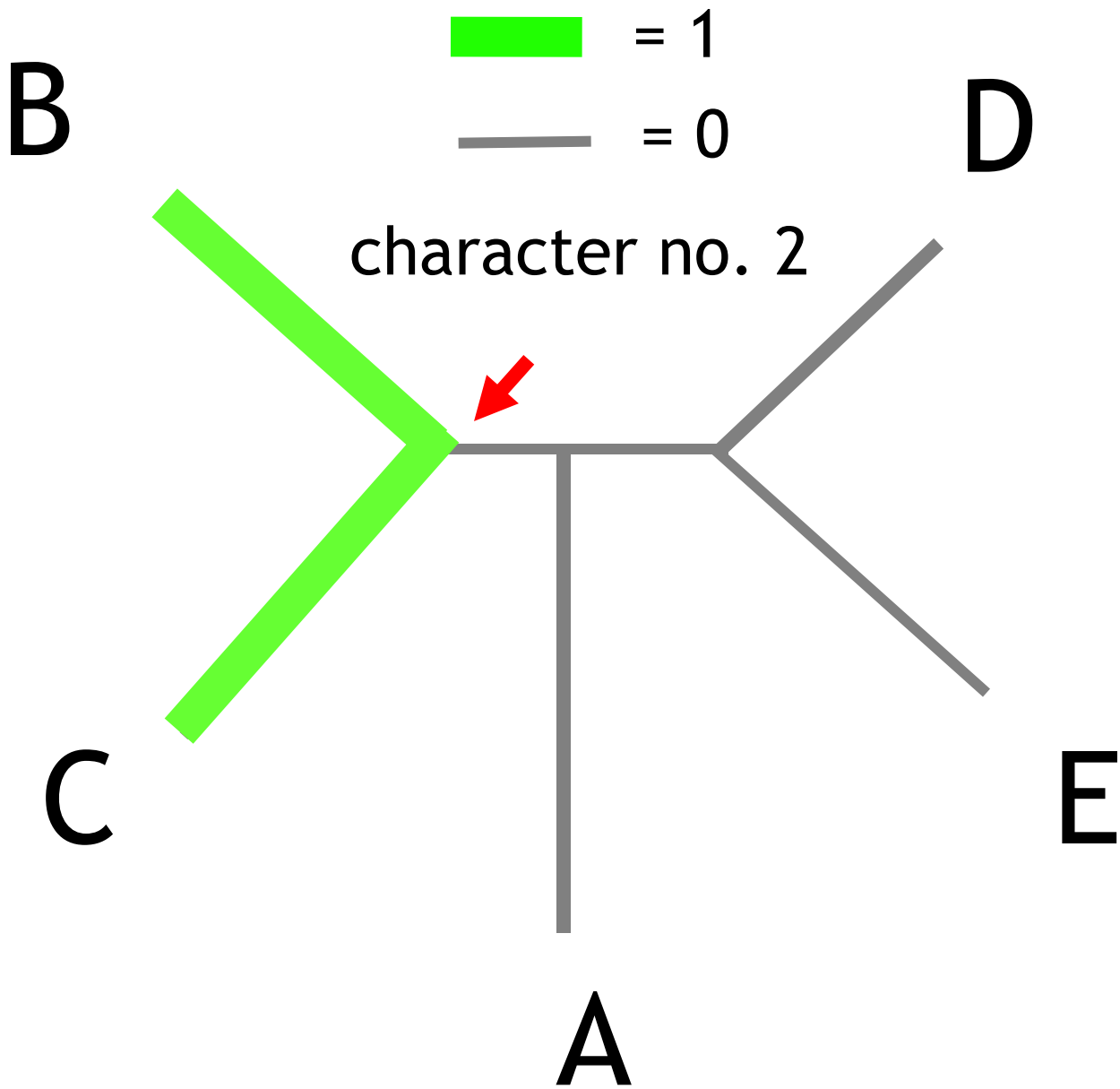
Ockham chooses a razor

 = 1

 = 0

character no. 2





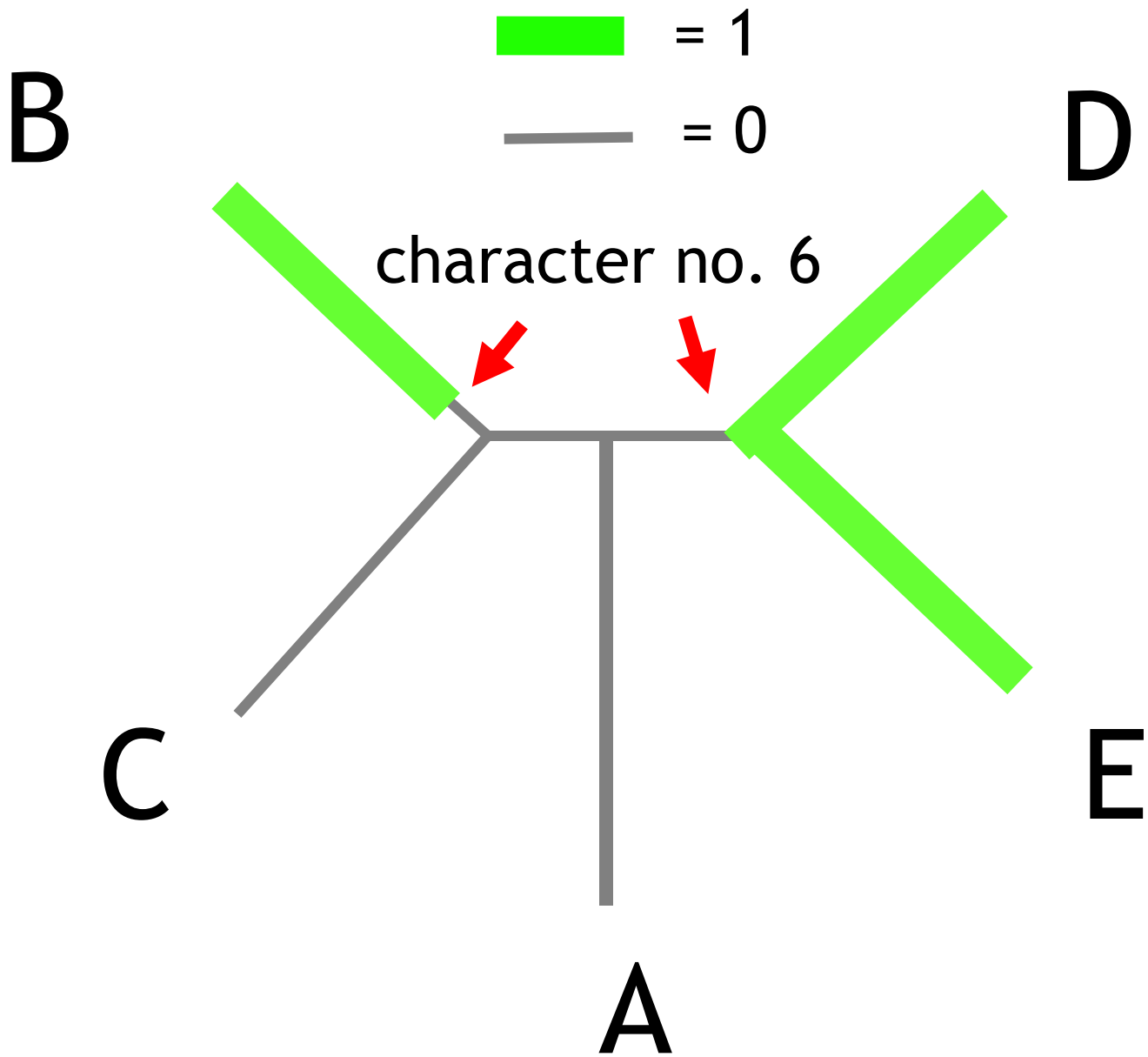


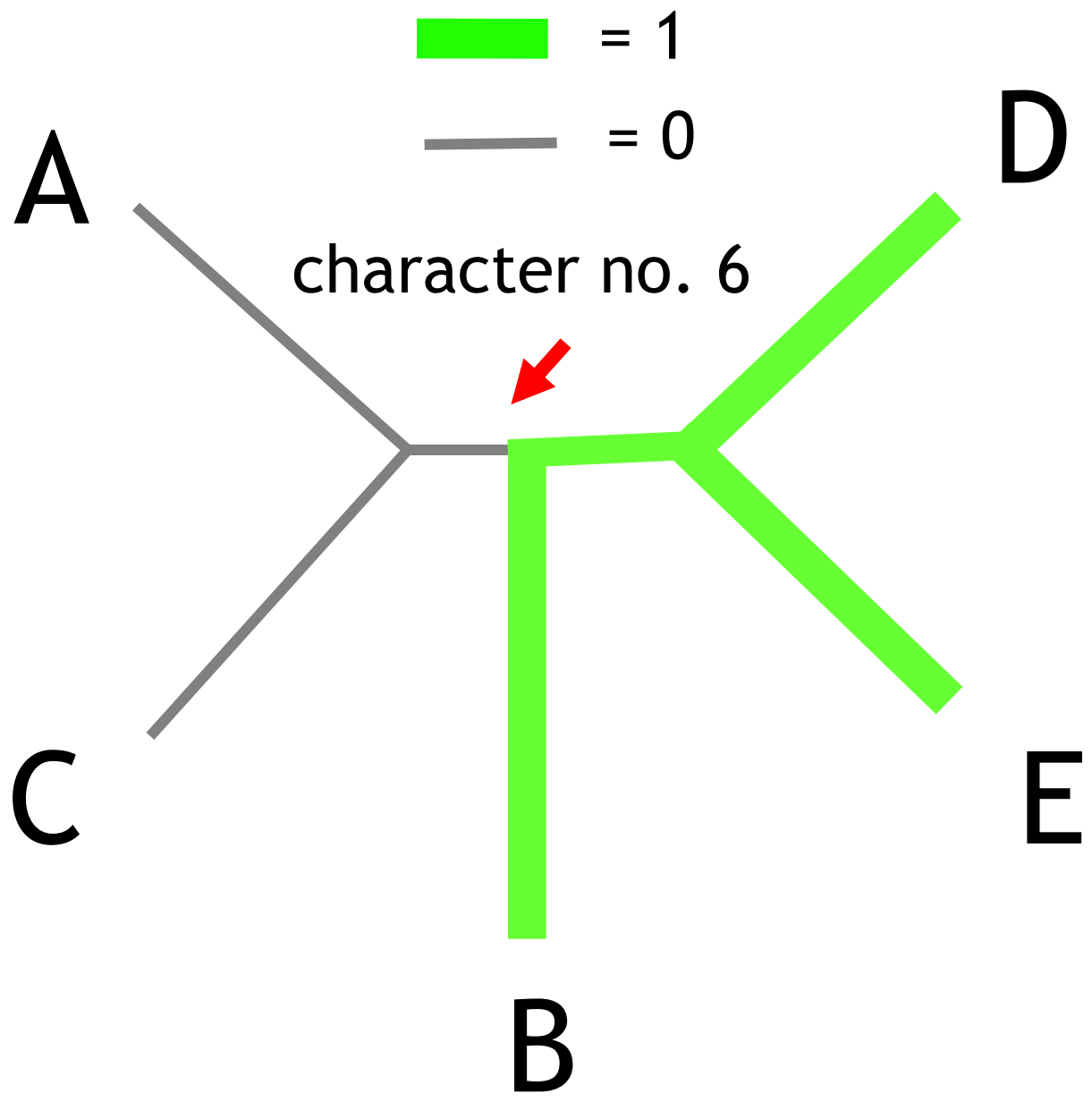
characters

0000000001  
1234567890

terminals

<b>A</b>	00100001010
<b>B</b>	01010100000
<b>C</b>	01110000001
<b>D</b>	10101100001
<b>E</b>	0000110101





# BEST HYPOTHESIS ?

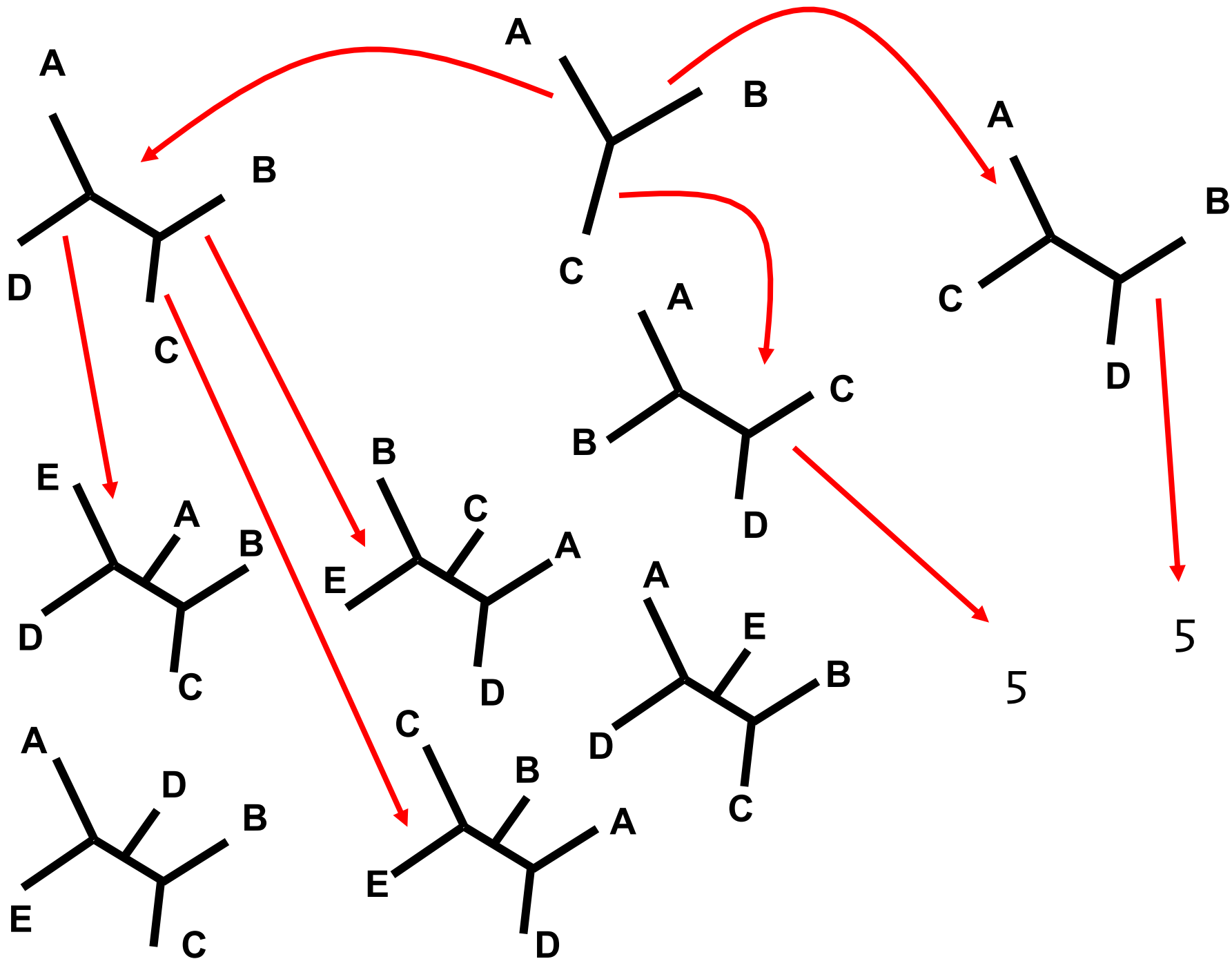


# PARSIMONY

PLURALITAS NON EST PONENDA  
SINE NECESSITATE

William of Ockham

SIMPLE hypotheses are desirable



characters		0	0	0	0	0	0	0	0	1		
		1	2	3	4	5	6	7	8	9	0	$\Sigma$
-----												
Tree	1	1	1	2	1	2	2	1	1	1	2	14
	2	1	1	2	1	1	2	1	1	1	2	13
	3											
	4											
	5											
	6											
	7											
	8											
	9											
	10											
	11											
	12											
	13											
	14											
	15											

SUM of ch. state changes

EVALUATION of all possible trees feasible only for a SMALL number of trees and thus.....

# Wagner algorithm

Kluge, A. G. & Farris, J. S. 1969. Quantitative phyletics and the evolution of anurans. *Systematic Zoology* 18:1-32.

Farris, J. S. 1970. Methods for computing Wagner trees. *Systematic Zoology* 19:83-92.





# SUMMARY

number of trees grows EXPONENTIALLY when number of studied organisms increase

by comparing ALL possible trees for certain number of terminals we will find a tree requiring smallest number of changes in ALL characters

most of the resemblance between terminals is explained by shared evolutionary history

descent with modification

maximizing **explanatory power** of the hypothesis

if we use parsimony as our optimality criterion this tree is the best hypothesis of phylogeny