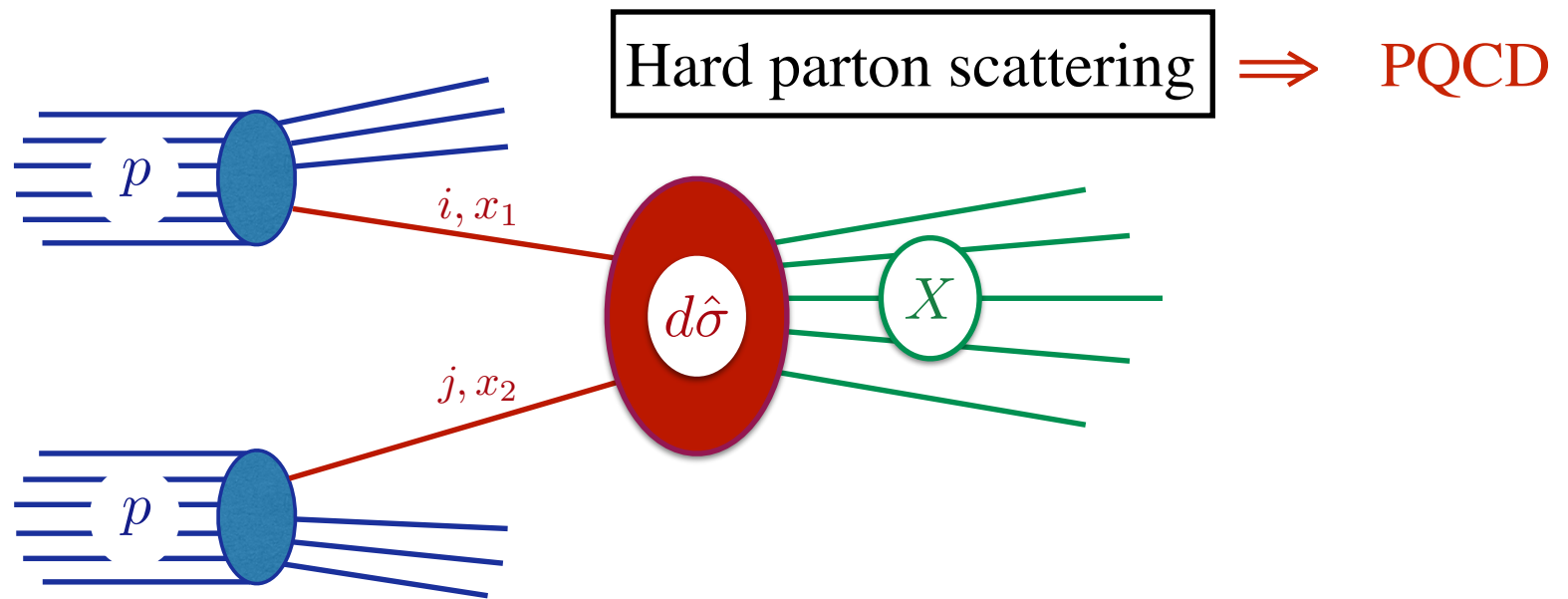


Perturbative aspects of soft QCD dynamics

ECT* Seminar, 12 September 2019

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Soft parton distributions

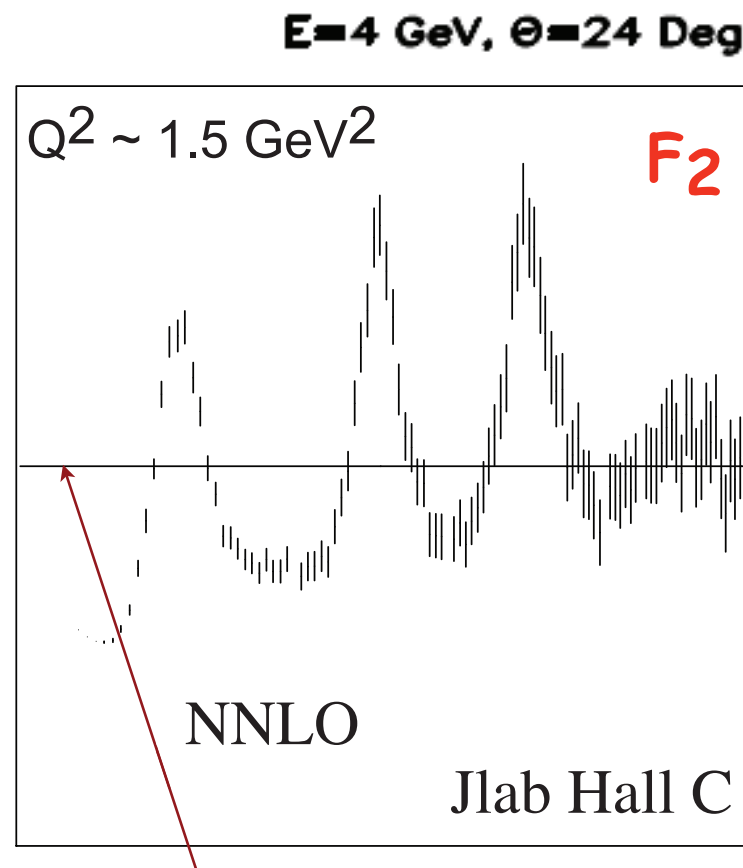
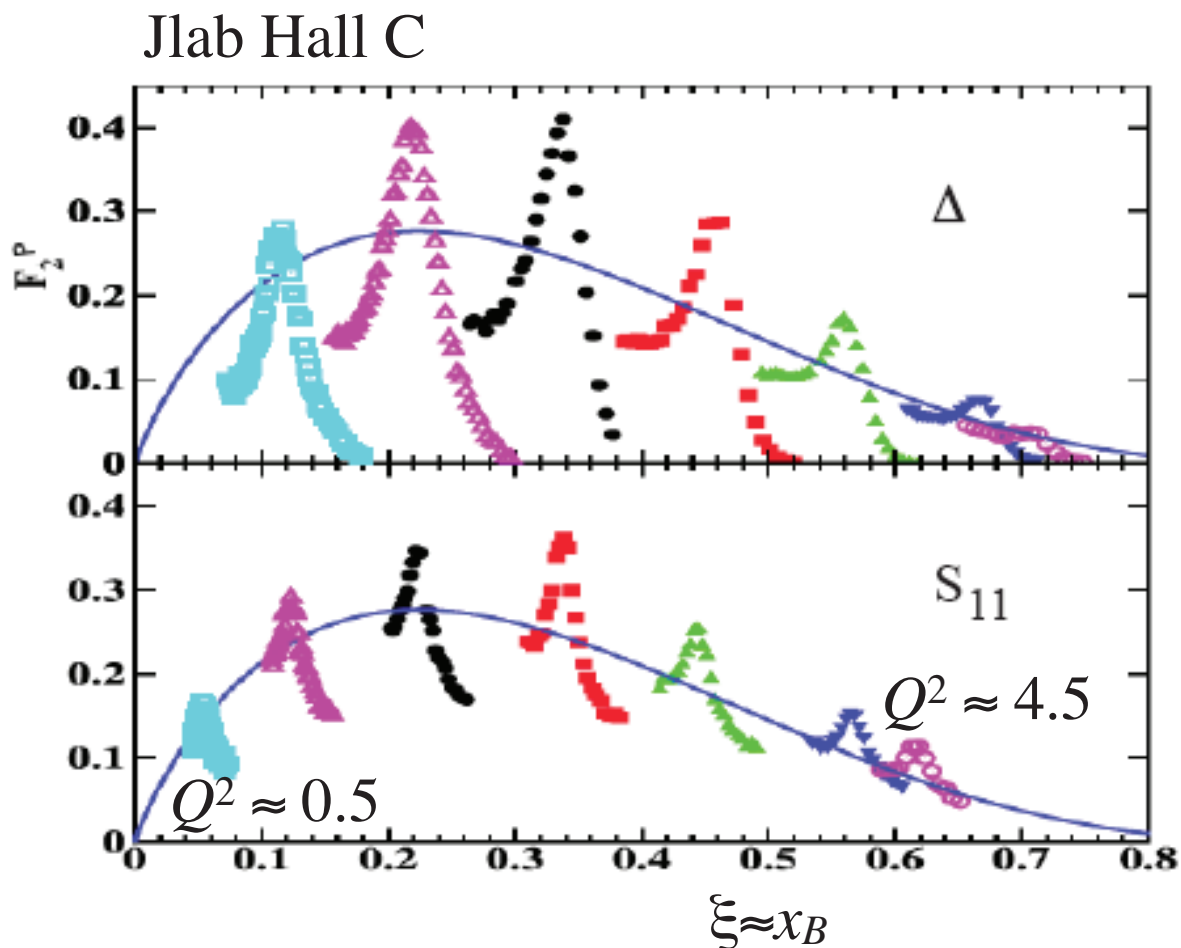
\Rightarrow Universality, Lattice QCD

\Rightarrow PQCD (bound state)

Resonances build the pdf's

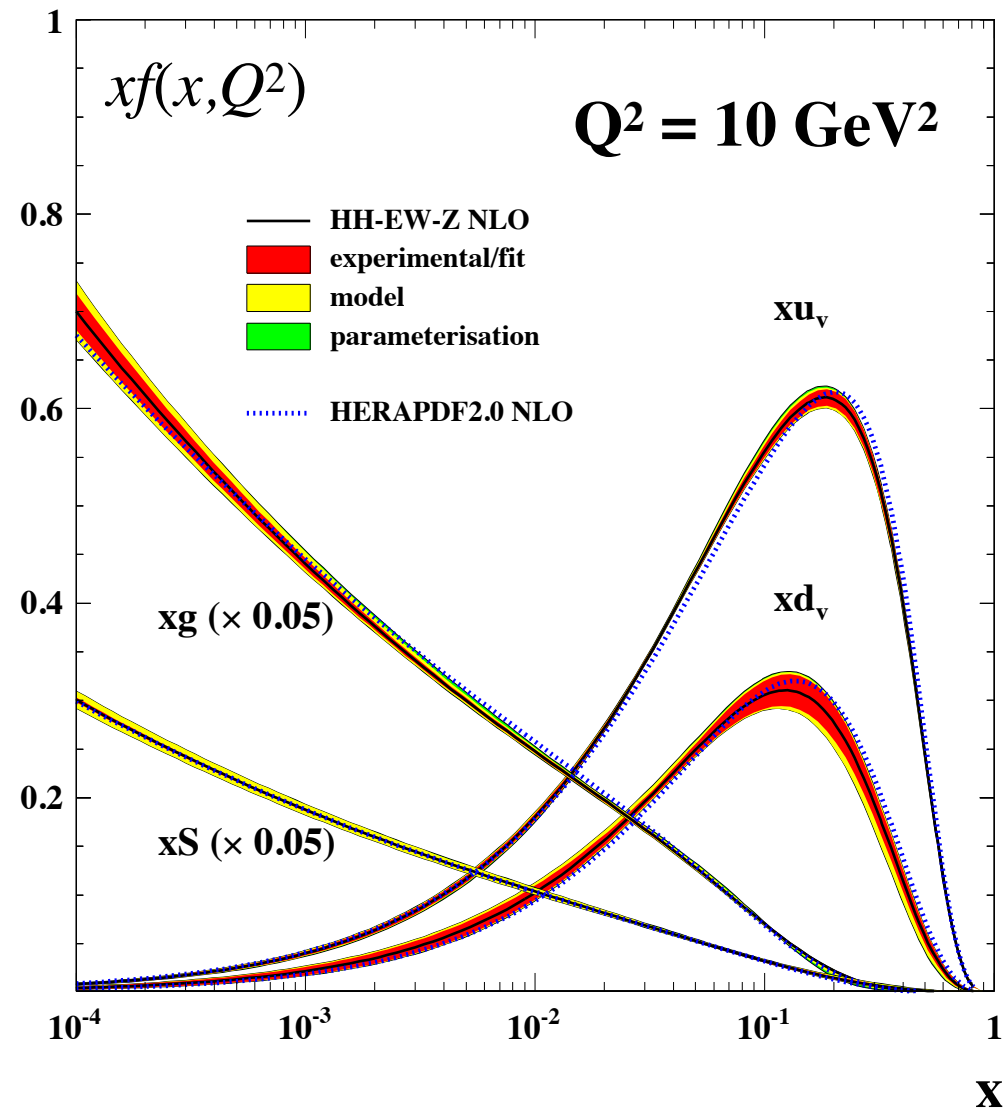
Duality is a pervasive and surprising feature of hadron dynamics:
Bound states form the dynamics.

Bloom-Gilman duality (1970): Resonances build the pdf's

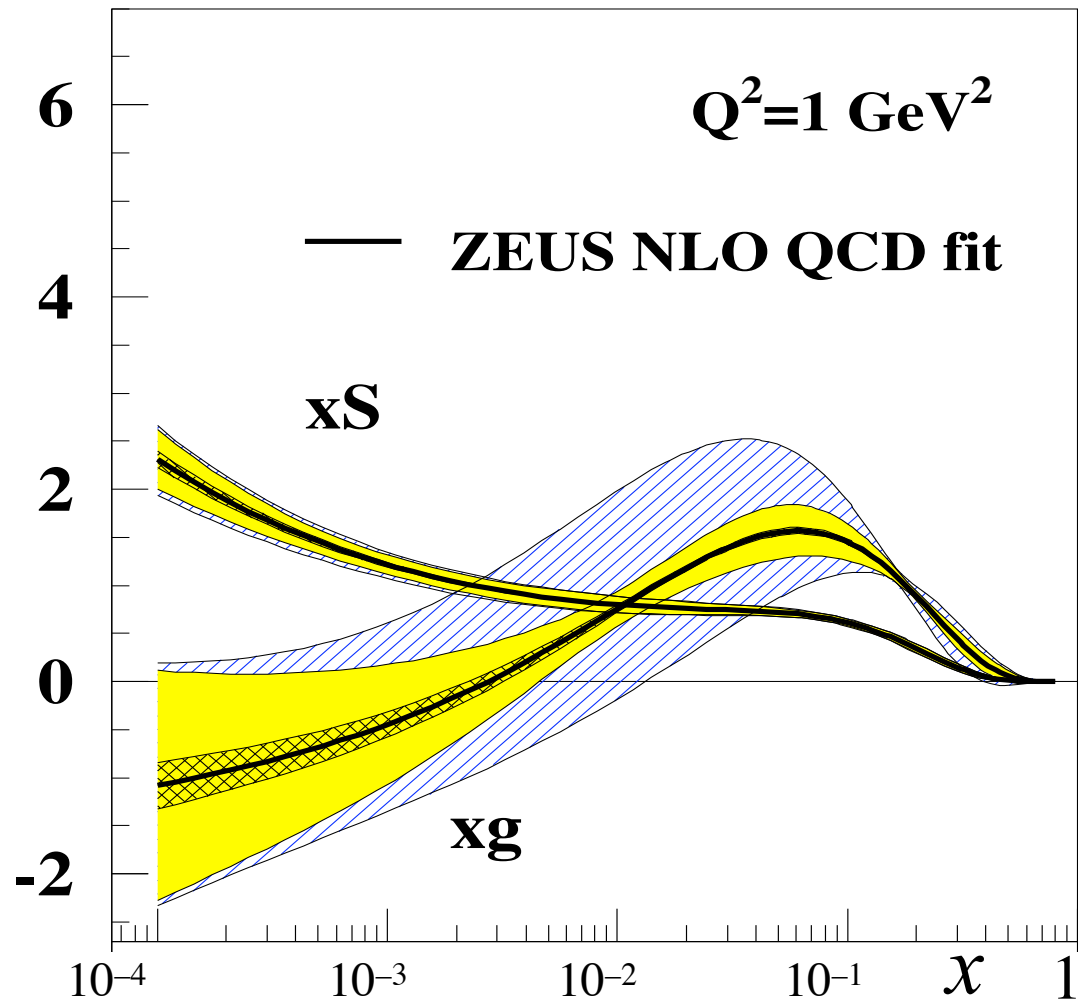


S. Alekhin, PRD 68 (2003) 014002

Gluons evolve away with decreasing Q^2



Resonances are not gluon dominated.



But the low x sea quarks remain.

The meaning of "non-perturbative"

Perturbative expansion diverges
Feynman diagrams lack essential features

Common view for soft QCD: $\alpha_s \gg 1 \Rightarrow$ Use lattice QCD (or models)

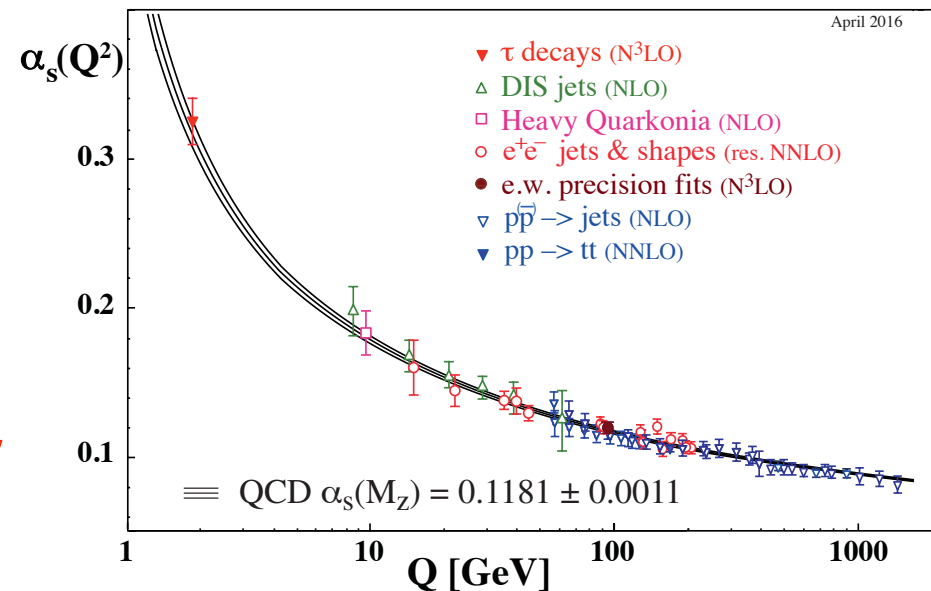
Alternative possibility: Coupling **freezes**,
remains perturbative $\alpha_s(0)/\pi \approx 0.14$

Divergence of perturbative expansion
is due to **low momentum transfers**

This is the case for classical fields in QED

and for QED bound states $\alpha(0) \approx 1/137$

★ $\leftarrow \alpha_s^{crit} \approx 0.43$ Gribov



Theory + Phenomenology of $1/Q$ effects in event shape observables, both in e^+e^- annihilation and **DIS** systematically pointed at the *average value* of the *infrared coupling*

$$\alpha_0 \equiv \frac{1}{2 \text{ GeV}} \int_0^{2 \text{ GeV}} dk \alpha_s(k^2) \sim 0.5$$

$$\alpha_s = 0.1153 \pm 0.0017(\text{exp}) \pm 0.0023(\text{th})$$

$$\alpha_0 = 0.5132 \pm 0.0115(\text{exp}) \pm 0.0381(\text{th})$$

T.Ghermann, M.Jaquier, G.Luisoni

The main features of this result are as follows : the average IR coupling is

- Universal

holds to within $\pm 15\%$

If not for the *universality*,

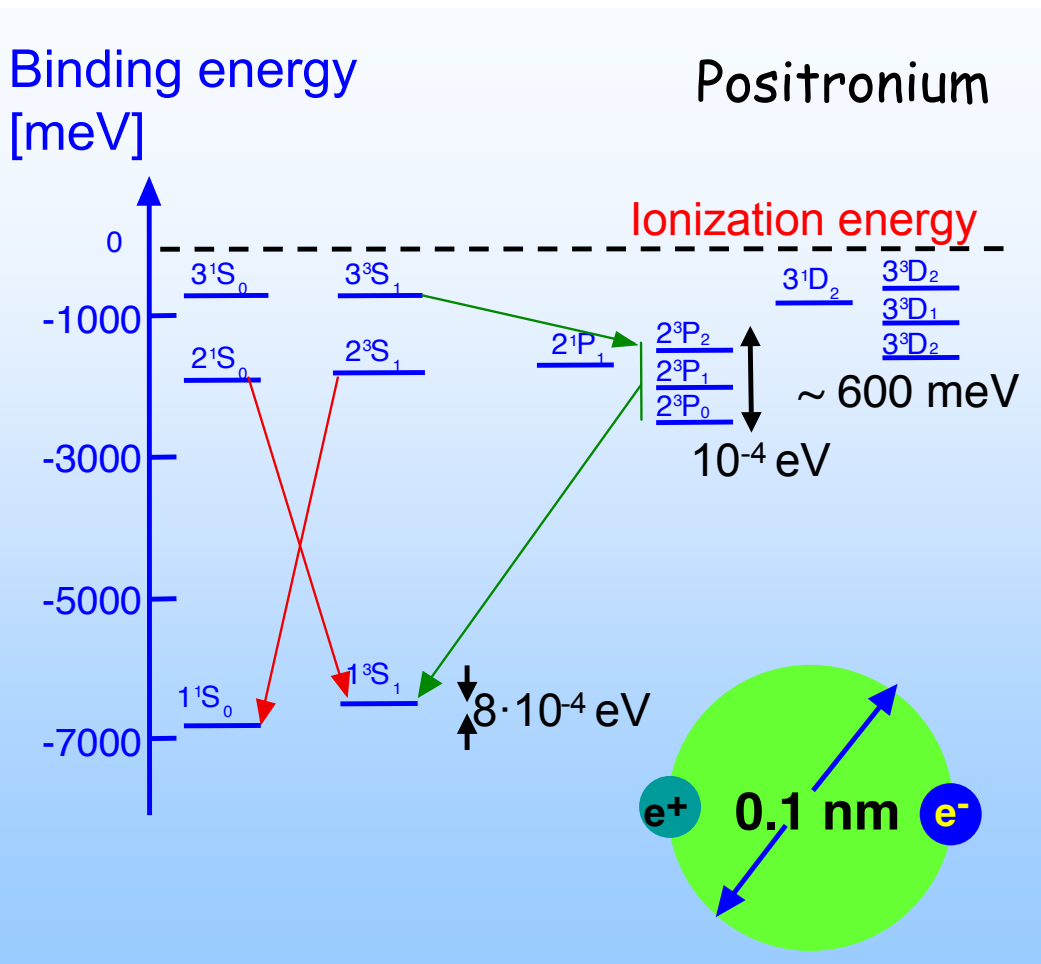
the whole game would have made no sense : it would have meant just trading **one unknown** - non-perturbative “smearing” effects in a given observable (like in MC event generators) - for **another unknown** function - the shape of the coupling in the infrared...

- Reasonably small

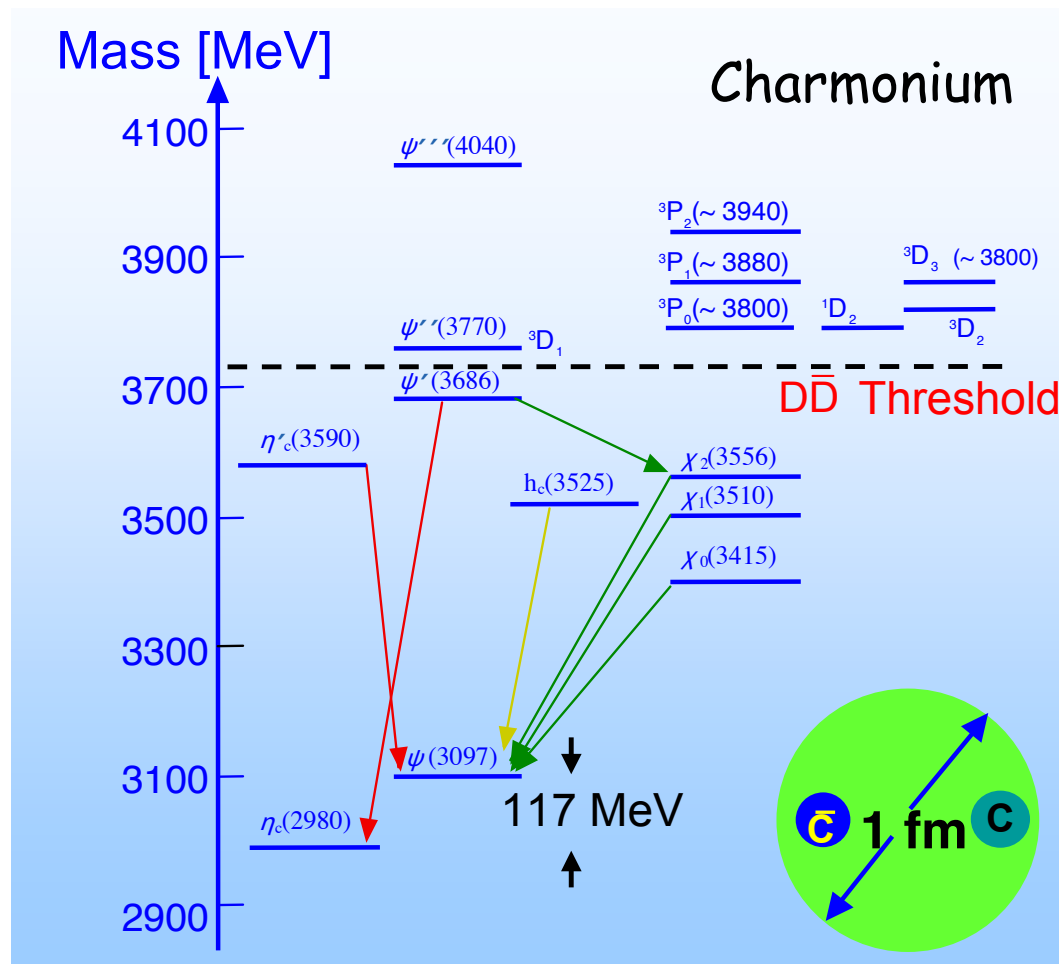
(which opens intriguing possibilities ...)

- Comfortably above the Gribov's critical value ($\pi \cdot 0.137 \simeq 0.4$)

Similarity of quarkonia and atoms



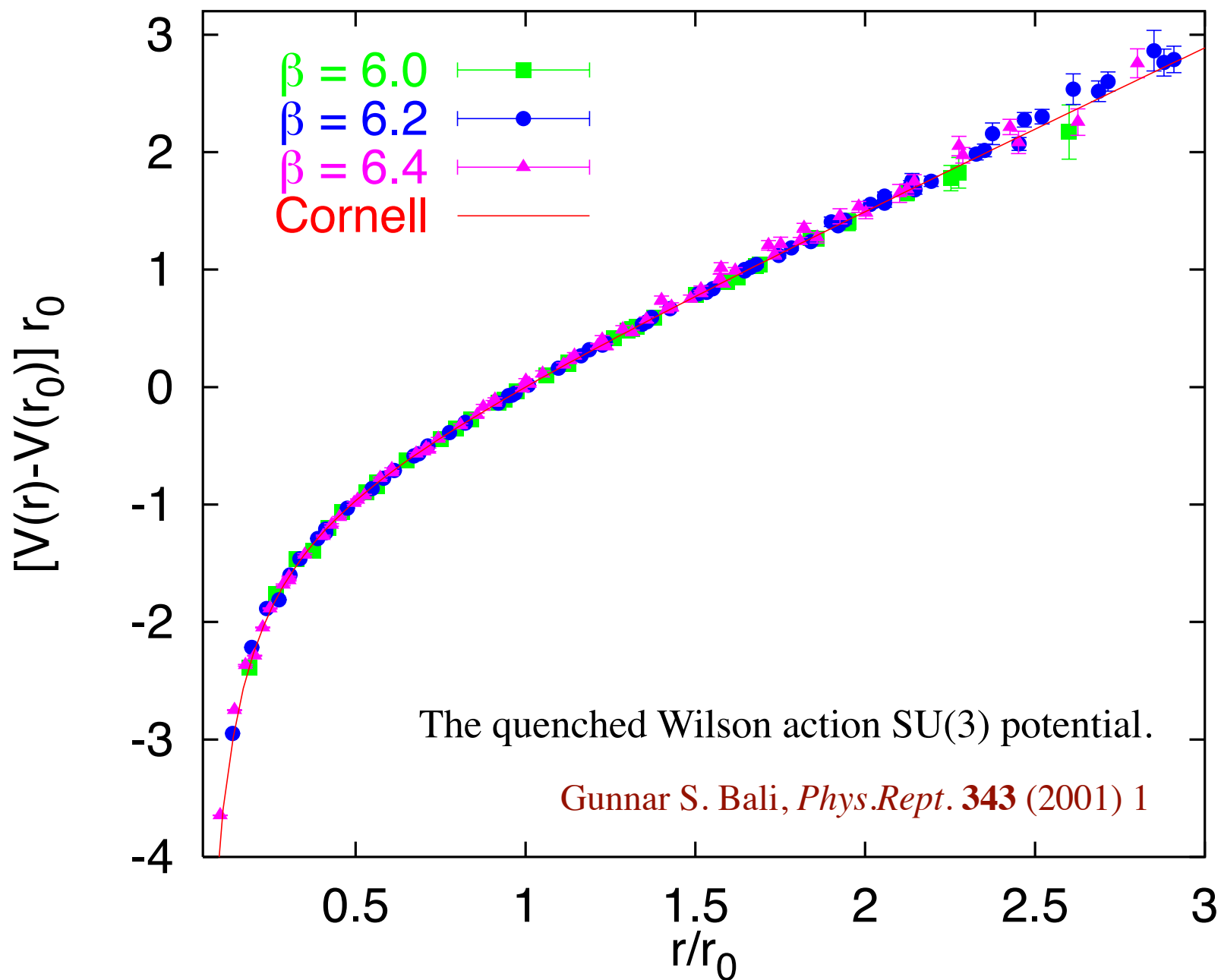
$$V(r) = -\frac{\alpha}{r}$$



$$V(r) = cr - \frac{4}{3} \frac{\alpha_s}{r}$$

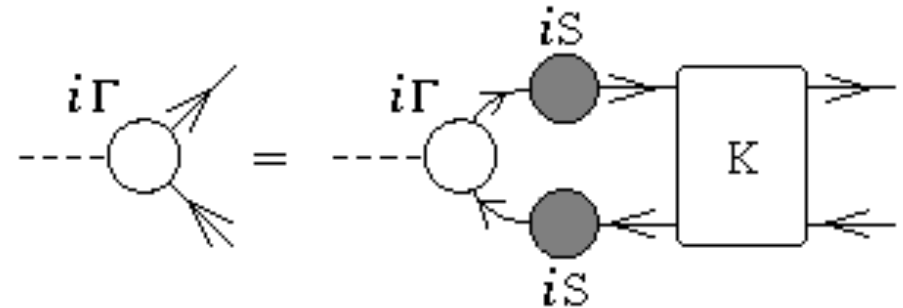
"The J/ψ is the Hydrogen atom of QCD"

Linear Cornell potential agrees with Lattice QCD



Three developments in the theory of atoms

- 1951: Salpeter & Bethe



Expand propagators S and kernel K in powers of α

Explicit Lorentz covariance (frame dependent time separations)

No analytic solution even at lowest order in S and K

- 1975: Caswell & Lepage: **BS is not unique**: ∞ # of equivalent equations, $S \leftrightarrow K$

We may choose to expand around Schrödinger atoms

Give up **explicit** boost invariance

- 1986: Caswell & Lepage **NRQED**: Effective NR field theory

Expand QED action in powers of ∇/m_e

Choose to start from Schrödinger atoms (at rest)

⇒ **Need a physical principle for the choice of initial wave function.**

PT for atoms start with an initial approximation, *e.g.*, the Schrödinger eq.

Atomic wave functions are of $\mathcal{O}(\alpha^\infty)$: $\Psi(\mathbf{x}) \sim \exp(-\alpha mr/2)$

The wave function is not an observable (gauge dependent).

Binding energies are physical and they can be expanded in α and $\log\alpha$.

Example: Hyperfine splitting in Positronium

G. S. Adkins,

Hyperfine Interact. **233** (2015) 59

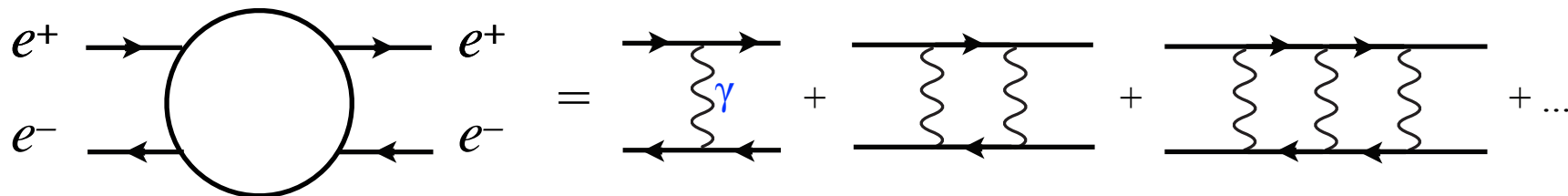
$$\begin{aligned} \Delta\nu_{QED} = m_e\alpha^4 & \left\{ \frac{7}{12} - \frac{\alpha}{\pi} \left(\frac{8}{9} + \frac{\ln 2}{2} \right) \right. \\ & + \frac{\alpha^2}{\pi^2} \left[-\frac{5}{24}\pi^2 \ln \alpha + \frac{1367}{648} - \frac{5197}{3456}\pi^2 + \left(\frac{221}{144}\pi^2 + \frac{1}{2} \right) \ln 2 - \frac{53}{32}\zeta(3) \right] \\ & \left. - \frac{7\alpha^3}{8\pi} \ln^2 \alpha + \frac{\alpha^3}{\pi} \ln \alpha \left(\frac{17}{3} \ln 2 - \frac{217}{90} \right) + \mathcal{O}(\alpha^3) \right\} = 203.39169(41) \text{ GHz} \end{aligned}$$

$$\Delta\nu_{\text{EXP}} = 203.394 \pm .002 \text{ GHz}$$

Principles of bound state perturbation theory?

A generalization to QCD requires a **derivation** of the **Schrödinger eq. from L_{QED}** .

Summing ladder diagrams is not the answer: *E.g.*, for $e^+ e^- \rightarrow e^+ e^-$



The divergence of the ladder sum gives rise to Positronium poles.

But: The free *in* and *out* states of PQED lack overlap with Positronia.

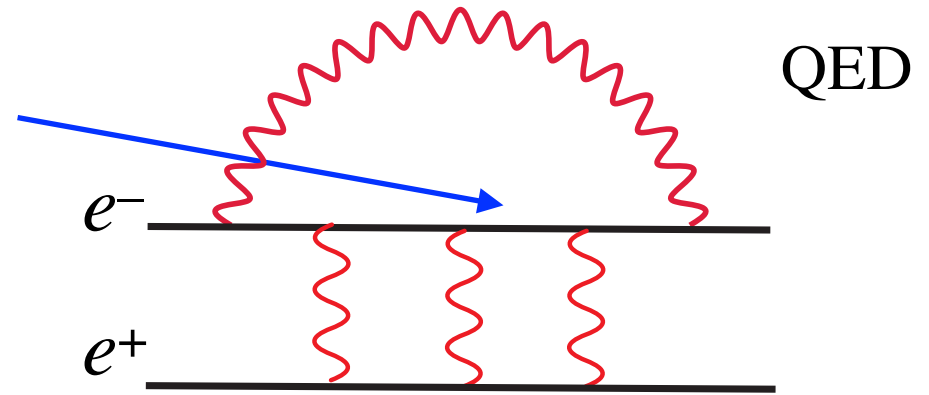
Free quark states at $t = \pm \infty$ are incompatible with confinement in QCD.

Beware of using Feynman diagrams, based on free propagation, for bound states!

Bound state constituents propagate in a field

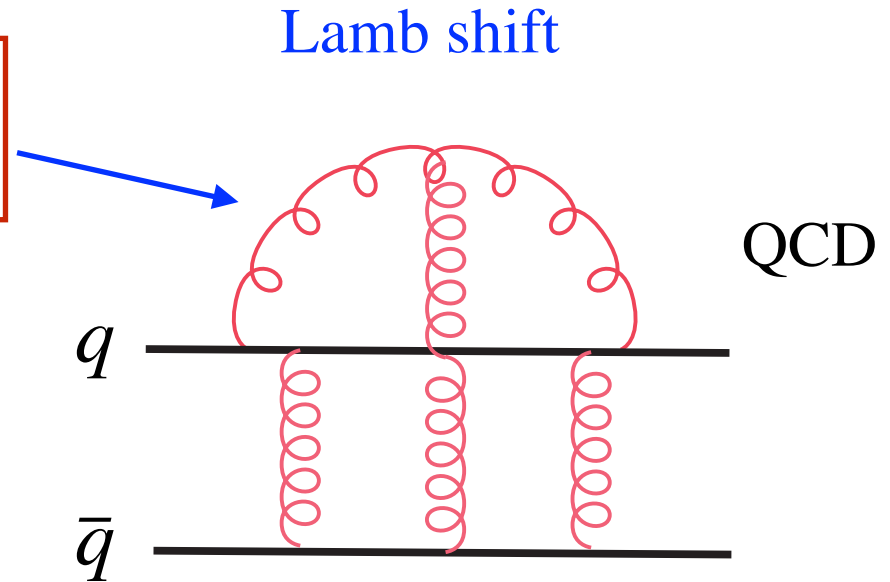
For QED lamb shift, need to calculate e^- propagator **in the field of e^+**

In an NR approximation, this can be described by a fixed $-\alpha/r$ potential.



In QCD, relativistic gluons interact with colored quarks

Gluon and quark propagators **depend on the state** in which they propagate.



Lamb shift

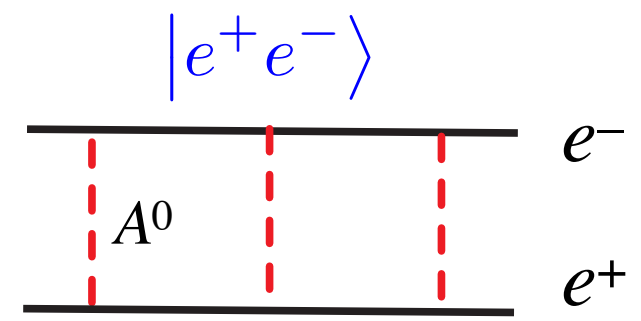
Cannot build bound states with constituents that have predetermined propagators.



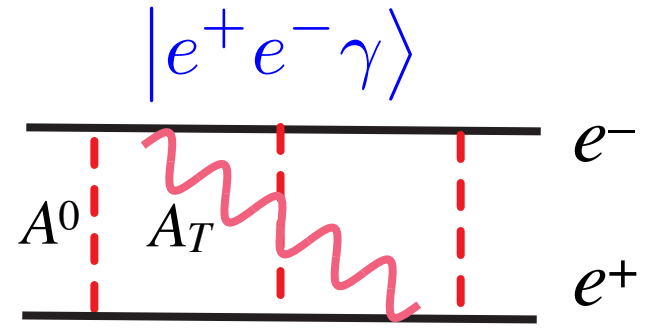
Constituents propagate in their **instantaneous field**. Bound states are **eigenstates of H** .

Fock state expansion for Positronium (at rest)

The $|e^+e^- \rangle$ Fock state determines the binding energy at lowest order, $\mathcal{O}(\alpha^2)$.
 Binding is due to **instantaneous A^0 photons**.
 The classical field is not suppressed by α .



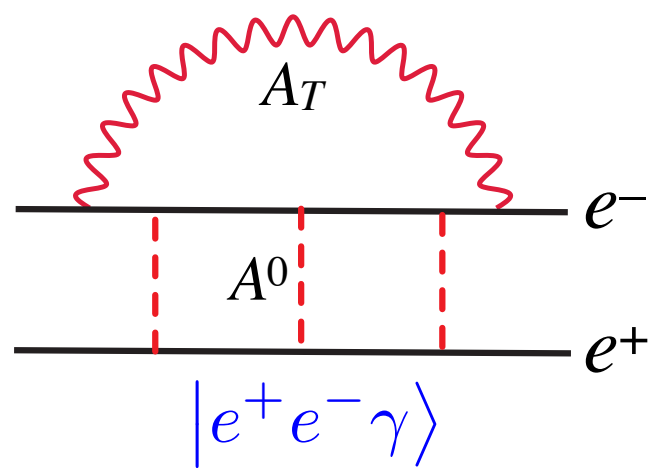
Spin dependence arises at $\mathcal{O}(\alpha^4)$ from states with a **transverse photon**, $|e^+e^- \gamma \rangle$.
 A_T exchange is suppressed by powers of α .



The Lamb shift also arises from $|e^+e^- \gamma \rangle$.

Perturbative theory is equivalent to a Fock expansion in the classical field.

How can this be implemented in a Hamiltonian approach?



Canonical quantisation in temporal gauge: $A^0 = 0$

Avoids problem due to the missing conjugate field of A^0 . No ghosts.

$$E^i = F^{i0} = -\partial_0 A^i \quad \text{conjugate to } -A^i \quad (i = 1, 2, 3)$$

$$[E^i(t, \mathbf{x}), A^j(t, \mathbf{y})] = i\delta^{ij}\delta(\mathbf{x} - \mathbf{y}) \quad \{\psi_\alpha^\dagger(t, \mathbf{x}), \psi_\beta(t, \mathbf{y})\} = \delta_{\alpha\beta}\delta(\mathbf{x} - \mathbf{y})$$

$$H = \int d\mathbf{x} \left[\frac{1}{2} \mathbf{E}_L^2 + \frac{1}{2} \mathbf{E}_T^2 + \frac{1}{4} F^{ij} F^{ij} + \psi^\dagger (-i\alpha^i \partial_i - e\alpha^i A^i + m\gamma^0) \psi \right]$$

Gauss' operator does not vanish: $G(x) \equiv \frac{\delta\mathcal{S}}{\delta A^0(x)} = \partial_i E_L^i(x) - e\psi^\dagger \psi(x)$

$G(x)$ generates *time-independent* gauge transformations, consistent with $A^0 = 0$

Fix the gauge by *constraining* physical states: $G(x) |phys\rangle = 0$

This determines $E_L(x)$ for each state, imposing Gauss' law.

J. D. Bjorken, SLAC Summer Institute (1979)

G. Leibbrandt, Rev. Mod. Phys. 59, 1067 (1987)

Schrödinger equation for Positronium

$$G(\mathbf{x}) |phys\rangle = 0 \quad \Rightarrow \quad \begin{aligned} \partial_i E_L^i(t, \mathbf{x}) |phys\rangle &= e\psi^\dagger\psi(t, \mathbf{x}) |phys\rangle \\ E_L^i(t, \mathbf{x}) |phys\rangle &= -\partial_i^x \int d\mathbf{y} \frac{e}{4\pi|\mathbf{x} - \mathbf{y}|} \psi^\dagger\psi(t, \mathbf{y}) |phys\rangle \end{aligned}$$

For the component of Positronium with an electron at \mathbf{x}_1 and a positron at \mathbf{x}_2 : $|e^-(\mathbf{x}_1)e^+(\mathbf{x}_2)\rangle = \bar{\psi}_\alpha(\mathbf{x}_1)\psi_\beta(\mathbf{x}_2)|0\rangle$

$$E_L^i |e^-(\mathbf{x}_1)e^+(\mathbf{x}_2)\rangle = -\partial_i^x \frac{e}{4\pi} \left(\frac{1}{|\mathbf{x} - \mathbf{x}_1|} - \frac{1}{|\mathbf{x} - \mathbf{x}_2|} \right) |e^-(\mathbf{x}_1)e^+(\mathbf{x}_2)\rangle$$

The instantaneous Hamiltonian $H_V \equiv \frac{1}{2} \int d\mathbf{x} E_L^i E_L^i(\mathbf{x})$ gives the classical potential:

$$H_V |e^-(\mathbf{x}_1)e^+(\mathbf{x}_2)\rangle = -\frac{\alpha}{|\mathbf{x}_1 - \mathbf{x}_2|} |e^-(\mathbf{x}_1)e^+(\mathbf{x}_2)\rangle$$

The Schrödinger equation follows from

$$H |e^+e^-\rangle = (2m + E_b) |e^+e^-\rangle$$

A Fock state expansion for QCD

The Fock expansion is compatible with the quark model of hadrons:

- Valence quantum numbers of mesons and baryons (lowest Fock state)
- Physical (transverse) gluon constituents contribute at $O(\alpha_s)$
- The E_L field is instantaneous also for relativistic constituents

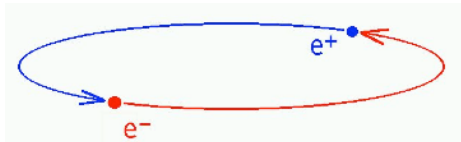
How can color confinement arise?

Gauss' law has no Λ_{QCD} scale

A crucial difference between QED and QCD

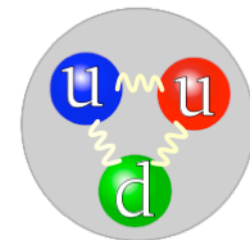
Global gauge invariance allows a classical gauge field for neutral atoms, but **not** a color octet gluon field for color singlet hadrons.

Positronium (QED)



$$E_L^i(\mathbf{x}) = -\frac{e}{4\pi} \partial_i^x \left(\frac{1}{\mathbf{x} - \mathbf{x}_1} - \frac{1}{\mathbf{x} - \mathbf{x}_2} \right)$$

Proton (QCD)



$$E_{L,a}^i(\mathbf{x}) = 0$$

However:

The classical gluon field is non-vanishing for **each color component** C of the state

$$E_{L,a}^i(\mathbf{x}, C) \neq 0$$

The blue quark feels the color field generated by the red and green quarks.

An **external observer** sees no field:

The gluon field generated by a color singlet state **vanishes**

$$\sum_C E_{L,a}^i(\mathbf{x}, C) = 0$$

Temporal gauge in QCD: $A_a^0 = 0$

Gauss' operator $G_a(x) \equiv \frac{\delta S}{\delta A_a^0(x)} = \partial_i E_a^i(x) + g f_{abc} A_b^i E_c^i - g \psi^\dagger T^a \psi(x)$

generates time-independent gauge transformations, which keep $A_a^0 = 0$

The gauge is fully defined (in PT) by the **constraint** $G_a(x) |phys\rangle = 0$

$$\Rightarrow \partial_i E_{L,a}^i(\mathbf{x}) |phys\rangle = g \left[-f_{abc} A_b^i E_c^i + \psi^\dagger T^a \psi(\mathbf{x}) \right] |phys\rangle$$

In QED one solves for E_L requiring $E_L(\mathbf{x}) \rightarrow 0$ for $|\mathbf{x}| \rightarrow \infty$

In QCD, for (globally) **color singlet** bound states: $\sum_C E_{L,a}^i(\mathbf{x}, C) = 0$

For each **color component** C there are **homogeneous solutions** of Gauss' law for E_L , which **do not vanish** at spatial infinity.

Translation invariance **requires a constant field energy density** (Λ_{QCD}).

The solution is **unique**, up to the magnitude of the energy density.

Including a homogeneous solution for $E_{L,a}^i$

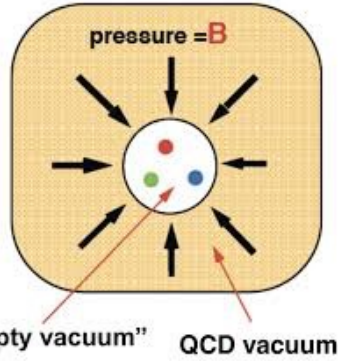
$$E_{L,a}^i(\mathbf{x}) |phys\rangle = -\partial_i^x \int d\mathbf{y} \left[\kappa \mathbf{x} \cdot \mathbf{y} + \frac{g}{4\pi|\mathbf{x} - \mathbf{y}|} \right] \mathcal{E}_a(\mathbf{y}) |phys\rangle$$

where $\mathcal{E}_a(\mathbf{y}) = -f_{abc} A_b^i E_c^i(\mathbf{y}) + \psi^\dagger T^a \psi(\mathbf{y})$

$\kappa \neq \kappa(\mathbf{x}, \mathbf{y})$ ensures $\partial_i E^i(\mathbf{x}) = 0$ (a homogeneous solution)

The linear dependence on \mathbf{x} makes E_L independent of \mathbf{x} , as required by translation invariance:

The field energy density is spatially constant. Cf. bag model:



The E_L contribution to the QCD Hamiltonian is

$$H_V = \int d\mathbf{y} d\mathbf{z} \left\{ \mathbf{y} \cdot \mathbf{z} \left[\frac{1}{2} \kappa^2 \int d\mathbf{x} + g\kappa \right] + \frac{1}{2} \frac{\alpha_s}{|\mathbf{y} - \mathbf{z}|} \right\} \mathcal{E}_a(\mathbf{y}) \mathcal{E}_a(\mathbf{z})$$

The field energy \propto volume of space is irrelevant only if it is **universal**.

This relates the normalisation \varkappa of all Fock components, leaving an

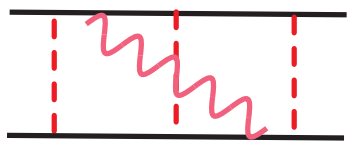
overall scale Λ_{QCD} as the single parameter.

Examples: Fock state potentials (I)

$q\bar{q}$: $H_V |q(\mathbf{x}_1)\bar{q}(\mathbf{x}_2)\rangle = V_{q\bar{q}} |q(\mathbf{x}_1)\bar{q}(\mathbf{x}_2)\rangle$

$V_{q\bar{q}} = \Lambda^2 |\mathbf{x}_1 - \mathbf{x}_2| - C_F \frac{\alpha_s}{|\mathbf{x}_1 - \mathbf{x}_2|}$ “Cornell potential” also for relativistic quarks

$qg\bar{q}$: $V_{qg\bar{q}}^{(0)}(\mathbf{x}_1, \mathbf{x}_g, \mathbf{x}_2) = \frac{\Lambda^2}{\sqrt{C_F}} d_{qg\bar{q}}(\mathbf{x}_1, \mathbf{x}_g, \mathbf{x}_2)$ (universal Λ)



$d_{qg\bar{q}}(\mathbf{x}_1, \mathbf{x}_g, \mathbf{x}_2) \equiv \sqrt{\frac{1}{4}(N - 2/N)(\mathbf{x}_1 - \mathbf{x}_2)^2 + N(\mathbf{x}_g - \frac{1}{2}\mathbf{x}_1 - \frac{1}{2}\mathbf{x}_2)^2}$

$V_{qg\bar{q}}^{(1)}(\mathbf{x}_1, \mathbf{x}_g, \mathbf{x}_2) = \frac{1}{2} \alpha_s \left[\frac{1}{N} \frac{1}{|\mathbf{x}_1 - \mathbf{x}_2|} - N \left(\frac{1}{|\mathbf{x}_1 - \mathbf{x}_g|} + \frac{1}{|\mathbf{x}_2 - \mathbf{x}_g|} \right) \right]$

When q and g coincide: $V_{qg\bar{q}}^{(0)}(\mathbf{x}_1 = \mathbf{x}_g, \mathbf{x}_2) = \Lambda^2 |\mathbf{x}_1 - \mathbf{x}_2| = V_{q\bar{q}}^{(0)}$

$V_{qg\bar{q}}^{(1)}(\mathbf{x}_1 = \mathbf{x}_g, \mathbf{x}_2) = V_{q\bar{q}}^{(1)}$

Fock state potentials (II)

qqq :

$$V_{qqq} = \Lambda^2 d_{qqq}(\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3) - \frac{2}{3} \alpha_s \left(\frac{1}{|\mathbf{x}_1 - \mathbf{x}_2|} + \frac{1}{|\mathbf{x}_2 - \mathbf{x}_3|} + \frac{1}{|\mathbf{x}_3 - \mathbf{x}_1|} \right)$$

$$d_{qqq}(\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3) \equiv \frac{1}{\sqrt{2}} \sqrt{(\mathbf{x}_1 - \mathbf{x}_2)^2 + (\mathbf{x}_2 - \mathbf{x}_3)^2 + (\mathbf{x}_3 - \mathbf{x}_1)^2}$$

gg :

$$V_{gg} = \sqrt{\frac{N}{C_F}} \Lambda^2 |\mathbf{x}_1 - \mathbf{x}_2| - N \frac{\alpha_s}{|\mathbf{x}_1 - \mathbf{x}_2|}$$

This agrees with the $qg\bar{q}$ potential where the quarks coincide:

$$V_{gg}(\mathbf{x}, \mathbf{x}_g) = V_{qg\bar{q}}(\mathbf{x}, \mathbf{x}_g, \mathbf{x})$$

It is straightforward to work out the instantaneous potential for any Fock state.

$\mathcal{O}(\alpha_s^0)$ $q\bar{q}$ bound states

The $\mathcal{O}(\alpha_s^0)$ meson is a superposition of $q\bar{q}$ Fock states with wave function Φ ,

$$|M\rangle = \sum_{A,B;\alpha,\beta} \int d\mathbf{x}_1 d\mathbf{x}_2 \bar{\psi}_\alpha^A(t=0, \mathbf{x}_1) \delta^{AB} \Phi_{\alpha\beta}(\mathbf{x}_1 - \mathbf{x}_2) \psi_\beta^B(t=0, \mathbf{x}_2) |0\rangle$$

The bound state condition $H|M\rangle = M|M\rangle$ gives

$$[i\gamma^0 \boldsymbol{\gamma} \cdot \vec{\nabla} + m\gamma^0] \Phi(\mathbf{x}) + \Phi(\mathbf{x}) [i\gamma^0 \boldsymbol{\gamma} \cdot \overleftarrow{\nabla} - m\gamma^0] = [M - V(|\mathbf{x}|)] \Phi(\mathbf{x})$$

where $\mathbf{x} \equiv \mathbf{x}_1 - \mathbf{x}_2$ and $V(|\mathbf{x}|) = V'|\mathbf{x}| = \Lambda^2|\mathbf{x}|$.

In the non-relativistic limit ($m \gg \Lambda$) this reduces to the Schrödinger equation, and we may add the instantaneous gluon exchange potential.

\Rightarrow The successful quarkonium phenomenology with the Cornell potential.

Relativistic $q\bar{q}$ bound states

$$i\nabla \cdot \{\gamma^0 \boldsymbol{\gamma}, \Phi(\mathbf{x})\} + m [\gamma^0, \Phi(\mathbf{x})] = [M - V(\mathbf{x})] \Phi(\mathbf{x})$$

Expanding the 4×4 wave function in a basis of 16 Dirac structures $\Gamma_i(\mathbf{x})$

$$\Phi(\mathbf{x}) = \sum_i \Gamma_i(\mathbf{x}) F_i(r) Y_{j\lambda}(\hat{\mathbf{x}})$$

we may use rotational, parity and charge conjugation invariance to determine which $\Gamma_i(\mathbf{x})$ may occur for a state of given j^{PC} :

$$\begin{aligned}
 0^{-+} \text{ trajectory } [s=0, \ell=j] : & \quad -\eta_P = \eta_C = (-1)^j \quad \gamma_5, \gamma^0 \gamma_5, \gamma_5 \boldsymbol{\alpha} \cdot \mathbf{x}, \gamma_5 \boldsymbol{\alpha} \cdot \mathbf{x} \times \mathbf{L} \\
 0^{--} \text{ trajectory } [s=1, \ell=j] : & \quad \eta_P = \eta_C = -(-1)^j \quad \gamma^0 \gamma_5 \boldsymbol{\alpha} \cdot \mathbf{x}, \gamma^0 \gamma_5 \boldsymbol{\alpha} \cdot \mathbf{x} \times \mathbf{L}, \boldsymbol{\alpha} \cdot \mathbf{L}, \gamma^0 \boldsymbol{\alpha} \cdot \mathbf{L} \\
 0^{++} \text{ trajectory } [s=1, \ell=j \pm 1] : & \quad \eta_P = \eta_C = +(-1)^j \quad 1, \boldsymbol{\alpha} \cdot \mathbf{x}, \gamma^0 \boldsymbol{\alpha} \cdot \mathbf{x}, \boldsymbol{\alpha} \cdot \mathbf{x} \times \mathbf{L}, \gamma^0 \boldsymbol{\alpha} \cdot \mathbf{x} \times \mathbf{L}, \gamma^0 \gamma_5 \boldsymbol{\alpha} \cdot \mathbf{L} \\
 0^{+-} \text{ trajectory } [\text{exotic}] : & \quad \eta_P = -\eta_C = (-1)^j \quad \gamma^0, \gamma_5 \boldsymbol{\alpha} \cdot \mathbf{L}
 \end{aligned}$$

\Rightarrow There are no solutions for quantum numbers that would be exotic in the quark model (despite the relativistic dynamics)

Example: 0^{-+} trajectory wf's

$$\Phi_{-+}(\mathbf{x}) = \left[\frac{2}{M - V} (i\boldsymbol{\alpha} \cdot \vec{\nabla} + m\gamma^0) + 1 \right] \gamma_5 F_1(r) Y_{j\lambda}(\hat{\mathbf{x}})$$

$$\eta_P = (-1)^{j+1}$$

$$\eta_C = (-1)^j$$

Radial equation: $F_1'' + \left(\frac{2}{r} + \frac{V'}{M - V} \right) F_1' + \left[\frac{1}{4}(M - V)^2 - m^2 - \frac{j(j+1)}{r^2} \right] F_1 = 0$

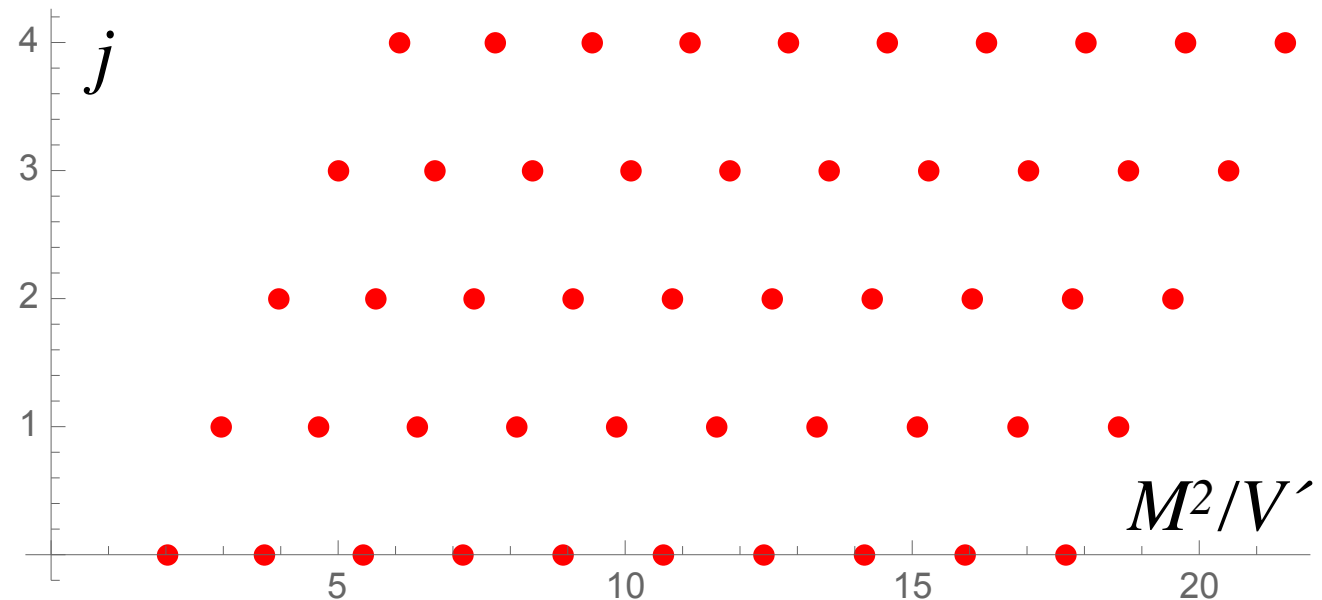
Local normalizability at $r = 0$ and at $V(r) = M$ determines the discrete M

Mass spectrum:

$m = 0$

Linear Regge
trajectories
with daughters

Spectrum similar to
dual models

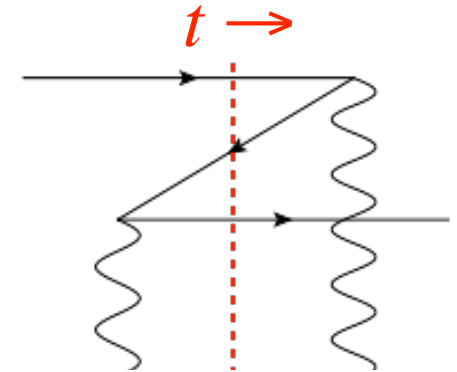


Sea quark contributions

Quark states in a strong field have $E < 0$ components

Bogoliubov transformation, cf. Dirac states.

In time-ordered PT, these correspond to Z-diagrams, and interpreted as contributions from $q\bar{q}$ pairs.



This effect is manifest in the behavior of the wave function Φ for large $V = V'|\mathbf{x}|$:

$$\lim_{\mathbf{x} \rightarrow \infty} |\Phi(\mathbf{x})|^2 = \text{const.}$$

The asymptotically constant norm reflects, via duality, pair production as the linear potential $V(|\mathbf{x}|)$ increases.

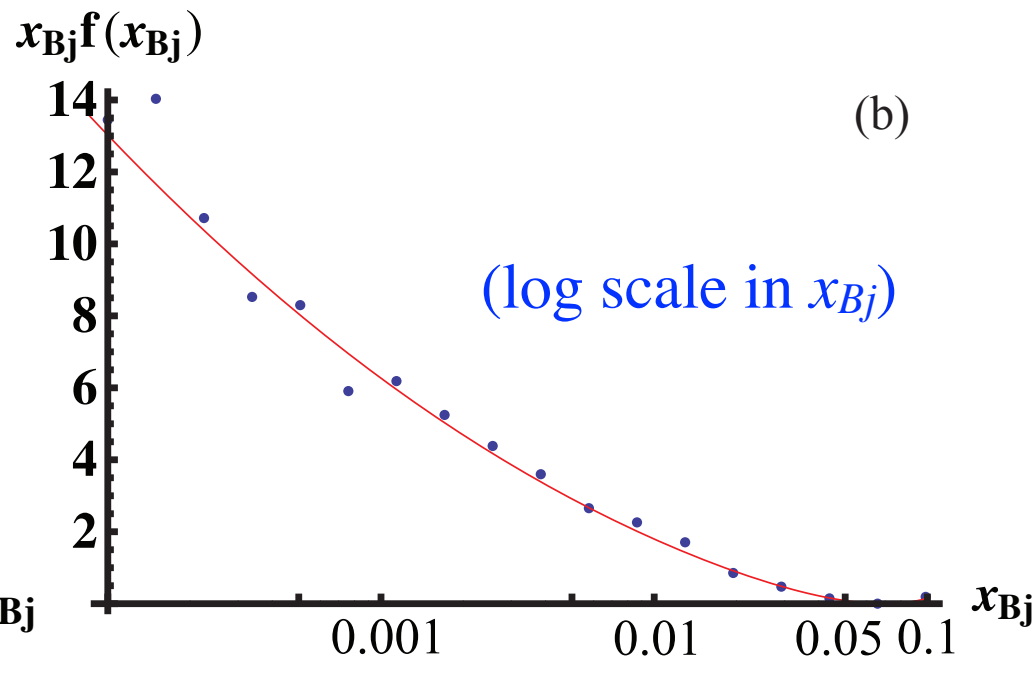
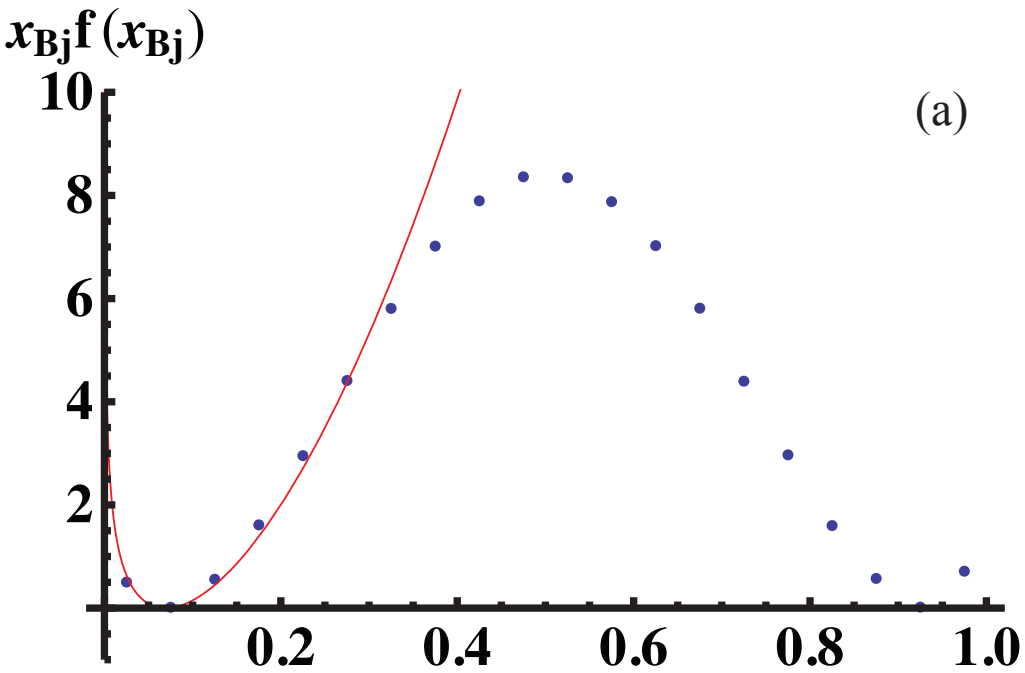
These sea quarks show up in the parton distribution measured in DIS.

Parton distributions have a sea component

In D=1+1 dimensions the sea component is prominent at low m/e :

$m/e = 0.1$

D. D. Dietrich, PH, M. Järvinen
arXiv 1212.4747



The red curve is an analytic approximation, valid in the $x_{Bj} \rightarrow 0$ limit.

Note: Enhancement at low x is due to bd (sea), **not** to $b^\dagger d^\dagger$ (valence) component.

To be calculated in D=3+1 (and in various frames!)

Decays and hadron loops

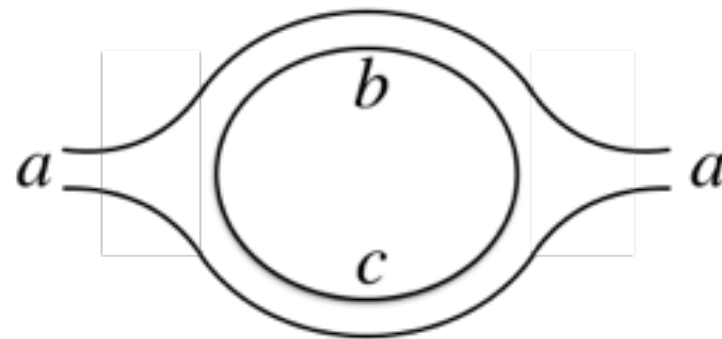
The bound state equation determines zero-width states.

There is an $\mathcal{O}(1/\sqrt{N_C})$ coupling between the states: **string breaking**

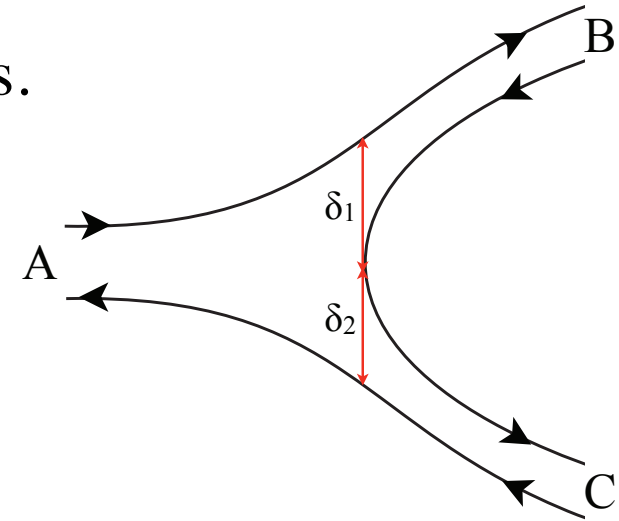
$$\langle B, C | A \rangle =$$

$$-\frac{(2\pi)^3}{\sqrt{N_C}} \delta^3(\mathbf{P}_A - \mathbf{P}_B - \mathbf{P}_C) \int d\boldsymbol{\delta}_1 d\boldsymbol{\delta}_2 e^{i\boldsymbol{\delta}_1 \cdot \mathbf{P}_C/2 - i\boldsymbol{\delta}_2 \cdot \mathbf{P}_B/2} \text{Tr} [\gamma^0 \Phi_B^\dagger(\boldsymbol{\delta}_1) \Phi_A(\boldsymbol{\delta}_1 + \boldsymbol{\delta}_2) \Phi_C^\dagger(\boldsymbol{\delta}_2)]$$

When squared, this gives a $1/N_C$ **hadron loop** unitarity correction:



Unitarity should be satisfied **at hadron level** at each order of $1/N_C$.



Bound states in motion

An $\mathcal{O}(\alpha_s^0)$ $q\bar{q}$ bound state with CM momentum \mathbf{P} may be expressed as

$$|M, \mathbf{P}\rangle = \int dx_1 dx_2 \bar{\psi}(t=0, \mathbf{x}_1) e^{i\mathbf{P}\cdot(\mathbf{x}_1+\mathbf{x}_2)/2} \Phi^{(\mathbf{P})}(\mathbf{x}_1 - \mathbf{x}_2) \psi(t=0, \mathbf{x}_2) |0\rangle$$

The instantaneous potential is \mathbf{P} -independent, $V(\mathbf{x}) = V'|\mathbf{x}|$, hence the BSE:

$$i\nabla \cdot \{\boldsymbol{\alpha}, \Phi^{(\mathbf{P})}(\mathbf{x})\} - \frac{1}{2}\mathbf{P} \cdot [\boldsymbol{\alpha}, \Phi^{(\mathbf{P})}(\mathbf{x})] + m[\gamma^0, \Phi^{(\mathbf{P})}(\mathbf{x})] = [E - V(\mathbf{x})]\Phi^{(\mathbf{P})}(\mathbf{x})$$

The solution for $\Phi^{(\mathbf{P})}(\mathbf{x})$ is **not simply Lorentz contracting in \mathbf{x}** .

States with general \mathbf{P} are needed for:

- \mathbf{P} -dependence of angular momentum ($\mathbf{P} \rightarrow \infty$ frame).
- EM form factors (gauge invariance has been verified)
- Parton distributions
- Hadron scattering
- ...

"Perturbative expansion of non-perturbative states"

A perturbative approach to soft QCD:

- The instantaneous $\mathcal{O}(\alpha_s^0)$ field binds the lowest Fock states
- The higher Fock states given by the Hamiltonian H_{QCD} are of $\mathcal{O}(\alpha_s)$
- Makes bound state calculations less of an art

For the approach to be viable the $\mathcal{O}(\alpha_s^0)$ dynamics must have:

Poincaré symmetry

Unitarity

Confinement

Chiral Symmetry Breaking (CSB)

Reasonable mass spectrum

...

Not all of these have been demonstrated, but the outlook is promising.

On the Other Side of Asymptotic Freedom

Yuri Dokshitzer
LPTHE, Paris
&
PNPI, St. Petersburg

Munich
February 2011
Colloquium

PQCD can be relevant
also for soft interactions.

$$\alpha_s/\pi \sim 0.14$$

QCD is about to undergo a **faith transition**

QCD practitioners prepare themselves - slowly but steadily - to start using, in earnest, the language of **quarks** and **gluons** down into the region of **small characteristic momenta** - “**large distances**”

Extra slides

States with $M = 0$

PRELIMINARY

We required the wave function to be normalizable at $r = 0$ and $V'r = M$

For $M = 0$ the two points coincide. Regular, massless solutions are found.

The massless 0^{++} meson “ σ ” $|\sigma\rangle = \int d\mathbf{x}_1 d\mathbf{x}_2 \bar{\psi}(\mathbf{x}_1) \Phi_\sigma(\mathbf{x}_1 - \mathbf{x}_2) \psi(\mathbf{x}_2) |0\rangle \equiv \hat{\sigma} |0\rangle$

For $m = 0$ and $V' = 1$: $\Phi_\sigma(\mathbf{x}) = N_\sigma \left[J_0\left(\frac{1}{4}r^2\right) + \boldsymbol{\alpha} \cdot \mathbf{x} \frac{i}{r} J_1\left(\frac{1}{4}r^2\right) \right]$

J_0 and J_1 are Bessel functions.

$\hat{P}^\mu |\sigma\rangle = 0$ State has *vanishing four-momentum* in any frame.
It may mix with the perturbative vacuum.
This *spontaneously breaks chiral invariance*.

Since $|\sigma\rangle$ has vacuum quantum numbers and zero momentum it can mix with the perturbative vacuum without violating Poincaré invariance

Consider: $|\chi\rangle = \exp(\hat{\sigma}) |0\rangle$ for which $\langle\chi|\bar{\psi}\psi|\chi\rangle = 4N_\sigma$

An infinitesimal chiral rotation of the condensate generates a pion

$$U_\chi(\beta) = \exp \left[i\beta \int d\mathbf{x} \psi^\dagger(\mathbf{x}) \gamma_5 \psi(\mathbf{x}) \right] \quad U_\chi(\beta) |\chi\rangle = (1 - 2i\beta \hat{\pi}) |\chi\rangle$$

where $\hat{\pi}$ is the massless 0^- state with wave function $\Phi_\pi = \gamma_5 \Phi_\sigma$

This may provide an explicit example of chiral condensate.

Small quark mass: $m > 0$

When $m \neq 0$ the massless ($M_\sigma = 0$) sigma 0^{++} state has wave function

$$\Phi_\sigma(\mathbf{x}) = f_1(r) + i \boldsymbol{\alpha} \cdot \mathbf{x} f_2(r) + i \boldsymbol{\gamma} \cdot \mathbf{x} g_2(r)$$

Radial functions
are Laguerre fn's

An $M_\pi > 0$ pion 0^{-+} state has rest frame wave function

$$\Phi_\pi(\mathbf{x}) = [F_1(r) + i \boldsymbol{\alpha} \cdot \mathbf{x} F_2(r) + \gamma^0 F_4(r)] \gamma_5$$

$$F_4(0) = \frac{2m}{M} F_1(0)$$

$$F_1'' + \left(\frac{2}{r} + \frac{1}{M-r} \right) F_1' + \left[\frac{1}{4} (M-r)^2 - m^2 \right] F_1 = 0$$

$$\langle \chi | j_5^\mu(x) \hat{\pi} | \chi \rangle = i P^\mu f_\pi e^{-iP \cdot x}$$

 \Rightarrow

$$F_4(0) = \frac{1}{4} i M_\pi f_\pi$$

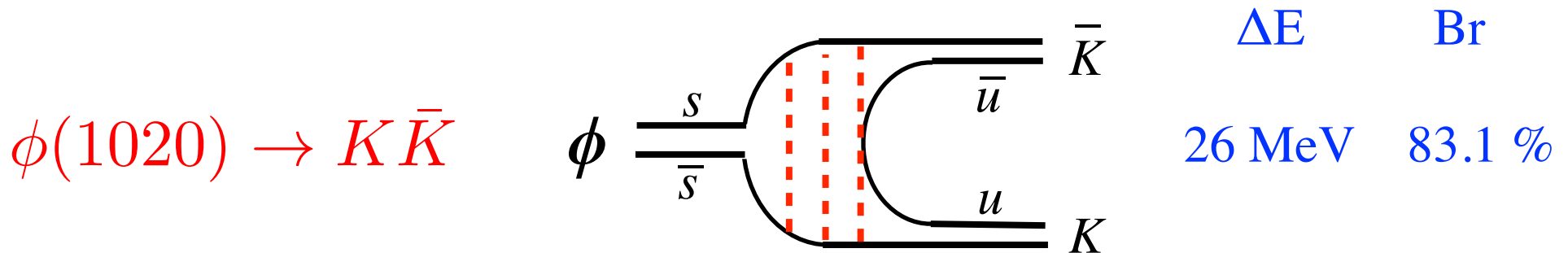
$$\langle \chi | \bar{\psi}(x) \gamma_5 \psi(x) \hat{\pi} | \chi \rangle = -i \frac{M^2}{2m} f_\pi e^{-iP \cdot x}$$

 \Rightarrow

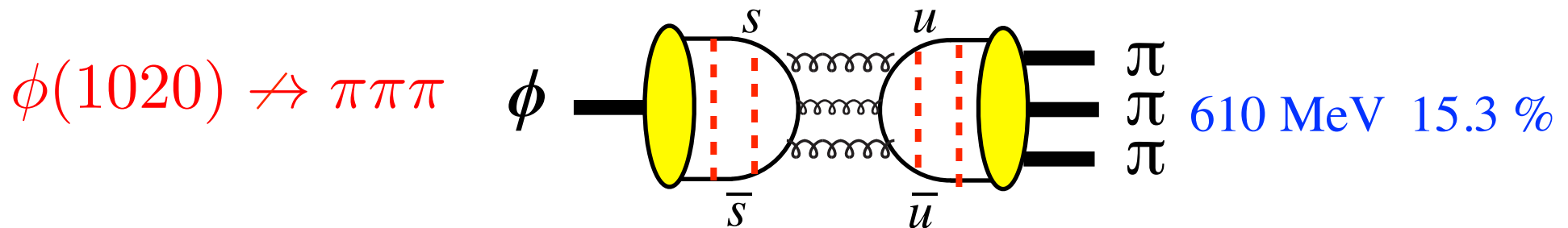
$$F_1(0) = i \frac{M^2}{8m} f_\pi$$

CSB relations are satisfied for any P .

Connected diagrams: Unsuppressed, string breaking from confining potential



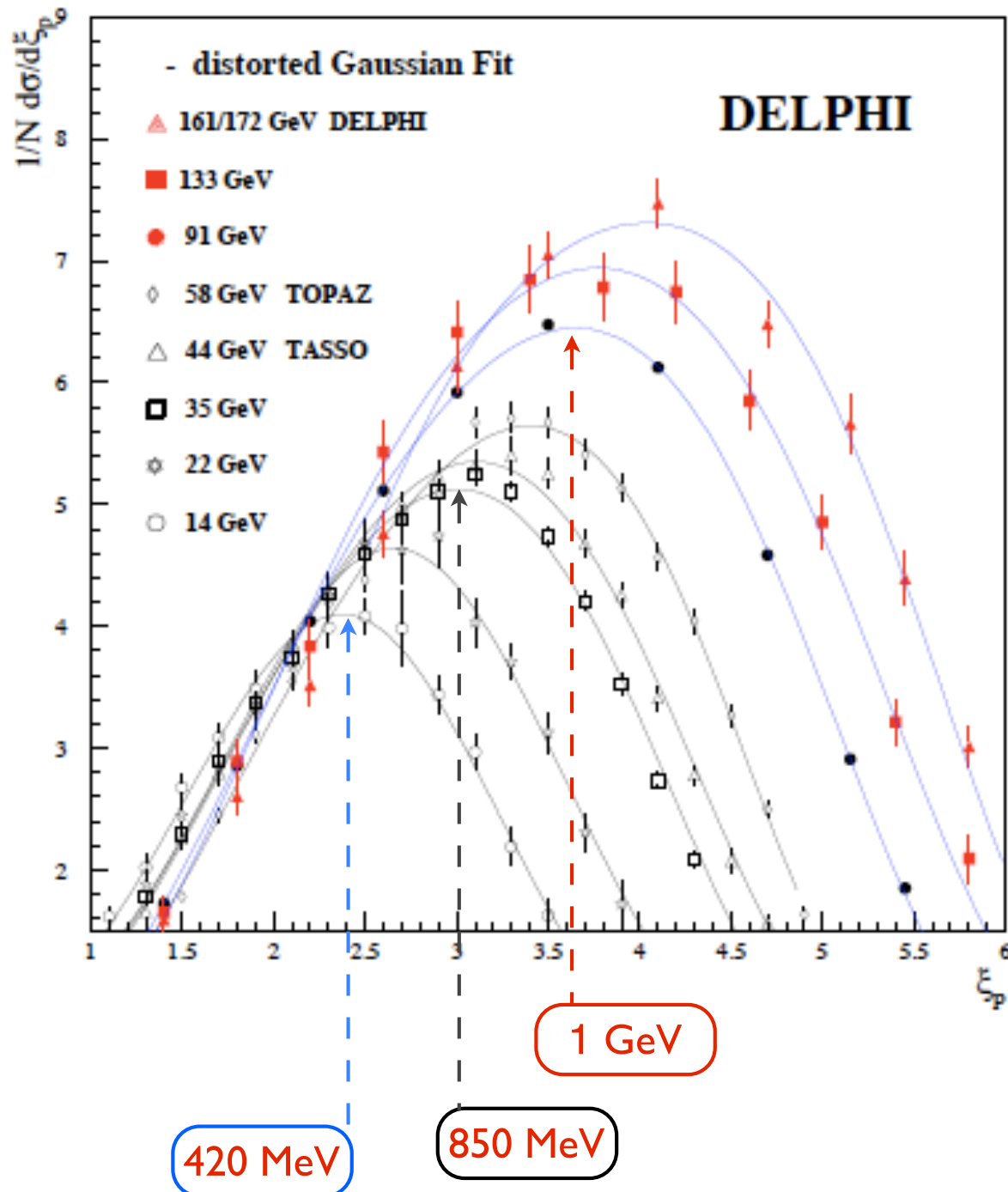
Disconnected, perturbative diagrams are suppressed



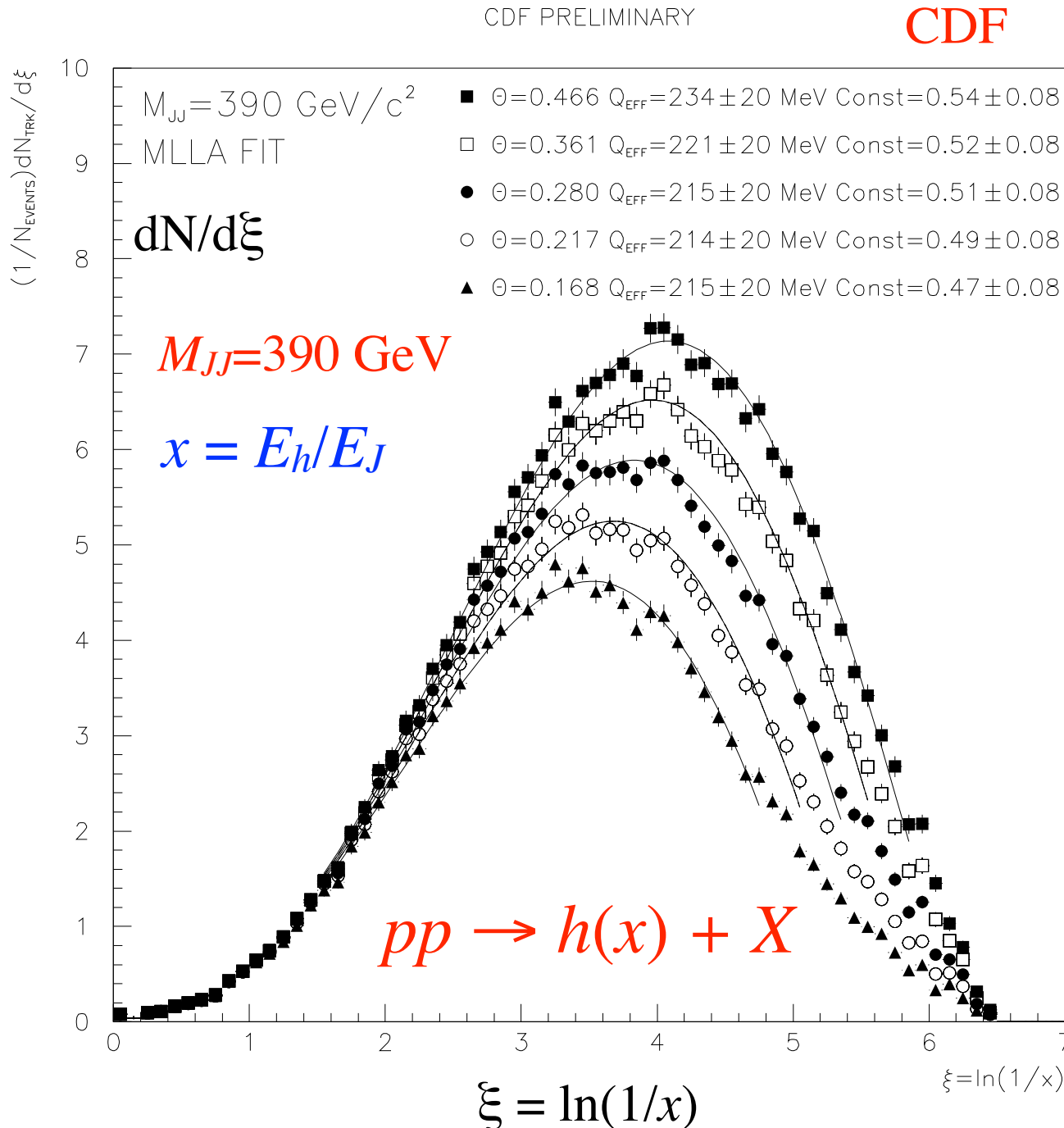
This suggests that perturbative corrections are small even in the soft regime.

Soft Physics: hadron production *inside* jets

Dokshitzer 2011



$$\ln \frac{1}{x}$$



First confronted with theory in $e^+e^- \rightarrow h+X$.

CDF (Tevatron)

$pp \rightarrow 2 \text{ jets}$

Charged hadron yield as a function of $\ln(1/x)$ for different values of jet hardness, versus (MLLA) QCD prediction.

One free parameter – overall normalization (the number of final π 's per extra gluon)